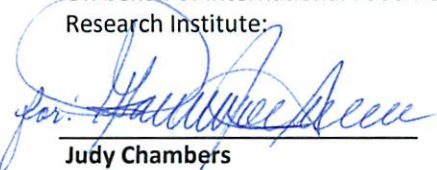





Contract No.: 2011X019.ISU	Amendment No.: 1	Date: February 16, 2012
Collaborator Iowa State University (ISU)		IFPRI Project No: 2826-016-56-XX
Address: 1138 Pearson Hall Ames, Iowa 50011-2207		
Purpose: Extension of the contract term and increase in contract value.		
Contract Amount: The Obligated Amount shall increase by \$95,109 from \$149,864 to \$244,973. \$118,340 is the budget allocated for the period October 1, 2011 – September 30, 2012. Please refer to attached Budget as Exhibit 1 for the calculation of the obligation. The Total Cost Share for the Collaborator would equal or exceed \$24,497.		
Term: Revised from October 1, 2010 – September 30, 2011 to October 1, 2010 – September 30, 2012		
All other provisions of the original agreement (and previous amendments) shall remain in effect.		

If the above terms meet with your approval, please sign below and return one copy of the document to IFPRI (along with the completed W-8 or W-9 form) and retain the other copy for your records.

On-behalf of International Food Policy
Research Institute:


Judy Chambers
Project Manager

February 16, 2012
Date


Mark Rosegrant
Division Director

February 16, 2012
Date


James Fields
Controller

2/23/12
Date

AGREED:


Manjit Misra
Director of BIGMAP, ISU

5/02/2012
Date

 5/11/2012
Jennifer Headlee
Post-Award Administrator
Office of Sponsored Programs Administration
Iowa State University

Project: Section I: 2826-016
Collaborator: Section I: ISU
Project Leader: Judy Chambers
Project AC/PA: Kim-Dzung Vo
Follow-up: ISU

EXHIBIT 1

Budget for period: October 1, 2011- September 30, 2012

Cost items	Unit (month/day/ Item)	# of Unit	Unit cost (US\$)	USAID (90%)	ISU Match (10%)
Salaries and Wages					
Project Team Leader (A. Harries)	month	6.0	5,023	30,138	
Process Management Specialist (J. Cortes)	month	0.5	8,697	4,349	
Regulatory Specialist (J. Okeno)	month	2.5	4,568	11,420	
Animal Biotechnology and Food Safety Specialist (S. Hurd)	month	0.5	12,036	6,018	
Materials Preparation Specialist (R. Hendrickson)	month	1.0	3,164	3,164	
Sub-Total Salaries and Wages				55,089	
Fringe Benefits					
Project Team Leader (A. Harries)			36.30%	10,940	
Process Management Specialist (J. Cortes)			36.30%	1,579	
Regulatory Specialist (J. Okeno)			20.20%	2,307	
Animal Biotechnology and Food Safety Specialist (S. Hurd)			29.80%	1,793	
Materials Preparation Specialist (R. Hendrickson)			36.30%	1,149	
Sub-Total Fringe Benefits				17,767	
Travel					
International Travels					
4 trips to Africa and 2 trips to Asia	round trip	6.00	4,250.00	25,500	
Domestic Travels					
National travel-Planning Meetings for Team Leader	round trip	3.00	1,500.00	4,500	
Sub-Total Travels				30,000	
Supplies and Services					
Supplies	month	12.00	75	900	
Communication	month	12.00	50	600	
Sub-Total Supplies and Services				1,500	
Total Direct Costs				\$104,356	
ISU Indirect Costs 26% (13.4%/12.6%)			26.0%	\$13,984	\$13,107
TOTALS				\$118,340	\$13,107

Funding Summary:

Actual USAID expenses prior FY 2012:	\$126,633
FY 2012 USAID Budget:	\$118,340
Total USAID Obligation:	<u>\$244,973</u>

**Request for Taxpayer
 Identification Number and Certification**

Give form to the requester. Do not send to the IRS.

Print or type See Specific instructions on page 2.	Name (as shown on your income tax return) Iowa State University of Science and Technology	
	Business name, if different from above	
	Check appropriate box: <input type="checkbox"/> Individual/Sole proprietor <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ▶ <input checked="" type="checkbox"/> Exempt payee <input checked="" type="checkbox"/> Other (see instructions) ▶ An agency of the State of Iowa	
	Address (number, street, and apt. or suite no.) 3606 Admin. Svcs. Bldg. (1099 address only)	Requester's name and address (optional)
	City, state, and ZIP code Ames, IA 50011-3606	
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number
: : : :
or
Employer identification number
42 : 6004224

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here	Signature of U.S. person ▶ <i>William P. Cahill</i>	Date ▶ <i>12-17-07</i>
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,

Headlee, Jennifer M [S P]

From: Arce, Patty (IFPRI) [P.ARCE@CGIAR.ORG]
Sent: Tuesday, May 01, 2012 8:31 AM
To: Headlee, Jennifer M [S P]
Cc: IFPRI; Misra, Manjit K [A B E]; Sandve, Connie R [SSCXP]
Subject: RE: Contract: 2011X019.ISU Project: 2826-016-56-XX ISU GoldSheet: 117060

Jen: Indeed, the \$23,230.59 is not carried over to 2012. The amendment should have been worded differently, as follows:

Contract Amount:

- a) The obligated amount shall decrease by \$23,230.59 from \$149,864 to \$126,633
- b) The obligated amount shall increase by \$118,340.00 from \$126,633 to \$244,973. \$118,340 is the budget allocated for the period October 1, 2011 to September 30, 2012. Please refer to the Attached Budget as Exhibit 1 for the calculation of the obligation. The total cost share for the collaborator would equal or exceed \$24,497.

Based on this, please process the signature and return the original to us.

Please let me know if this clarifies the situation.

Patty

From: Headlee, Jennifer M [S P] [<mailto:jheadlee@iastate.edu>]
Sent: Friday, April 27, 2012 4:35 PM
To: Arce, Patty (IFPRI)
Cc: IFPRI; Misra, Manjit K [A B E]; Sandve, Connie R [SSCXP]
Subject: FW: Contract: 2011X019.ISU Project: 2826-016-56-XX ISU GoldSheet: 117060
Importance: High

Good Afternoon Ms. Arce:

I wanted to follow up on the email below. Once Iowa State University has received confirmation on the information below, the attached amendment can be signed and returned.

Thank you for your assistance.

Jen

Jennifer Headlee
Post Award Administrator
Office of Sponsored Programs Administration
Iowa State University
1138 Pearson Hall
Ames, IA 50011
Phone: (515) 294-0558
Fax: (515) 294-8000
jheadlee@iastate.edu
www.ospa.iastate.edu

From: Headlee, Jennifer M [S P]
Sent: Monday, April 09, 2012 2:46 PM
To: 'ifpri@cgiar.org'
Cc: Misra, Manjit K [A B E]; Sandve, Connie R [SSCXP]
Subject: Contract: 2011X019.ISU Project: 2826-016-56-XX ISU GoldSheet: 117060

Good Afternoon Subhashini:

Attached is a copy of Amendment 1 for contract number: 2011X019.ISU, for the project entitled, "Subagreement for Building Functional Biosafety Systems."

The "Funding Summary" under Exhibit 1 states:

Actual USAID expenses prior FY 2012:	\$126,633
FY 2012 USAID Budget:	<u>\$118,340</u>
Total USAID Obligation:	\$244,973

I wanted to receive verification that the remaining \$23,230.59 from the prior budget period is not being carried over to FY 2012, correct?

Thank you,

Jen

Jennifer Headlee
Post Award Administrator
Office of Sponsored Programs Administration
Iowa State University
1138 Pearson Hall
Ames, IA 50011
Phone: (515) 294-0558
Fax: (515) 294-8000
www.ospa.iastate.edu