

## Autoridad Nacional del Ambiente



PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/-1-09

VALIDO HASTA: 2009-06-26

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS /

OTROS ORGANISMOS

Apartado C, Zona 0843, Balboa, Ancón, Panamá

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

Marcy Balunas

Instituto Smithsonian de Investigaciones Tropicales,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

Marcy Balunas

Scripps Institution of Oceanography, San Diego California,

U.S.A.

**EXPORTACION** EXPORT

RE-EXPORTACION RE-EXPORT

IMPORTACION RE-IMPORTACION RE-IMPORT IMPORT

TRANSITO

OTROS OTHERS

**EXPORTACION** 

865118379142

PUERTO DE SALIDA O ENTRADA / TRANSPORTE

PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

PURPOSE

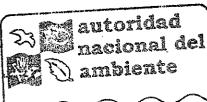
Fines científicos

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Cianobacterias	Lyngbya sp.	0.5	mg de compuesto aislado 1
Cianobacterias	Lyngbya sp.	0.5	mg de compuesto aislado 2
Cianobacterias	Lyngbya sp.	0.5	mg de compuesto aislado 3
Cianobacterias	Symploca sp.	0.5	mg de compuesto aislado 4

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2009-03-26



Dirección Nacional de Areas Protegidas y Vida Silvesse

ALEIDA SALAZAR Directora de Areas Protegidas y Vida

Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO



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NICTERIC	DE DESARROLLO AGROPECUARIO	1
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DECCION	NACIONAL DE CUARENTENA AGROPECUARIA	ţ

No. SOLICITUD

No. AUTORIZACION

CENCIA FITO-ZOOSANITARIA DE EXPORTACION.

**VIGENCIA:** 

31-03-09

HASTA **B1-05-09** 

QUE SUSCRIBE:

ING. DALIA VASQUEZ

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTEN

ROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTO IBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBE IMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENT CENCIA.

JRTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

MARCY BALUNAS.

Scripps Instituto of Oceanography, San Diego DOMICILICE alifornia, U.S.A.

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

MARCY BALUNAS

Instituto Smithsonian de Investigaciones

DOMICILIO:

APDO.:

Tropicales, Balboa Ancon.,

APDO:

U.S.A

TFI:

FAX:

**PANAMA** 

TEL:

FAX:

FINALIDAD DESCRIPCION UNID. DE MED CANTIDAD CIANOBACTERIAS (VER ADJUNTO) MG 0.20 PAIS DE DESTINO FRACCION ARANCELARIA LUGAR DE SALIDA PAIS DE PROCEDENCIA PAIS DE ORIGEN U.S.A. TOCUMEN PANAMA **PANAMA** 

ADJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI CI DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAI

CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

LUGAR DE EMISION

FECHA DE EMISION

Página I de 1

## REPUBLICA DE **PANAMA**



## Autoridad Nacional del Ambiente



Apartado C, Zona 0843, Balboa, Ancon, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/-1-10

VALIDO HASTA: 2010-04-16

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS /

OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS)

CONSIGNOR (NAME AND ADDRESS - COUNTRY)

WILLIAM GERWICK INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

WILLIAM GERWICK

Center for Marine Biotechnology and Biomedicine SIO University of California at San Diego,

U.S.A.

RE-EXPORTACION **EXPORTACION** EXPORT RE-EXPORT IMPORTACION RE-IMPORTACION RE-IMPORT IMPORT TRANSITO OTROS OTHERS TRANSIT

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

**PROPOSITO** 

PURPOSE

Esta exportación se realiza con el fin de efectuar ensayos contra enfermedades tropicales en INDICASAT y ensayos contra otras enfermedades en SIO, para descubrir productos naturales activos.

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Macroalgas	Macroalgae spp.	650	Gramos (VER DETALLE ADJUNTO)
Cianobacterias	Cianobacterias (VER LISTA ADJUNTA)	1050	Gramos (VER DETALLE ADJUNTO)

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2010-01-06

DATE

YESSENIA DEL C GONZALEZ MUÑOZ

Directora

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO

# AUTORIDAD NACIONAL DEL AMBIENTE DIRECCIÓN DE ÁREAS PROTEGIDAS Y VIDA SILVESTRE LISTA ADJUNTA DEL PERMISO CIENTIFICO DE EXPORTACIÓN Nº SEX/1-10

Nombre Común	Nombre Científico	Cantidades
Cianobacterias	Lyngbya majuscula	100 gramos
	Lyngbya semiplena	100 gramos
	Lyngbya penicillīformis	50 gramos
	Lyngbya polychroa	50 gramos
	Lyngbya bouilloni	100 gramos
	Lyngbya sp	100 gramos
	Schizothrix sp	100 gramos
	Symploca sp.	100 gramos
	Oscillatoria sp	100 gramos
	Phormidium sp.	100 gramos
	Rivularia sp	50 gramos
	Calothrix sp.	50 gramos
	Spirocoleus sp	50 gramos
	Total	1050 gramos
Macroalgas	Tydemania expeditionis	50 gramos
C	Údotea geppii	50 gramos
	Neomaris sp	50 gramos
	Dictyota sp	100 gramos
	Lobophora sp	100 gramos
	Stypopodium sp.	100 gramos
	Laurencia sp	100 gramos
	Zellera sp.	50 gramos
	Peyssonnelia sp.	50 gramos
•	Total	650 gramos



## Autoridad Nacional del Ambiente



anan

Apartado C Zona 0843, Balboa, Ancón. Panamá PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No <u>SEX/-1-12</u>

VALIDO HASTA: 2012-05-17

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

KEVIN J. TIDGEWELL

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES(ICÉG),

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

KEVIN J TIDGEWELL

University of California San Diego 9500 Gilman Drive, MC 0212, La Jolla,

U.S.A.

EXPORTACION EXPORT IMPORTACION

IPORTACION IMPORT TRANSITO TRANSIT RE-EXPORTACION RE-EXPORT RE-IMPORTACION RE-IMPORT OTROS

OTHERS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Esta exportación se realiza con el fin de efectuar el análisis de dereplicación de productos naturales activos

····			
NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Cianobacteria	Cianobacteria sp (desconocida)	3	Fracciones de cromatografia de 0 3 mg
Cianobacterias	Lyngbya sp	10	Fracciones de cromatografia de 1 0 mg
Cianobacterias	Lyngbya sp	10	Fracciones de cromatografia de 1 0 mg
Cianobacteria	Osciliatoria spp	10	Fracciones de cromatografia de 1.0 mg
Cianobacteria	Oscillatoria spp	10	Fracciones de cromatografia de 1 0 mg

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2012-02-01

DATE

PREPARADO POR JORGE SARCÍA

ING EDGAR T. CHACON R. Director de Areas Protegidas VVIda

Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO

PERMISO CIENTIFICO SCIENTIFIC PERMIT (Continuación) No. <u>SEX/-1-12</u>

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Cianobacterias	Phormidium spp	2	Fracciones de cromatografia de 0.2 mg
Cianobacterias	Phormidium spp	1	Fracción de cromatografia de 0 1 mg





## Autoridad Nacional del Ambiente



Apartado C Zona 0843 Balboa, Ancón Panamá

#### PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. <u>SEX/-11-11</u>

VALIDO HASTA: 2011-10-18

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

KEVIN J. TIDGEWELL

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES (ICBG).

Panamá

EXPORTACION
EXPORT
IMPORTACION
IMPORT
TRANSITO

TRANSIT

RE-EXPORTACION RE-EXPORT RE-IMPORTACION RE-IMPORT OTROS OTHERS

**EXPORTACION** 

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

WILLIAM GERWICK

University of California San Diego, La Jolla, CA 92093.,

U.SA.

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

PURPOSE

Esta exportación se realiza para efectuar bioensayos contra cancer para descubrir nuevos compuestos naturales.

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Cianobacterias	Lyngbya sp.	24.6 mg	En 9 fracciones

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2011-07-19 DATE

REPARADO POR JORGE GARCÍA

ING FOGAR TO CHACON R

Director de Áreas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO



## MINISTERIO DE DESARROLLO AGROPECUARIO DIRECCION NACIONAL DE CUARENTENA AGROPECUARIA

No. SOLICITUD

092455

No. AUTORIZACION

LICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

11-8-2011

11-10-2011

EL QUE SUSCRIBE:

ING. MIGUEL VALLEJOS ID 904-82

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

AGROPECUARIA Y CON FUNDAMENTO EN LA LEY 23 DEL 15 JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS, SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUACIÓN SE DESCRIBEN CUMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACIÓN POR LO CUAL SE LE EXTIENDE LA PRESENTE LICENCIA

IMPORTADOR; CIP O RUC:

NOMBRE O RAZÓN SOCIAL:

WILLIAM GERWICK/UNIVERSITY/ OF CALIFORNIASANDIE

DOMICILIO:

EXPORTADOR; CIP O RUC:

NOMBRE O RAZÓN SOCIAL:

INSTITUTO SMITH SONIAN

DOMICILIO:

APDO:

ESTADOS UNIDOS

TEL:

FAX:

APDO:

PA<u>N</u>AMA

FAX:

CANTIDAD	UNID. DE MED.	DESCRIPCIÓN	FINALIDAD
2.46	KILOS	CIANOBACTERIAS	CANTO DE DESALHOLI
PAIS DE	ORIGEN	PAIS DE PROCEDENCIA LUGAR DE SALIDA	FRACCION ARANCELARIA PAIS DE DESTINO
PANA	ma J	PANAMA TOCUMEN	ESTADOS UNIDOS

ADJUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COMO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACIÓN ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL CUMPLIMIENTO DE LOS REQUISITOS FITO-ZOOSANITARIOS PARA EXPORTACIÓN.

LUGAR DE EMISION

VICOMEX

FECHA DE EMISION

11-8-2011

FIRM



## Autoridad Nacional del **Ambiente**



Apartado C, Zona 0843, Balboa, Ancón, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/-13-10

VALIDO HASTA: 2010-09-01

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS /

**OTROS ORGANISMOS** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS)

CONSIGNOR (NAME AND ADDRESS - COUNTRY) MARCY BALUNAS

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES (ICBG),

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

MARCY BALUNAS

Scripp Institution of Oceanography, University of California San Diego.,

U.S.A.

**EXPORTACION** EXPORT IMPORTACION RE-IMPORTACION IMPORT

TRANSITO

TRANSIT

**RE-EXPORTACION** 

RE-IMPORT OTROS OTHERS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO PURPOSE

Esta exportación se efetua para realizar bioensayos contra enfermedades tropicales

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Cianobacteria	Cianobacteria sp.	23.1 mg	Especie desconocida (A1843E)
Cianobacteria	Cianobacteria sp.	19.7 mg	Especie desconocida (A1843F)
Cianobacteria	Cianobacteria sp.	15.3 mg	Especie desconocida (A1843G)
Cianobacteria	Cianobacteria sp.	120.5 mg	Especie desconocida (A1843H)
Cianobacteria	Cianobacteria sp.	450.5 mg	Especie desconocida (A1843 I)

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2010-05-31

DATE

YESSÉNIA DEL C GONZÁLEZ M. Directora de Areas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 



84241

## MINISTERIO DE DESARROLLO AGROPECUARIO DIRECCION NACIONAL DE CUARENTENA AGROPECUARIA

No. SOLICITUD

No. AUTORIZACION

LICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

16-06-2010

16-08-2010

EL QUE SUSCRIBE:

ING. DONALINO MARTINEZ

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

AGROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS, SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBEN CUMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTE LICENCIA.

IMPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

MARCY BALUNAS
Scripp Institution of Oceanography,
University of California

DOMICILIO:

APDO:

TELU.S.A

FAX:

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

MARCY BALUNAS
University Instituto Snithsonian de

DOMENVEStigaciones Tropicales, Balboas - Ancon

APDO:

**PANAMA** 

TEL:

FAX:

CANTIDAD	UNID. DE MED.	DESCRIPCION		FINALIDAD
	0000629	MUESTRAS DE CIANOBACTERIAS  VER LISTADO ADJUNTO	WINISTERNO DE DESAR	XPOSTALIO OLLO AGRACIO
	ORIGEN	PAIS DE PROCEDENCIA LUGAR DE SALIDA	FRACCION ARANCELARIA	PAIS DE DESTINO
PANA	AMA	PANAMA TOCUMEN		U.S.A

ADJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI COMO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

LUGAR DE EMISION

VICOMEX

FECHA DE EMISION

16-06-2010

Mako Hartie



## Autoridad Nacional del Ambiente



Apartado C, Zona 0843, Balboa, Ancón, Panamá

PERMISO CIENTÍFICO SCIENTIFIC PERMIT

No SEX/-5-11

VALIDO HASTA: 2011-08-26 VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS)

CONSIGNOR (NAME AND ADDRESS -- COUNTRY)

KEVIN TIDGEWELL

Instituto Smithsonian de Investigaciones Tropicales, Blaboa Ancon,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES -- PAIS) CONSIGNEE (NAME AND ADDRESS -- COUNTRY)

WILLIAM GERWICK

University of California San Diego 9500 Gilman Drive, MC 0212 La Jolla, CA 92093,

USA

**EXPORTACION EXPORTACION** 

RE-

EXPORT

RF.

EXPORT IMPORTACION RE-IMPORTACION

IMPORT

TRANSITO

OTROS

OTHERS

**EXPORTACION** 

PUERIO DE SALIDA O ENTRADA / IRANSPORIE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Realizar estudios de incorporación de C dirigidos determinar los mecanismos biosinteticos utilizados por las cianobacterias

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Cianobacterias	(VER LISTA ADJUNTA)	Ver lista adjunta	

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2011-05-26

ING EĎGARŤ CHACON R Director de Áreas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

JOSE ANTONIO GONZALEZ

Técnico responsable

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO

LISTA DE ESPECIES PERMISO DE EXPORTACION SEX/5-2011					
NOMBRE COMUN	NOMBRE CIENTIFICO	CANTIDAD	DESCRIPCION		
Cianobacteria	Lyngbya majuscula	100	gramos		
Cianobacteria	Lyngbya semiplena	100	gramos		
Cianobacteria	Lyngbya penicilliformis	50	gramos		
Cianobacteria	Lyngbya polychroa	50	gramos		
Cianobacteria	Lyngbya bouillonii	100	gramos		
Cianobacteria	Lyngbya sp	100	gramos		
Cianobacteria	Schizothrix sp	100	gramos		
Cianobacteria	Symploca sp	100	gramos		
Cianobacteria	Oscillatoria sp	100	gramos		
Cianobacteria	Phormidium sp	100	gramos		
Clanobacteria	Rivularia sp	50	gramos		
Cianobacteria	Calothrix sp	50	gramos		
Cianobacteria	Spirocoleus sp	50	gramos		

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Colorest Co



## Autoridad Nacional del Ambiente

PERMISO CIENTÍFICO SCIENTIFIC PERMIT



No SEX/-6-11

VALIDO HASTA: 2011-08-26 VALID UNTIL

Apartado C, Zona 0843, Balboa, Ancôn, Panamá

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS)
CONSIGNOR (NAME AND ADDRESS - COUNTRY)

KEVIN TIDGEWELL

Instituto Smithsonian de Investigaciones Tropicales, Blaboa Ancon,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

University of California San Diego 9500 Gilman Drive,

USA

**EXPORTACION** RE-

**EXPORTACION** 

EXPORT IMPORTACION RE-IMPORTACION

TRANSITO

**OTROS** 

OTHERS

**EXPORTACION** 

WILLIAM GERWICK

MC 0212 La Jolla, CA 92093,

PUER IO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Realizar estudios de incorporación de C dirigidos determinar los mecanismos biosinteticos utilizados por las cianobacterias

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Cianobacterias	(VER LISTA ADJUNTA)	Ver lista adjunta	gramos

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA; 2011-05-26 DATE

ING. EDGAR'T CHACON R.

Director de Áreas Protegidas y Vida Silvestre

JOSE ANTONIO GONZALEZ

Técnico responsable

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANII ARIO EXPEDIDO POR EL MINISTERIO DE DESARROI LO AGROPECUARIO

this permit must be acompaned by a quarentine certificate from the agricul tural development ministry

	LISTA DE ESPECIE PERMISO DE EXPORTACION		Mer (Spalls
NOMBRE COMUN	NOMBRE CIENTIFICO	CANTIDAD	DESCRIPCION
Cianobacteria	Lyngbya majuscula	100	gramos
Cianobacteria	Lyngbya semiplena	100	gramos
Cianobacteria	Lyngbya penicilliformis	50	gramos
Cianobacteria	Lyngbya polychroa	50	gramos
Cianobacteria	Lyngbya bouillonii	100	gramos
Cianobacteria	Lyngbya sp	100	gramos
Cianobacteria	Schizothrix sp	100	gramos
Cianobacteria	Symploca sp	100	gramos
Cianobacteria	Oscillatoria sp	100	gramos
Cianobacteria	Phormidium sp	100	gramos
Cianobacteria	Rivularia sp	50	gramos
Cianobacteria	Calothrix sp	50	gramos
Cianobacteria	Spirocoleus sp	50	gramos /

Página 1 de 1

## REPUBLICA DE **PANAMA**



## Autoridad Nacional del Ambiente



Apartado C, Zona 0843, Balboa, Ancón, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/-7-09 - Colocte SE

VALIDO HASTA: 2010-02-25

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS /

OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

LUIS CUBILLA RÍOS

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES (ICBG),

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS)

CONSIGNEE (NAME AND ADDRESS - COUNTRY) MARCY BALUNAS

Center for Marine Biotechnology &

Biomedicine, SOI, University of California at San Diego,

USA

**EXPORTACION** RE-EXPORTACION EXPORT IMPORTACION RE-IMPORTACION IMPORT RE-IMPORT TRANSITO OTROS

TRANSIT

OTHERS

**EXPORTACION** 

870841639290

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Esta exportación se realiza con el fin realizar análisis que no se pueden realizar en Panamá y que son necesarios para finalizar exitosamente los estudios

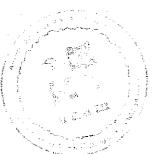
NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Cianobacterias	Cianobacterias (VER LISTA ADJUNTA)	642 viales	Fracciones con peso de 15 17 g totales
Cianobacterias	Cianobacterias (VER LISTA ADJUNTA)	31 viales	Compuestos con peso de 55 3 mg totales

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2009-11-25



YESSÉNIA DEL C GONZALEZ MUÑOZ

FIRMA RESPONSABLE Y SELLO

Directora

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 

## AUTORIDAD NACIONAL DEL AMBIENTE DIRECCIÓN DE ÁREAS PROTEGIDAS Y VIDA SILVESTRE

## LISTA ADJUNTA DEL PERMISO DE EXPORTACIÓN DE FAUNA Nº SEX/7-09

Fracciones:				and the second
Permiso No.	Especie	No. de Fracciones	No. de Viales	Peso Total (g)
SC/B-2-06	Schizothrix sp.	3	27	0.16
SC/B-3-06	Symploca sp.	3	20	0.61
SC/B-3-06	Lyngbya sp.	3	42	1.21
SC/B-3-06	Lyngbya sp.	3	34	0.64
SC/B-3-06	Lyngbya sp.	4	18	3.76
SE/B-1-07 ✓	Lyngbya sp.	4	59	1.49
SE/B-1-07	desconocido	3	19	1.24
SE/B-1-07	Phomidium sp.?	3	21	0.42
SE/B-1-07	Symploca sp.	4	28	0.71
SE/B-1-07	Lyngbya sp.	2	19	0.35
SE/B-1-07	Lyngbya sp.	3	28	0.42
SE/B-1-07	<i>Lyngbya</i> sp.	6	49	1.75
SE/B-1-07	desconocido	3	13	0.34
SE/B-1-07	Lyngbya penicilliformis	3	26	0.30
SE/B-1-07	Lyngbya sp.	3	26	. 0.24
SE/B-1-07	desconocido	6	18	0.18
SE/B-1-07	Schizothrix sp.?	3	28	0.14
SE/B-1-07	Lyngbya sp.	10	101	0.47
SE/B-1-07	Phomidium sp.	4	[14	0.09
SE/B-1-07	Calothrix sp.?	5	10	0.12
SE/B-1-07	, Calothrix sp.?	3	23	0.30
SE/B-1-08 ✓	Lyngbya majuscula?	3	19	0.23

~-		_			4.		
Cn	m	n	11	es	Tſ	75	•

Permiso No.	Especie	No. de Viales	Peso (mg)
SC/B-3-06	<i>Lyngbya</i> sp.	1	0.5
SC/B-3-06	<i>Lyngbya</i> sp.	1	1.2
SC/B-3-06	<i>Lyngbya</i> sp.	111	03
SC/B-2-06	Schizothrix sp.	1	1.5
SE/B-1-07	<i>Lyngbya</i> sp.	1	3.5
SE/B-1-07	<i>Lyngbya</i> sp.	1	1.2
SE/B-1-07	<i>Symploca</i> sp.	1	3.5
SE/B-1-07	<i>Lyngbya</i> sp.	2	1.8
SE/B-1-07	<i>Lyngbya</i> sp.	1	24.5
SE/B-1-07	Lyngbya sp.	1	2.8
SE/B-1-07	Lyngbya sp.	1	2.1
SE/B-1-07	Lyngbya penicilliformis	1	. 4.6
SE/B-1-07	Lyngbya penicilliformis	1	1.9
SE/B-1-07	Schizothrix sp.	2	0.7
SE/B-1-07	Schizothrix sp.	2	0.7
SE/B-1-07	<i>Lyngbya</i> sp.	3	0.3
SE/B-1-07	Lyngbya sp.	3	0.3
SE/B-1-07	Lyngbya sp.	3	0.3
SE/B-1-07	Lyngbya sp.	3	1.0
SE/B-1-07	Calothrix sp.?	1	2.6







78466

AINISTERIO DE DESARROLLO AGROPECUARIO	1
DIRECCION NACIONAL DE CUARENTENA AGROPECUARIA	ĺ

No SOLICITUD

No. AUTORIZACION

ICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA

14-12-2009

14-02-20TO^

L QUE SUSCRIBE:

DR. CLAUDIO SERRATO

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

GROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS, UBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUACION SE DESCRIBEN UMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTE

ICENCIA.

MARCY BALUNAS

LUIS CUBILLA RÍOS EXPORTADOR; CIP O RUC:

ADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL Center for Marine Biotechnology &

AZON SOCIAL Investigaciones Tropicales, Balboa

Biomedicine, SOI, University of California

At San Diego.

DOMICILIO:

DOMICILIO:

U. S. A.

**PANAMA** 

APDO:

TEL:

FAX:

APDO:

TEL:

FAX:

CANTIDAD	UNIÐ. DE MED.	DESCRIPCION FINALIDAD
		OUCA DE G
0 rŽ	UNIDADE	FRACCIONES CON PESO DE 15.17 G.
31	UNIDADI	VIALES DE CIANOBACTERIAS. (VER LISTADO ADJUNTO).
		VIALES DE CIANOBACTERIAS. (VER LISTADO ADJUNTO).
	ORIGEN	PAIS DE PROCEDENCIA PANAMA LUGAR DE SALIDA TOCUMEN FRACCION ARANCELARIA U. S. A.

PANAIVIA

DJUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI COMO

E PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL (LEY 23 DEL 15 JULIO DE 1997)

A DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREMITAN EL JMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION. Claudio Serrato

IDONEIDAD No. 180

14- 12- 2009 DE EMISION

FIRMA

## Autoridad Nacional del Ambiente



Apartado C, Zona 0843, Balboa, Ancón, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/-7-10

VALIDO HASTA: 2010-07-23

VALID UNTIL

TRANSIT

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS)

CONSIGNOR (NAME AND ADDRESS - COUNTRY) MARCY BALUNAS

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES (ICBG),

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

**MARCY BALUNAS** 

Scripps Inctitution of Oceanography, University of San

Diego California,

U.S.A.

**EXPORTACION** RE-EXPORTACION EXPORT RE-EXPO RE EXPORT RE-IMPORT MPORT TRANSITO OTROS

**EXPORTACION** 

OTHERS

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

Esta exportación se realiza con el proposito de fraccionar los extractos para ser utilizados en estudios de quimica de productos naturales

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Cianobacterias	Cianobacterias (VER LISTA ADJUNTA)	1 ** * * #  2	Extractos y fracciones de clanobacterias

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2010-04-23



Directora Encargada de Areas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 



83552

EL QUE SUS	A FITO-ZOC SCRIBE: DR ARIA, Y CON FI		N. VIGENCIA: 0' FUNCIONARIO DE LA I	7-05-2010 07-07-2010  DIRECCION EJECUTIVA DE CUARENTEN. LOS ANIMALES, VEGETALES, PRODUCTOS QUE A CONTINUACION SE DESCRIBET
XUMPLEN (	ON LOS REC	DUISITOS FITO-ZOOSANITARIOS PAR	A LA EXPORTACION POR LO	) CUAL SE LE EXTIENDE LA PRESENTI
IMPORTADOR NOMBRE O'R DOMICILIO	AZON SOCIAL M. Sci	ARCY BALUNAS ripps Institution of Oceanography, f San Diego, California	Carpain Agriculturant en artistatur por incresione procession in en activatura (procession en artistatura en a	NAS thsonian de Investigaciones s, Balboas - Ancon
APDO:		T≡U.S.4 FAX:	<sup>apdo</sup> ; panama	TE. FXX
	0.23616	kg MUETRAS DE ESTRAC  VER LISTADO ADJUN	OBACTERIAS	CALLED SOLUTION OF STREET SOLUTI
ausaka 100 sa dhadadhiili shadaa dhinadhii dhuunnaan oo'a miir giirii ku aayaan aasaa saanaan aa aa dhinadhii dhaanaa dhinadhii dhiidhiili dhaanaa dhinadhii dhiidhiili dhiidhiili dhiid	ORIGEN AMA	(4.5) 1. (1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1	AR DE SALIDA FRACCION / TOCUMEN	ARANCELARIA PAIS DE DESTINO U.S.A

FECHA DE EMISION 07-05-2010

LUGAR DE EMISION VICOMEX



## Autoridad Nacional del Ambiente



Apartado C Zona 0843, Balboa, Ancón, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/-9-11

VALIDO HASTA: 2011-09-29 VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**EXPORTACION** 

RE-EXPORTACION RE-EXPORT

EXPORT IMPORTACION RE-IMPORTACION RE-IMPORT

TRANSITO TRANSIT

OTROS OTHERS

**EXPORTACION** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

KEVIN TIDGEWELL INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES, BALBOA

ANCON. Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES -- PAIS) CONSIGNEE (NAME AND ADDRESS -- COUNTRY)

KEVIN TIDGEWELL

UNIVERSIDAD DE CALIFORNIA SAN DIEGO, 9500 GILMAN DRIVE, MC 0212, LA JOLLA CA 92093,

PUERTO DE SALIDA O ENTRADA / TRANSPORTE

PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Replicación de productos naturales activos

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Cianobacterias	Cianobacterias (VER LISTA ADJUNTA)	ver lista	ver lista adjunta

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y

FECHA: 2011-06-29

Vida Silvestre

DATE

Lic. Jorgé García

Director de Áreas Protegidas y Vida Silvestre

encargado.

JOSE ANTONIO GONZALEZ

Técnico responsable

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO

## PERMISO CIENTIFICO DE EXPORTACION SEX/9-11 FECHA DE EXPIRACION 29-09-2011

## Solicitud de Exportación de Recursos Genéticos y Biológicos

ŭ,

Medio de transporte	Aereo				
Puerto de Entrada	,	Estados Unidos, California- San Diego/Aeropuerto			
Especifique el género, espec (Puede incluir o eliminar tantas fila	as como sea necesario).		se solicita el permiso de exportación		
Nombre común	Nombre Científico	Cantidad	Descripción		
Cianobacteria – 1843	Lyngbya sp	0.001	1 fraccion de HPLC		
Cianobacteria – 1972	Lyngbya sp	0 011	1 fraccion de VLC, 3 fracciones de HPLC, y 7 de SepPak		
Cianobacteria – 1973	Phormidium sp.	0 010	3 fracciones de VLC y 7 de SepPak		
Cianobacteria – 1974	Lyngbya sp.	0.002	2 fracciones de VLC		
Cianobacteria – 1976	Lyngbya sp	0.001	1 fraccion de VLC y 7 de SepPak		
Cianobacteria - 1978	Oscillatoria sp.	0 001	I fraccion de VLC		
Cianobacteria – 1980	Oscillatoria sp	0.001	1 fraccion de VLC		
Cianobacteria – 1994	Symploca sp	0.008	I fraccion de VLC y 7 de SepPak		
Cianobacteria – 1995	Symploca sp	0 016	2 fracciones de VLC y 14 de SepPak		
Cianobacteria – 1996	Oscillatoria sp	0 001	I fracción de crudo		
Cianobacteria - 1997	Oscillatoria sp.	0 001	1 fraccion de crudo		
Cianobacteria – 2000	Lyngbya sp	0.002	2 fracciones de VLC		
Cianobacteria – 2002	Lyngbya sp	0.016	2 fracciones de VLC y 14 de SepPak		
Cianobacteria – 2003	Rhodophyta sp	0 010	3 fracciones de VLC y 7 de SepPak		
Cianobacteria – 2013	Phormidium sp	0.001	1 fraccion de VLC		

DIRECCIÓN DE AREAS PROTEGIDAS

YVIDA SELVESTRE



## Autoridad Nacional del Ambiente



## PERMISO CIENTÍFICO

No. <u>SEX/A-145-04</u>

VALIDO HASTA: <u>2005-01-20</u>

VALID UNTIL

**EXPORTACION** EXPORT

IMPORTACION

IMPORT

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

FAUNA

Apartado C, Zona 0843, Balboa, Ancón, Panamá TITULAR (NOMBRE Y DIFECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

TODD CAPSON

Instituto Smithsonian de Investigaciones Tropicales, Panamà,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES -- PAIS) CONSIGNEE (NAME AND ADDRESS -- COUNTRY)

KERRY MCPHAIL

Oregon State University College of Pharmacy, Gerwick

Research Lab., 231 Oak Creek Building,

U.S.A.

TRANSITO TRANSIT

RE-IMPORTACION RE-IMPORT OTROS

RE-EXPORTACION

OTHERS

RE-EXPORT

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE 'CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO PURPOSE

Fines Cientifico

NOMBRE COMUN COMMON NAME	NOMBRE CIENTIFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION	
Alga	Lyngbya sp.	50ml y 2 L.	organismos en solución salina	
Alga	Symploca	50ml. y 2L	organismo en solución salina	
Alga	Lyngbya majuscula	50 ml. y 2 L	Organismo en solución salina	
Alga	Lyngbya semiplena	50 ml. y 2 L	organismo en solución salina	
Alga	Lyngbya peniccilli ormis	50 ml. y 2L	organismo en solución salina	

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección Nacional de Patrimonio Natural Servicio Nacional de Administración de Áreas Protegidas y Vida Silvestre

FECHA: 2004-10-20 DATE

ING. HILDA CANDANEDO Directora Nacional de Patrimonio Natural

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR A COMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 

THIS PERMIT MUST BE ACOMPANED BY A QUARENTINE CERTIFICATE FROM THE AGRICULTURAL DEVELOPMENT MINISTRY

\*\*Lista de Especies continúa atrás\*\*

NOMBRE COMUN COMMON NAME:	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Algas	Lyngbya polychroa	50ml y 2L	organismo en solución salina
Algas	Lyngbya bouilioni	50ml y 2L	organismo en solución salina
Algas	Schizothrix sp.	50ml. y 2L	organismo en solución salina
Algas	Oscillatoria sp.	50 ml y 2 L	organismo en solución salina
Algas	Phomildium sp.	50ml. y 2 L	organismo en solución salina
Algas	Rivularia sp.	50ml, y 2L	organismo en solución salina
Algas	Spirocoleus	50ml. y 2L	organismo en solución salina
Algas	Tydemania expeditionis	50ml. y 2L	organismo en solución salina
Algas	Udotea geppii	50ml. y 2L.	organismo en solución salina
Algas	Neomaris sp.	50ml. y 2L	organismo en solución salina
Algas	Dictyota sp.	50ml. y 2L	organismo en solución salina
Algas	Lobophora sp.	50ml. y 2L	organismo en solución salina
Algas	Stypopodium/Padina sp.	50ml. y 2L	organismo en solución salina
Algas	Laurencia sp.	50ml. y 2L	organismo en solución salina
Algas	Zellera sp.	50ml. y 2L	organismo en solución salina
Algas	Peyssonnelia sp.	50ml. y 2L	organismo en solución salina
Algas	Calothrix/Dichothrix sp.	50ml y 2L	organismo en solución salina
Algas	Calothrix/Dichothrix sp.	50ml y 2L	organismo en solución salina

PERMISO CIENTIFICO
SCIENTIFIC PERMIT
(Continuación)
No SEX/A-145-04

JCB6-09406

## REPUBLICA DE PANAMA



## Autoridad Nacional del Ambiente



Apartado C. Zona 0843, Balboa, Ancon, Panamá

PERMISO CIENTÍFICO

No. SEX/A-147-06

VALIDO HASTA: 2007-03-13

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**FAUNA** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

CATHERINA CABALLERO INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES (ICBG),

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

TODD CAPSON

Scripp Institution of Oceanography, University of California at San Diego,

U.S.A.

**EXPORTACION** 

**RE-EXPORTACION** RE-EXPORT

EXPORT IMPORTACION RE-IMPORTACION RE-IMPORT

TRANSITO TRANSIT

OTROS OTHERS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA TRANSPORTE

PORT OF EXIT OR ENTLANCE - CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

PURPOSE

Estos extractos se prepararán en los Estados Unidos y luego serán evaluados en el INDICASA Len Panamá

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION	
Cianobacterias	Lyngbya sp.	1 botella	1500 mL (en agua de mar)	
Opistobranquios	Dolibrifera dolibrifera	1 vial	De 0.05 mg de extracto.	

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2006-12-13



Dirección Maswual de Arose Protegidas y Vida Silvestre

Directora de Áreas Protegidas y Vida

Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO.



56342

MINISTERIO	DE DESARROLLO AGROPECUARIO	(
DIRECCION	NACIONAL DE CUARENTENA AGROPECUARIA	

No. SOLICITUD

No. AUTORIZACION

LICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

19/12/06

HASTA 19/02/07

**EL QUE SUSCRIBE:** 

DRA. ANALEIDA MORON

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

AGROPÉCUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS, SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBEN CUMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTE LICENCIA

IMPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

TODD CAPSON

DOMICILIO: Scripp Institution if Oceanography, Univ.

California at San Diego U.S.A.

APDO.:

TEL:

FAX:

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

CATHERINA CABALLERO

DOMICILIO:

Instituto Smithsonian de Investigaciones

Tropicales PANAMA

APDO:

TEL:

FAX:

CANTIDAD	UNID. DE MED.	DES	CRIPCION			FINALIDAD
					†	
1	botella	Cianobacteria lyn	gbya sp. 1500	ml (en agua de	mar)	
1	vial	Opistobranquios de	ollbrifera doll	MINISTERNO AND	ng de extr	racto
PAIS DE	ORIGEN	PAIS DE PROCEDENCIA	LUGAR DE SALIDA	FRACCION A	RANCELARIA	PAIS DE DESTINO
PANAMA		PANAMA	TOCUMEN	][	]	U.S.A.

ADJUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI COMO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL

CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

LUGAR DE EMISION

VICOMEX

FECHA DE EMISION

19/12/06



## Autoridad Nacional del Ambiente



## PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/A-48-05

VALIDO HASTA: 2005-07-15

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

Apartado C, Zona 0843, Balboa, Ancón, Panamá

**FAUNA** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

TODD CAPSON

INstituto Smithsonian de Investigaciones Tropicales, Ciudad de Panamá,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

KERRY McPHAIL

Oregon State University College of

Pharmacy, Gerwick, Research Lab, 231 Oak, Corvallis,

Oregon,

U.S.A.

**EXPORTACION EXPORT** 

RE-EXPORTACION RE-EXPORT IMPORTACION RE-IMPORTACION RE-IMPORT

IMPORT TRANSITO TRANSIT

OTROS OTHERS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

**PROPOSITO** PURPOSE

Fines Científicos

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	
	Ver lista adjunta	$\top$
ESTE PERMISO ES EMITIDO POI THIS PERMIT IS ISSUED BY:	₹:	

CANTIDAD. QUANTITY DESCRIPCIÓN

am hiente

Dirección Nacional de Patrimonio Natural Servicio Nacional de Administración de Áreas Protegidas y Vida Silvestre

FECHA: 2005-04-15

Dirección Necional de Patrinopie

Petural

ING. HILDA CANDANEDO Directora Nacional de Patrimonio Natural

FIRMA RESPONSABLE Y SELLO

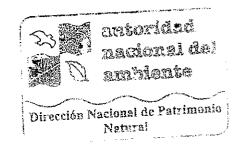
ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 

THIS PERMIT MUST BE ACOMPANED BY A QUARENTINE CERTIFICATE FROM THE AGRICULTURAL DEVELOPMENT MINISTRY

## Lista de especies que se desea exportar

La cantidad a exportar en todos los casos es entre 50 ml y 2 litros del organismo en solución salina.

- 1 2 litros de Oscillatoria mas 50 ml de cultivo
- 2. 2 litros del alga parda Dictyota
- 3. 1 litro del alga roja Liagora
- 4. 1 litro de Schizothrix especie 1 mas 50 ml de cultivo
- 5 1 litro de Schizothrix especie 2 mas 50 ml cultivo
- 6 500 ml de Schizothrix especie 3 mas 50 ml de cultivo
- 7. 1 litro de un alga pigmentada rojo y amarillo sin identificar
- 8. 1 litro de un alga roja gelatinosa, sin identificar
- 9 1 litro de una cianobacteria similar a Symploca mas 50 ml cultivo
- 10. 500 ml de diatomeas en cadena mas 50 ml cultivo
- 11. 1 litro de alga parda Dilophus
- 12 1.5 litros de un alga roja filamentosa
- 13.50 ml de cultivo de una cianobacteria especie 1
- 14. 50 ml de cultivo de una cianobacteria especie 2
- 15 50 ml de cultivo de una cianobacteria especie 3
- 16.50 ml de cultivo de una cianobacteria especie 4
- 17. 1 litro de Lyngbya especie 1 mas 50 ml de cultivo
- 18. 1 litro de *Lyngbya* especie 2 mas 50 ml de cultivo
- 19. 1 litro de *Lyngbya* especie 3 mas 50 ml de cultivo
- 20. 1 litro de Lyngbya especie 4 mas 50 ml de cultivo
- 21. 1 litro de Lyngbya especie 5 mas 50 ml de cultivo
- 22. 1 litro de Schizothrix especie 1 mas 50 ml de cultivo
- 23 1 litro de Schizothrix especie 2 mas 50 ml de cultivo
- 24. 1 litro de Schizothi ix especie 3 mas 50 ml de cultivo
- 25. 1 litro de Schizothrix especie 4 mas 50 ml de cultivo
- 26. 1 litro de Schizothrix especie 5 mas 50 ml de cultivo
- 27, 50 ml de cultivo de una cianobacteria especie 1
- 28, 50 ml de cultivo de una cianobacteria especie 1
- 29, 50 ml de cultivo de una cianobacteria especie 1
- 30, 50 ml de cultivo de una cianobacteria especie 1
- 31 50 ml de cultivo de una cianobacteria especie 1
- 32. 1 litro de un alga parda *Dictyotaceae* especie 1
- 33. 1 litro de un alga Rhodophyta especie 1
- 34. 1 litro de un alga Chlorophyta especie 1





## Autoridad Nacional del **Ambiente**



PERMISO CIENTÍFICO

No. SEX/A-65-06

VALIDO HASTA: 2006-08-30

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

Apartado C, Zona 0843, Balboa, Ancón, Panamá

**FAUNA** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS)
CONSIGNOR (NAME AND ADDRESS - COUNTRY)

CATHERINA CABALLERO INSTITUTO SMITSONIAN DE INVESTIGACIONES TROPICALES,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES -- PAIS) CONSIGNEE (NAME AND ADDRESS -- COUNTRY)

ARNOLD L RHEINGOLD

Dept of Chemistry and Biochemistry, University of California San Diego 9500, CA 92093-0358, U.S.A.

**EXPORTACION** RE-EXPORTACION EXPORT IMPORTACION RE-IMPORTACION

IMPORT RE-IMPORT **TRANSITO** OTROS OTHERS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE

PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

**PROPOSITO** 

Se realizará la exportación para realizar la prueba de rayos X que creará un cristal que permitirá determinar la estructura y definir toda la estereoquímica.

NOMBRE COMUN COMMON NAME NOMBRE CIENTÍFICO SCIENTIFIC NAME Cyanobacteria Symploca sp.

derivado en un

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección Nacional de Áreas Protegidas v Vida Silvestre

FECHA: 2006-05-31

Dirección Nacional de Areas Protegidas y Vida Silvestre

Directora Nacional de Áreas Protegidas y

Vida Śilvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO.



73377

<b>NISTERIO</b>	DE DESARROLLO AGROPECUARIO	ſ
<b>RECCION</b>	NACIONAL DE CUARENTENA AGROPECUARIA	

No. SOLICITUD

No. AUTORIZACION

**CENCIA FITO-ZOOSANITARIA DE EXPORTACION.** 

VIGENCIA:

06/06/06

DESDE

06/08/06

QUE SUSCRIBE:

DR. REINAIDO VIVEROS

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTEN

ROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTO BPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBE MPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTIENCIA.

VIPORTADOR; CIP O RUC:

EXPORTADOR; CIP O RUC:

IOMBRE O RAZON SOCIAL:

NOMBRE O RAZON SOCIAL:

ARNOID I. RHEINGOID

CATHERINA CABALIERO

Depto.of Chemisty and Biochemistry niv.CA, San Diego 9500, CA92093-0358 US

DOMICILIO:

INST. SMITHSONIAN \_ PANAMA

\PDO:

TEL:

APDO

TEL:

FAX:

	NID. DE MED.	DESCRIPC	I O N			FINALIDAD
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1.0	nl	cyanobacteria- symploca				
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DJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COI E PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

A DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO QUE ACREDITAN JMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

LUGAR DE EMISION

FECHA DE EMISION

SU ARCHIVO / RETAIN THIS COPY FOR YOUR RECORDS

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## Autoridad Nacional del Ambiente



Apartado C, Zona 0843, Balboa, Ancón, Panamá

## PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/A-93-10

VALIDO HASTA: 2011-01-06 VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

#### **FAUNA**

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

Marcelino Gutierrez

ICBG,

Panama

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

William H. Gerwick

Instituto Oceanogràfico Scripps, 8615 Discovery Way, Sverdrup Hall Rm. 3236 La Jolla CA 92037,

U.S.A.

**EXPORTACION EXPORTACION** EXPORT

RF.

IMPORTACION RE-IMPORTACION IMPORT

TRANSITO TRANSIT

**OTROS** 

OTHERS

870839707986

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

PURPOSE

Análisis de muestras por espectrometría de masas

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION	
Hongo	Endófitos	0.5 g vial	Aspergyllus versicolor	
Hongo	Endófitos	0.5 g vial	Emericella niger	
Hongo	Hongo Endófitos		Aspergyllus niger	
Bacteria marina	<del></del>		13 viales pequeño con 0.5g de muestra	

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre Téc. Carmen Medina G.

FECHA: 2010-10-06 DATE

INGÆDGART. CHACÓN R Director Encargado de Áreas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO



88363

HASTA

DIDECOLONIA RACIONIA I DE CLIADENTENIA ACROPECLIADIA	_
DIRECCION NACIONAL DE CUARENTENA AGROPECUARIA (	

No. SOLICITUD

No. AUTORIZACION

LICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

28-10-2010

28-12-2010

EL QUE SUSCRIBE:

ING. JAIME CACERES

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

AGROPECUARIA Y CON FUNDAMENTO EN LA LEY 23 DEL 15 JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUACIÓN SE DESCRIBEN CUMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACIÓN POR LO CUAL SE LE EXTIENDE LA PRESENTE LICENCIA.

IMPORTADOR: CIP O RUC:

WILLIAM H. GERWICK NOMBRE O RAZÓ**INST**ALOCEONOGRAFICO SCRIPPS

ESTADOS UNIDOS

DOMICILIO:

CANTIDAD

UNID. DE MED

DESCRIPCIÓN

EXPORTADOR; CIP O RUC:

MARCELINO GUTIERREZ ICBG

NOMBRE O RAZÓN SOCIAL:

**PANAMA** 

DOMICILIO:

APDO::

APDO: TEL: FAX:

TEL:

FAX:

FINALIDAD

VIALES DE HONGOS ENDÓFITOS

VIALES DE BACTERINA MARINA

PAIS DE ORIGEN

**PANAMA** 

PAIS DE PROCEDENCIA

**PANAMA** 

LUGAR DE SALIDA

TOCUMEN

FRACCION ARANCELARIA

PAIS DE DESTINO

ESTADOS UNIDOS

ADJUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COMO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACIÓN ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL CUMPLIMIENTO DE LOS REQUISITOS FITO-ZOOSANITARIOS PARA EXPORTACIÓN.

LUGAR DE EMISION

FECHA DE EMISION

VICOMEX

- 10- 2010

## Commercial Invoice

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Smithsonian Tropical Research Institute Attn: Erika Garibaldo / Marcelino Gutierrez Unit 9100 Box 0948 DPO AA 34002-9998 USA			William	ı Gerwick			
			Scripps Institution of Oceanography 8615 Discovery Way, Sverdrup Hall Rm 3236 La Jolla, CA 92037 USA				
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## Autoridad Nacional del Ambiente



## PERMISO CIENTÍFICO

No SEX/A-98-06

VALIDO HASTA: 2006-11-03

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

#### **FAUNA**

Apartado C, Zona 0843, Balboa, Ancon, Panamá TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

CATHERINA CABALLERO INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES.

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES -- PAIS) CONSIGNEE (NAME AND ADDRESS -- COUNTRY)

TODD CAPSON

Scripps Institution of Oceanography, University of San Diego,MC 0212 La Jolla, California 92093-0212,

Panamá

**EXPORTACION** EXPORT

RE-EXPORTACION RE-EXPORT

IMPORTACION RE-IMPORTACION

TRANSITO TRANSIT

**OTROS** OTHERS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

#### PROPOSITO

La exportación se realiza para realizarar extracciones, para luego trasladarlas a Panamá para evaluar la actividad biológica contra la malaria

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Corales	Heterogorgia sp., Leptogorgia sp.	40 viales	con muestras para pruebas de ADN.
Corales	Heterogorgia sp., Leptogorgia sp.	20 petris	Con muestras de bacterias de los corales
Corales	Heterogorgia sp., Leptogorgia sp.	2 Kg.	Coral crudo (1Kg cada uno)
Corales	Psammogorgia arbuscula	20 viales	con muestras para pruebas de ADN.
Corales	Psammogorgia arbuscula	10 petris	Con muestras de bacterias de los corales

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección Nacional de Áreas Protegidas y Vida Silvestre

FECHA: 2006-08-03 DATE

Dirección Nacional de Areas Protegidas y Vida Silvest ING RAÚL GUTIÉRREZ

Director Nacional de Áreas Protegidas y

da Silvestre a.i.

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 

PERMISO CIENTIFICO
SCIENTIFIC PERMIT
(Continuación)
(Continuación) No SEX/A-98-06

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Corales	Psammogorgia arbuscula	1 Kg	Coral crudo
Oblated		<del> </del>	



45671

## NISTERIO DE DESARROLLO AGROPECUARIO RECCION NACIONAL DE CUARENTENA AGROPECUARIA

No. AUTORIZACION No. SOLICITUD HASTA DESDE

CENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

08/08/06

08/10/06

QUE SUSCRIBE:

ING. IUIS OSES

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTEN

ROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTO BPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBE MPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENT **ENCIA** 

/PORTADOR; CIP O RUC:

IOMBRE O RAZON SOCIAL:

ODD CAPSON

OMICILIO: Scripps Inst. of Oceanography, liv. of San Diego, MC 0212 Ia Joya,

1.92093-0212, U.S.A.

FAX:

EXPORTADOR: CIP O RUC:

NOMBRE O RAZON SOCIAL:

CATHERINA CABAILERO

DOMICILIO:

Instituto Smithsonian- Panama TEL:

APDO:

FAX:

CANTIDAD	UNID. DE MED.	DESCRIPCION	FINALIDAD
JAN TIDAL	0		
40	viales	nuestras corales, para pruebas de ADN	
20	petris	nuestra de bacterias de los corales	
2	kilos	coral crudo (1kg cada uno)	
20	viales	muestra para pruebas de ADN de corales	
10	muestra	de bacterias de los corales	
1	kilos	coral crudo	35 EXP 35 V. GEO
			MOLL
			ên.
	74.00		OS FEC MA
PAIS D	E ORIGEN	PAIS DE PROCEDENCIA LUGAR DE SALIDA FRAC	CCION ARANCELARIA PAIS DE DESTINO
PANA	AMA	PANAMA TOCUMEN	J U.S.A.

JUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COM PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN

IMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION VICOMEX

FECHA DE EMISION 08/08/06





CONVENCIÓN SOBRE EL COMERCIO INTERNACIONAL DE ESPECIES AMENAZADAS DE FAUNA Y FLORA SILVESTRES

XXX EXPORTACIÓN	1 PERMISO / CERTIFICADO
RE - EXPORTACIÓN	No. SEX/A27-06
IMPORTACIÓN	2 VALIDO HASTA EL 24 de Mayo de 2006
OTROS  4. EXPORTADOR (NOMBRE Y DIRECC	IÓN PAÍS)

3 IMPORTADOR (NOMBRE Y DIRECCIÓN PAÍS)

MARCELINO GUTIERREZ, Center for Marine Biothecnologyand Biomedicine Scripps Institution of Oceanography University of California 9500 Gilman Drive MC0212, USA

PARA ANIMALES VIVOS: ESTE PERMISO ES VALIDO SOLO SI LAS CONDICIONES DE

MARCELINO GUTIERREZ, Instituto Smithsonian de Investigaciones Tropicales, Ciudad de Panama, Republica de Panama

5 CONDICIONES ESPECIALES

REPÚBLICA DE PANAMÁ



AUTORIDAD NACIONAL DEL AMBIENTE

TRANSPORTE SE AJUSTAN A LAS DIRECTRICES CITES SOBRE EL TRANSPORTE DE ANIMALES VIVOS O EN CASO DEL TRANSPORTE AEREO A LA REGLAMENTACIÓN DE LA IATA SOBRE EL TRANSPORTE DE ANIMALES VIVOS. **AUTORIDAD ADMINISTRATIVA DE CITES** 5b ESTAMPILLA DE SEGURIDAD No 5a. FIN DE LA TRANSACCION VER AL VERSO) Apartado C, Zona 0843, Balboa, Ancón <u>S(Cientifico)</u> 7./8. NOMBRE COMÚN Y NOMBRE CIENTÍFICO (GENERO 9. DESCRIPCIÓN PARTE O DERIVADO; MARCAS O NÚMEROS DE IDENTIFICACIÓN 10. APENDICE PROCEDENCIA 11 CANTIDAD 11a, TOTAL Y ESPECIE) DE LA PLANTA (INCLUSO LINIDAD EXPORTADOR (EDAD/SEXO SI VIVOS). (VER VERSO) DE MEDIDA) CUPO A. 7. Coral 10 viales (12.2q.) IW 18 Heterogorgia sp cada uno viales 12 PAIS DE ORIGEN PERMISO No 12a PAÍS DE PROCEDENCIA No DE CERTIFICADO FECHA Panama SEX/A27-06 24/02/06 B 7 10. 11a Cora] viales (7.3q.) 10 viales IWcada uno — Psammogorgia sp. 12 PAIS DE ORIGEN PERMISO No. **FECHA** 12a PAÍS DE PROCEDENCIA No DE CERTIFICADO FECHA Panama SEX/A27-06 24/02/06 C. 7 Coral 10 <sup>11</sup>40 11a viales (13.6g.) IW. 8 Psammogorgia arbuscula cada uno viales 12 PAIS DE ORIGEN RERMISO No 12a PAÍS DE PROCEDENCIA **FECHA** No DE CERTIFICADO FECHA Panama SEX/A27-06 24/02/06 D. 7 Coral 10 11 11a. viales (5.0q.)25 IW<sup>8</sup>Leptogorgia sp. cada uno viales 12 PAIS DE ORIGEN PERMISO No **FECHA** 12a PAÍS DE PROCEDENCIA No DE CERTIFICADO FECHA Panama SEX/A27--06 24/02/06 13 ESTE PERMISO ES EMITIDO POR LA AUTORIDAD SIGUIENTE:

6

Panama

LUGAR

24 de Febrero de 2006

**FECHA** 

NOMBRE, ESTAMPILLA DE SEGURIDAD, FIRMA V SELLOS OFICIALES

14. APROBACIÓN DE LA EXPORTACIÓN

15 CONOCIMIENTO DE EMBARQUE / CARTA DE PORTE AEREC

**Restlikt**er to Persiat

A. 18 B. 10 c. 40 p. 25	SECCIÓN	CANTIDAD
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0,	В.	10
<b>D</b> 25	C.	40
	<u>D.</u>	25

PUERTO DE EXPORTACIÓN

FIRMA

SELLO Y CARGO OFICIALES

# TOXIC SUBSTANCE CONTROL ACT (TSCA)

DATE:	CERTIFICATION 3/14/06	
	(CHECK ONE SECTION ONLY)	
	POSITIVE CERTIFICATION:	
	"I CERTIFY THAT ALL CHEMICAL SUBSTANC THIS SHIPMENT COMPLY WITH ALL APPLICA RULES OR ORDERS UNDER TSCA AND THAT I OFFERING A CHEMICAL SUBSTANCE FOR EN VIOLATION OF TSCA OR ANY APPLICABLE RU ORDER THEREUNDER."	ABLE AM NOT TRY IN
	» OR »	
	NEGATIVE CERTIFICATION:	
	"I CERTIFY THAT ALL CHEMICALS IN THIS SH ARE NOT SUBJECT TO TSCA."	IPMENT
COMPAN AUTHORI AUTHORI TITLE:	Y NAME: Smith's oreign Institution  Y ADDRESS: AUE ROOS No 14 Bolloa Anco, Edi;  IZED NAME: Exika Con bollo  ZED SIGNATURE: Entra Control  Administrature Control  EXPRESS AWB#: 8563-8601-7920	L' Tu poper

IF THE CERTIFIER IS UNSURE IF THEIR CHEMICAL SUBSTANCE IS SUBJECT TO TSCA COMPLIANCE, CONTACT THE ENVIRONMENTAL PROTECTION AGENCY, TSCA ASSISTANCE OFFICE, WASHINGTON, D.C. (202) 554-1404 BETWEEN 8:30 AM AND 5:00 PM EST.

REVISED May 7, 1990

# Commercial Invoice

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THESE COMMODITIES, TECHNOLOGY, OR SOFTWARE WERE EXPORTED FROM THE UNITED STATES IN ACCCUANCE WITH THE EXPORT ADMINISTRATION REGULATIONS, DIVERSION CONTRARY TO U.S. LAW PROHIBIT

I DECLARE ALL THE INFORMATION IN THIS INVOICE TO BE TRUE AND CORRECT.

SIGNATURE OR SHIPPER/EXPORTER

DATE



#### Autoridad Nacional del Ambiente



Apartado C, Zona 0843, Balboa, Ancôn, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/AB-1-08

VALIDO HASTA: 2008-12-22

VALID UNTIL

**EXPORTACION** 

EXPORT

IMPORT

**TRANSITO** 

TRANSIT

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

FAUNA, BACTERIAS

**EXPORTACION** 

865118152769

IMPORTACION RE-IMPORTACION

RE-EXPORTACION

RE-EXPORT

RE-IMPORT OTROS

OTHERS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

LUIS CUBILLAS

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES (ICBG),

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES -- PAIS) CONSIGNEE (NAME AND ADDRESS -- COUNTRY)

Kerry McPhail

Orego State University, College of Pharmacy, Gerwick Research

Lab,

USA

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

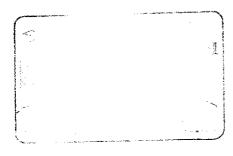
Esta exportación se realiza con el fin de continuar estudios científicos para caracterización morfológica y genética, axtracción y asilamiento de compuestos de los organismos

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Cianobacterias	Leptolyngbya spp.	1	Muestra de 500 mL diluida en etanol.
Cianobacterias	Leptolyngbya spp	2	Muestras de 15 mL cada una en RNALater
Cianobacterias	Leptolyngbya spp.	2	Viales con 200 mL en agua de mar
Cianobacterias	Leptolyngbya spp.	10	Viales con 8 mL C/U.

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2008-10-16 DATE



Directora Encargada de Áreas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO



<b>VISTERIO</b>	DE DESARROLLO AGROPECUARIO	
RECCION	I NACIONAL DE CUARENTENA AGROPECUARIA	l

No. SCLICITUD No. ALITORIZACION DESDE HASTA

ENCIA FITO-ZOOSANITARIA DE EXPORTACION.

**VIGENCIA:** 

29-10-08

29--12-08

DUE SUSCRIBE:

ING. DALIA VASQUEZ

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

IOPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS PRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBEI IPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTI **ENCIA** 

PORTADOR; CIP O RUC:

OREGO S

)MBRE O RAZON SOCIAL:

TITUTO SMITHSONIAN

MICILIO: U.S.A. DOMICILIO:

PANAMA

PO:

TEL:

APDO::

TEL:

FAX:

ANTIDAD	UNID. DE MED.	DESCRIPCION	FINALIDAD
1	ML.	MUESTRA DE 500 ML. DILUIDA EN ETANOL CIANOBACTERIA	S
2	ML.	MUESTRA DE 15 ML. CADA UNA EN RNA LATER CIANOBACTER	TAS REPUBLICA CE PARA ASSO THE
2	ML.	MUESTRA VIALES CON 200 ML. EN AGUA DE MAR CIANOBACI	ERIAS
10	ML.	viales con 8 ML. C/U CIANOBACTERIAS	1
			19910 18
PAIS DE	ORIGEN	PAIS DE PROCEDENCIA LUGAR DE SALIDA FRACCION AR	ANCELARIA PAIS DE DESTINO
PANAMA		PANAMA TOCUMEN	U.S.A.

IUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI COMO PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL (LEY 23 DEL 15 JULIO DE 1997)

DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN E MPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION VICOMEX

FECHA DE EMISION 29-10-08



# Autoridad Nacional del Ambiente



anam

Apartado C, Zona 0843, Balboa, Ancón, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. <u>SEX/AP-2-05</u>

VALIDO HASTA: 2005-08-26

VALID UNTIL

TRANSIT

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

FAUNA,FLORA

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

TODD CAPSON

Grupo Internacionales Coopertaivos de la Biodiversidad, ICBG,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

KERRY McPHAIL

Oregon University College of Pharmacy, Gerwick Research Lab, 231 Oak Creek Building,

U.S.A.

EXPORTACION RE-EXPORTACION RE-EXPORT IMPORTACION RE-IMPORTACION RE-IMPORT RE-IMPORT TRANSITO OTROS

**EXPORTACION** 

OTHERS

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

PURPOSE

Fines Científicos

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Alga	Cyanobacteria		VER LISTADO ADJUNTO
Alga	Macroalgae		VERLISTADO ADJUNTO

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección Nacional de Patrimonio Natural Servicio Nacional de Administración de Áreas Protegidas y Vida Silvestre

FECHA: 2005-05-26

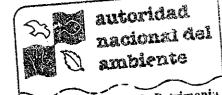
DATE

ING. HILDA CANDANEDO Directora Nacional de Patrimonio Natural

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO

THIS PERMIT MUST BE ACOMPANED BY A QUARENTINE CERTIFICATE FROM THE AGRICULTURAL DEVELOPMENT MINISTRY



Dirección Nacional de Patrimonio Natural

http://elcope.anam.gob.pa/anam/permisos/imp/p\_cient\_export.php?id=SEX/AP-2-05

#### ICBG-036-05

#### Lista de especies que se desea exportar

La cantidad a exportar en todos los casos es entre 50 ml y 2 litros del organismo en una mezcla de agua y alcohol

- 1. 2 litros de *Lynbya* especie 1 mas 50 mL de cultivo
- 2 2 litros de *Lynbya* especie 2 mas 50 mL de cultivo
- 3. 2 litros de Lynbya especie 3 mas 50 mL de cultivo
- 4. 1 litro de Symploca especie 1 mas 50 mL de cultivo
- 5 1 litro de Symploca especie 2 mas 50 mL de cultivo
- 6. 1 litro de Symploca especie 3 mas 50 mL de cultivo
- 7 1 litro de Symploca especie 4 mas 50 mL de cultivo
- 8 1 litro de Schizothrix especie 1 mas 50 mL de cultivo
- 9. 1 litro de Schizothrix especie 2 mas 50 mL de cultivo
- 10. 1 litro de Schizothrix especie 3 mas 50 mL de cultivo
- 11. 1 litro de Schizothrix especie 4 mas 50 mL de cultivo
- 12. 2 litros Oscillatoria especie 1 mas 50 mL de cultivo
- 13. 1 litro Oscillatoria especie 2 mas 50 de cultivo
- 14. 50 mL de cultivo de Symploca especie 5
- 15. 50 mL de cultivo de Symploca especie 6
- 16. 50 mL de cultivo de Symploca especie 7
- 17 50 mL de cultivo de Schizothrix especie 5
- 18. 50 mL de cultivo de Schizothrix especie 6
- 19. 50 mL de cultivo de Schizothrix especie 7
- 20. 50 mL de cultivo de Schizothrix especie 8
- 21 500 mL de Phormidium especie 1 mas 50 mL de cultivo







#### Autoridad Nacional del Ambiente



PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/B-1-06

VALIDO HASTA: 2006-06-23

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS /

OTROS ORGANISMOS

Apartado C, Zona 0843, Balboa, Ancón, Panamá

**BACTERIAS** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

TODD CAPSON

INSTITUTO SMITHSONIAN DE INVESTIGACIONES

TROPICALES, Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

TODD CAPSON

Scripps Institution of Oceanography, University of California at San Diego, La Jolla Cal. 92093-0212, USA

**EXPORTACION RE-EXPORTACION** 

RE-EXPORT IMPORTACION RE-IMPORTACION RE-IMPORT

OTHERS

IMPORT TRANSITO OTROS TRANSIT

**EXPORTACION** 

PUER TO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Se harán los extractos para luego enviarlos a Panamá (SENACYI) donde se les realizaran ensayos que anteriormente indicaron la presencia de sustancias que tienen actividad contra el cancer y enfermedades tropigales,

NOMBRE COMUN COMMON NAME

NOMBRE CIENTÍFICO SCIENTIFIC NAME

DESCRIPCIÓN DESCRIPTION **OVANITY** 

Ver lista adjunta

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección Nacional de Áreas Protegidas y Vida Silvestre

FECHA: 2006-03-22

Dirección vacastal de Areas Protegidas y Vida Silvestre

Directora Nacional de Áreas Protegidas y Vida Silvestre

CAVIDAD

FIRMA RESPONSABLE Y SELLO

ES JE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANIJARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO.

# Lista de especies que se desea exportar

La cantidad a exportar en todos los casos es entre 300 mililitros y 4 litros del organismo en una mezcla de agua y alcohol.

1	Schizothrix spp 2	$300  \mathrm{mL}$
2	Schizothrix spp. 5	800 mL
3	Leptolynbia ssp 3	4 litros
4.	Symploca spp. 2	1.3 mL
<b>5</b>	Symploca spp. 5	1 litro
6.	cianobacteria ssp 19	$400~\mathrm{mL}$
7.	cianobacteria ssp. 22	1.2 litros
8.	cianobacteria ssp. 28	$300  \mathrm{mL}$
9.	cianobacteria ssp. 30	800 mL





INISTERIO DE DESARI	ROLLO AGROPECUARIO
RECCION NACIONAL	DE CUARENTENA AGROPECUARIA

No. SOLICITUD No AUTORIZACION DESDE HASTA

CENCIA FITO-ZOOSANITARIA DE EXPORTACION.

27/03/06

27/05/06

**QUE SUSCRIBE:** 

DRA. ANALEIDA MORON

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTE!

ROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTO BPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBE MPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENT :ENCIA.

MPORTADOR; CIP O RUC:

IOMBRE O RAZON SOCIAL:

TODD CAPSON

DOMICILIO: Scripps Inst. of Oceanography, Univ f California at San Diego, La Jolla Cal U.S.A.

2093-0212,

PDO:

FAX:

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

TODD CAPSON / INST. SMITHSONIAN

\*DOMICILIO:

PANAMA

APDO:

TEL:

FAX:

CANTIDAD	UNID. DE MED.	DESCRIPCION		FINALIDAD
300	ml	schizothrix spp. 2		
300 1	ml litros	schizotrix spp. 5 leptolynbia spp 3		
. 3	ml	symploca spp 2		
1 400	ml ml	symploca spp 5 cianobacteria spp 19		
. 2	litros	cianobacteria 22		
300	ml	cianobacteria 28		
300	ml	cianobacteria 30	25 EXP	
				2 7 MAR 2005
PAIS DE	ORIGEN	PAIS DE PROCEDENCIA  PANAMA  LUGAR DE SALIDA  TOCUMEN	FRACCION AR.	PAIS DE DESTINO  U.S.A.

JUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI CON : PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN I

IMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION

FECHA DE EMISION . . . . . . . . . . . . . . .



## Autoridad Nacional del **Ambiente**



Apartado C, Zona 0843, Balboa, Ancón, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/B-1-07

VALIDO HASTA: 2007-05-27

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**BACTERIAS** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS)

CONSIGNOR (NAME AND ADDRESS - COUNTRY) CATHERINA CABALLERO

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES (ICBG),

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS)

CONSIGNEE (NAME AND ADDRESS - COUNTRY)

KERRY McPHAIL

Oregon State University College of Pharmacy, Gerwick Research

Lab ,Corvallis,Oregon 97331,

U.S.A

**EXPORTACION** 

**RE-EXPORTACION** 

RE-EXPORT IMPORTACION RE-IMPORTACION

IMPORT TRANSITO TRANSIT

RE-IMPORT OTROS OTHERS

**EXPORTACION** 

ambiente

y Masipani de

otegides v Vids Slivestre

PUERTO DE SALIDA O ENTRADA - TRANSPORTE

PORT OF EXIT OR ENTLANCE CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

PURPOSE

Realizar los extractos para luego enviarlos a SENACYT, y probar la actividad de los bioensavos e identificar éstos compuestos activos

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Macroalgas	Macroalgae spp.	650 gramos	En agua y alcohol (VER LISTA ADJUNTA)
Cyanobacterias	Cyanobacterias spp.	1050 gramos	Erragua (Falcobol (VER LISTA ADJUNTA)
ESTE DEPMISO ES EMITIDO DOS	)·		was and the col

THIS PERMIT IS ISSUED BY:

Dirección de Areas Protegidas v Vida Silvestre

FECHA: 2007-02-27

DATE

**EDGAR ARAUZ** 

Director Encargado de Áreas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO

Descripción del Organismo	Especie	Cantidad
Cyanobacteria	Lyngbya majuscula	100g
	Lyngbya semiplena	100g
	Lyngbya penicilliformis	50g
	Lyngbya polychroa	50g
	Lyngbya bouilloni	100g
	Lyngbya sp.	100g
	Schizothrix sp.	100g
	Symploca sp.	100g
	Oscillatoria sp.	100g
	Phormidium sp.	100g
	Rivularia sp.	50g
	Calothrix/Dichothrix sp.	50g
	Spirocoleus sp.	50g
Macroalgas	Tydemania expeditionis	50g
	Udotea geppii	50g
	Neomaris sp.	50g
	Dictyota sp.	100g
	Lobophora sp.	100g
	Stypopodium /Padina sp.	100g
	Laurencia sp.	100g
	Zellera sp.	50g
	Peyssonnelia sp.	50g





# Autoridad Nacional del Ambiente



Apartado C, Zona 0843, Balboa, Ancón, Panamá

#### PERMISO CIENTÍFICO

No. SEX/B-10-06

VALIDO HASTA: 2006-12-06

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

#### **BACTERIAS**

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

CATHERINA CABALLERO

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES (ICBG),,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

LUKE SIMMONS

Scripps Institution of Oceanography, UCSD 8615 Discovery Way Sverdrup Hall Rm 3236, La Jolla, CA 92037,

U.S.A.

**EXPORTACION** 

RE-EXPORTACION IMPORTACION RE-IMPORTACION RE-IMPORT RE-IMPORT

TRANSITO OTROS OTHERS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

La exportación se realiza con el fin de realizar análisis exacto de masa, el cual no se realiza en Panamá

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Cyanobacteria	Lyngbya sp	2 viales	conteniendo 0 05 mg de comp puro C/U
Cyanobacteria	Schizothrix sp	4 viales	conteniendo 0 05 mg de comp puro C/U
Cyanobacteria	Symploca sp	1 vial	conteniendo 0 05 mg de compuesto puro

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección Nacional de Areas Protegidas y Vida Silvestre

FECHA: 2006-09-06



Dirección Nacional de Areas Protegidas y Vida Silvestre

Directora Nacional de Áreas Protegidas y Vida Silvestre a.i.

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARJO



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MINISTER	NO DE D	ESARRO	DLLO AGRO E CUAREN	PECUAF ΓENA AG	RIO ROPECU <i>A</i>	ARIA	No. SOLICITUD	No. AUTORIZA	
	•	SANITAF	RIA DE EXPO				-09-06	18-11-06	
EL QUE SUSCI	RIBE:	ING. DAL	IA VASQUEZ		FUNCIONA	ARIO DE LA	DIRECCION EJ	ECUTIVA DE CUAF	RENTEN
AGROPECUAR	IA, Y CON FL	JNDAMENTO	EN LA LEY 23 D	EL 15 DE JULI	O DE 1997, CEI	TIFICA QUE	LOS ANIMALES	, VEGETALES, PRO	DUCTO
SUBPRODUC	TOS E INSU	UMOS DE C	ORIGEN ANIMA	L, BIOLOGIC	OS Y AGROC	QUIMICOS, O	QUE A CONTI	NUACION SE DE	SCRIBE
CUMPLEN CO	N LOS REC	QUISITOS FI	TO-ZOOSANITA	RIOS PARA I	_A EXPORTAC	ION POR LO	O CUAL SE LE	EXTIENDE LA PR	RESENT
LICENCIA							MA ST	****	
IMPORTADOR; O		LUKE SIM	MONS INST. OF OCE	ANOCD A PHV	EXPORTADOR; O	DR.	A. CATHERIN .B.G.	A CABALLERO	
DOMICILIO:		8615 Disc	overy Way, S Ca 92037 L	verdrup Ha	ILL Rm. DOMICILIO:			Invest. Trop	icales
APDO:		TEL:	85662404	A미74	APDO:		TEL:	FAX:	<u></u>
	UNID. DE MED.			SCRIPC				FINALIDAD	
4 via	les de Cy	anobacter	ia (Lyngobyo ia( Schizoth a ( Symploco	rix sp.(	contenido O	.05mg de	comp. puro)	•	
PAIS DE Panama	ORIGEN	PAIS DI Pana	E PROCEDENCIA	LUGAR Tocui	DE SALIDA MEN	FRACCION	VARANCELARIA	USA PAIS DE DESTI	
		rana	h) ior			J(		] [	

ADJUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI CON DE PRODUCTOS Y SUBPRODUÇTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

Vicomex DE EMISION

FECHA DE EMISION 18-09-06

Malia Uf Casque



#### Autoridad Nacional del **Ambiente**



Apartado C, Zona 0843, Balboa, Ancôn, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/B-12-06

VALIDO HASTA: 2007-02-20

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**BACTERIAS** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS)

CONSIGNOR (NAME AND ADDRESS - COUNTRY) CATHERINA CABALLERO

INSTITUTO SMITHSONIAN DE INVESTIGACIONES IROPICALES (ICBG).

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

JENNIFER KEPLER

Scripps Institution of Oceanography, UCSD 8615 Discovery Way,3236 La Jolla, CA 92037.

U.S A

EXPORTACION EXPORT

RE-EXPORTACION RE-EXPORT

IMPORTACION RE-IMPORTACION IMPORT TRANSITO

TRANSIT

RE-IMPORT OTROS OTHERS

**EXPORTACION** 

DESCRIBCION

PUERTO DE SALIDA O ENTRADA - TRANSPORTE

PORT OF EXIT OR ENTANCE CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO PURPOSE

Esta exportación se realiza con fines científicos de estudios de identificación taxonómica.

CANTIDAD: NOMBRE COMUN NOMBRE CIENTÍFICO COMMON NAME SCIENTIFIC NAME Cianobacterias Lyngbya sp. Frascos ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Areas Protegidas v

FECHA: 2006-11-20

Vida Silvestre

Directora de Áreas Protegidas y Vida

Dirección Natione de

Areas Protegidas y Vida Silvestm

Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO.



IINISTERIO	<b>DE DESARR</b>	OLLO AGROI	PECUARIO	4
IRECCION	NACIONAL I	E CUARENTI	ENA AGROPEC	UARIA

No. SOLICITUD

56055

ICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

27/11/06

27/01/07

L QUE SUSCRIBE:

DRA. ANALEIDA MORON

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

GROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS UBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUAÇION SE DESCRÍBEI UMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTI ICENCIA.

IMPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

JENNIFER KEPLER

DOMICILIO: Scripps Inst. of Oceanography, UCSD 8615 Discovery Way, 3236, La jolla, CA 92037

APDO:

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

CATHERINA CABALLERO DOMICILIO:

Inst. Smithsonian-Panama

FAX:

ANTIDAD	UNID. DE S C R I P C I O N	FINALIDAD
.3	frascos de cultivo de 50ml, con 15ml de solucion salina de la	
	especie de Cianobacteria Lyngbia majuscula	
•	QUE CLAPTON	
	// <u>28</u> + 19	791
	$\left(\frac{1}{2}\right)^3$	S
		7.8 <b>//</b>
	OESARROLLO	
		27 NOV 2008
210		
PAIS DE	PAIS DE PROCEDENCIA LUGAR DE SALIDA FRACCION ARANCELARI	
TEMM,	IA PANAMA ITOCUMEN	U.S.A.

ADJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI COMI DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN E CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION

FECHA DE EMISION

VICOMEX

27/11/06



#### Autoridad Nacional del **Ambiente**



# PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/B-13-06

VALIDO HASTA: 2007-03-12

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

Apartado C, Zona 0843, Balboa, Ancón, Panamá

**BACTERIAS** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

CATHERINA CABALLERO

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES (ICBG),

Panamá

EXPORTACION RE-EXPORTACION RE-EXPORT EXPORT IMPORTACION RE-IMPORTACION RE-IMPORT IMPORT TRANSITO OTROS OTHERS TRANSIT

**EXPORTACION** 

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

TODD CAPSON

Scripps Institution of Oceanography, University of california at San Diego 9500 Gilman Drive, MC 0212, U.S.A.

858072265179

PUERTO DE SALIDA O ENTRADA / TRANSPORTE

PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO PURPOSE

Estas muestras serán exportadas para ser preparadas en los Estados Unidos y luego traerlas a Panamá, para ser evaluadas por el INDICASAT

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Cianobacterias	Leptolyngbya spp.	5 litros	En una bolsa plástica con agua de mar

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas 🖔 Vida Silvestre

FECHA: 2006-12-12 DATE



Directora de Áreas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO.



56343

NISTERIO	DE DESARROLLO AGROPECUARIO	
RECCION	NACIONAL DE CUARENTENA AGROPE	ECUARIA

No. AUTORIZACION No. SOLICITUD HASTA

ENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

19/12/06

19/02/07

QUE SUSCRIBE:

DRA. ANALEIDA MORON

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

ROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS,

3PRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBEN

MPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTE

ENCIA.

IPORTADOR; CIP O RUC:

IOMBRE O RAZON SOCIAL:

CODD CAPSON

OMICILIO: Scripps Inst. of Oceanography, University f California at San Diego 9500 Gilma Drive,MC 02 2

TEL:

FAX:

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

CATHERINA CABALLERO

DOMICILIO:

Instituto Smithsonian de Investigaciones Tropical

APDO PANAMA

TEL:

FAX:

CANTIDAD	UNID. DE MED.	DES	CRIPCION		FINALIDAD
5	litros	Cianobacteria Lepto (en una bolsa plastica	olyngbya spp. –	TO THE EXPORTED AND A PROPERTY OF THE PARTY	COJARIO X
PAIS D	E ORIGEN	PAIS DE PROCEDENCIA PANAMA	LUGAR DE SALIDA TOCUMEN	FRACCION ARANCELARIA	PAIS DE DESTINO U.S.A.

DJUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI COMO E PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL (LEY 23 DEL 15 JULIO DE 1997)

A DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL

UMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION VICOMEX

19/12/06

FECHA DE EMISION



# Autoridad Nacional del Ambiente



PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/B-14-06

VALIDO HASTA: 2007-03-14

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**BACTERIAS** 

Apartado C, Zona 0843, Balboa, Ancon, Panamá

EXPORTACION EXPORT

RE-EXPORTACION

IMPORTACION RE-IMPORTACION IMPORT

**OTROS** 

TRANSITO TRANSIT

OTHERS

EXPORTACION

858072265238

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

CATHERINA CABALLERO INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES (ICBG),

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

ROGER LININGTON

Scripps Institution of Oceanography, University of California at San Diego La Jolla, CA 92093-0212,

USA.

PUERTO DE SALIDA O ENTRADA - TRANSPORTE PORT OF EXIT OR ENTANCE CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

PURPOSE

Esta exportación se realiza con el fin de que el Scrips Intitution de Oceanografía realice estudios complementarios a los de cáncer que realiza el INDICASAT en Panamá

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Cjanobacteria	Cianobacteria sp.		

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección de Areas Protegidas y Vida Silvestre

FECHA: 2006-12-14



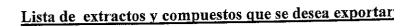
ALEIØA SALAZAR

Directora de Áreas Protegidas y Vida

Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO



Compuesto	Nombre	Puro?	Peso	Uso
<u> </u>				
RGL1610G3	Mantillamide A	Si	4.2mg	Análisis de estereoquímica y RMN
RGL1610G4B	Mantillamide B	Si	1.1mg	Análisis de estereoquímica y RMN
RGL1610G4C	Mantillamide C	Si	1.4mg	Análisis de estereoquímica y RMN
RGL1610G4D	Mantillamide D	Si	1.2mg	Análisis de estereoquímica y RMN
RGL1610G2	Mantillamide E	Si	0.7mg	Análisis de estereoquímica y RMN
RGL1610G	-	No	320mg	Fracción de VLC para purificación de HPLC
RGL1607F1B	Venturamide A	Si	1 3mg	Análisis de bioensayo en cáncer que no hay en Panamá
RGL1607G1B	Venturamide B	Si	0 6mg	Análisis de bioensayo en cáncer que no hay en Panamá
RGL1617G5B	Toronomide A	Si	1.6mg	Análisis de RMN a 800 MHz (que no hay en Panamá)
RGL1617G4	Malyngamide C	Si	31mg	Para bioensayo avanzado en cáncer
RGL1611F1B	Gallinamide A	Si	3.4mg	Análisis de estereoquímica y RMN
RGL1620I12B	Bastimolide A	Si	12.8mg	Análisis de estereoquímica y RMN
RGL1620I12B1C	Bastimolide A acetate	Si	2 lmg	Análisis de estereoquímica y RMN
				,
RGL1629E1E	Malyngamide C	Si	140mg	Análisis avanzado de bioensayo en
	acetate			cáncer que no hay en Panamá
		<u> </u>		Análisis de estereoquímica y RMN
RGL1627H3B	Almiramide A	Si	6mg	Análisis de estereoquímica y RMN  Análisis de estereoquímica y RMN
RGL1627H3C	Almiramide B	Si	8mg	Análisis de estereoquímica y RMN
RGL1627H4B	Almiramide C	Si	1.2mg	Análisis de estereoquímica y RMN  Análisis de estereoquímica y RMN
RGL1627H4C	Almiramide D	Si	0.8mg	Aliansis de estereoquimea y kunt
PAP-04-OCT-05-1	Lyngbya sp	No	lg	Muestra para el determinación de la taxonomia
PAP-04-OCT-05-2	Schizothrix sp	No	lg	Muestra para el determinación de la taxonomia
PAB-06-NOV-05-1	Symploca sp.	No	lg	Muestra para el determinación de la taxonomia
PAB-09-APR-05-6	Lyngbya sp	No	1g	Muestra para el determinación de la taxonomia
PAB-27-JUL-06-6	Lyngbya sp.	No	1g	Muestra para el determinación de la taxonomia







### Autoridad Nacional del Ambiente



PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/B-2-09

VALIDO HASTA: 2009-08-25 VALID UNTIL

Apartado C. Zona 0843. Balboa, Ancón Panamá

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

William Gerwick

Instituto Smithsonian de Investigaciones Tropicales, Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

William Gerwick

Center for Marine Biotechnology and Biomedicine Scripps Institution of Oceanography,

U.S.A

**EXPORTACION EXPORTACION** 

RE-

EXPORT

IMPORTACION RE-IMPORTACION IMPORT

TRANSITO

OTROS

TRANSIT OTHERS

**EXPORTACION** 

867877175793

PUER IO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

**PROPOSITO** PURPOSE

Fines cietíficos para análisis

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION	
Cianobacterias	Lyngbya	0.5mg	5 viales	
Cianobacteria	Symploca	0.5 mg	1 vial	

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2009-05-25

DATE



Diregtora de Areas Protegidas y Vida

Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO



88227

MINISTERIO DE DESARROLLO AGROPECUARIO	_
DIRECCION NACIONAL DE CUARENTENA AGROPECHARIA	

No. AUTORIZACION HASTA 03-08-09 03-10-09 VIGENCIA:

LICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

ING. DALIA VASQUEZ

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTEN/

No. SOLICITUD

AGROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBEI CUMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTI LICENCIA

IMPORTADOR: CIP O RUC: WILLIAM GERWICK

EXPORTADOR; CIP O RUC:

WILLIAM GERWICK

Center for Marine Biotechnology and Biomedicine ABRE O RAZON SOCIAL Scripps Institution of Oceanography

Instituto Smithsonian de Investigaciones

NOMBRE O RAZON SOCIAL: Tropicales

DOMICILIO:

CANTIDAD

EL QUE SUSCRIBE:

U.S.A

UNID. DE MED.

DOMICILIO:

**PANAMA** 

APDO:

TEL:

FAX:

DESCRIPCION

APDO.:

TEL:

FAX:

**FINALIDAD** 

MUESTRAS DE ANALISIS PARA FINES CIENTIFICAS 2 UNIDADES

> 0.5 mg CIANOBACTERIAS (LYNGBYA 5 VIALE\$) 0.5 mg CIANOBACTERIAS (SYMPLOCA 1 VIAL)

PAIS DE ORIGEN

PAIS DE PROCEDENCIA

LUGAR DE SALIDA

FRACCION ARANCELARIA

PAIS DE DESTINO

**PANAMA** 

PANAMA

TOCUMEN

U.S.A

ADJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI COMO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

A DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

LUGAR DE EMISION

FECHA DE EMISION

VICOMEX

03-08-09



### Autoridad Nacional del Ambiente



SCIENTIFIC PERMIT

PERMISO CIENTÍFICO

No. SEX/B-3-06

VALIDO HASTA: 2006-07-04

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

Apartado C, Zona 0843, Balboa, Ancón, Panamá

BACTERIAS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

HARALD GROSS

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES.,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS)
CONSIGNEE (NAME AND ADDRESS - COUNTRY)

HARALD GROSS

Center for Marine Biotechnology and Biomedecine, Univ. of California at San Diego, MC0212, California.,

USA.

EXPORTACION RE-EXPORTACION RE-EXPORT RE-EXPORT RE-EXPORT RE-EXPORT RE-IMPORTACION RE-IMPORT TRANSITO OTROS

TRANSITO OTROS

OTHERS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTINCE : CARRIER

Aeropuerio Internacional de Tocumen

PROPOSITO

Se extraerán las cianobacterias en el Scripps Institution of Oceanography y enviados a INDICASAT (SENACYT), para la realización de Bioensavos.

MOMBRE COMUN	MOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Oyanobaderla	Cyanobaderia spp.	VER LISTA	las sp. que estén en 1250 mL de agua

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección Nacional de Áreas Protegidas y Vida Silvestre

FECHA: 2006-04-04 0415 Dissection Engagement of

Asona Grotegidas y Villa Ciisoska

ALEIDÄ SALAZAR

Directóra Nacional de Áreas Protegidas y Vida Silvestra

FIRMA RESPONSABLE Y SELLO

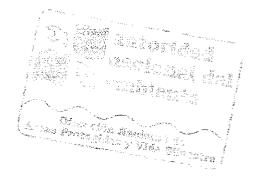
ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO

THIS FEDDITIFIEST SE ACOST ANED BY A QUARENTESE CHARTFIEATE FROM THE AUXILLETURAL DEVELOPMENT REPORTED

# Lista de Especies para Exportación

Todas las muestras estan en 50 mL de agua de mar.

- 1. Lyngbya sp (1) cultivo de 50 mL
- 2 Lyngbya sp (2) cultivo de 50 mL
- 3. Lyngbya sp (3) cultivo de 50 mL
- 4. Symploca sp (1) cultivo de 50 mL
- 5 Symploca sp (2) cultivo de 50 mL
- 6. Symploca sp (3) cultivo de 50 mL
- 7. Symploca sp (4) cultivo de 50 mL
- 8. Schizothrix sp (1) cultivo de 50 mL
- 9 Schizothrix sp (2) cultivo de 50 mL
- 10 Schizothrix sp (3) cultivo de 50 mL
- 11 Schizothrix sp (4) cultivo de 50 mL
- 12 Oscillatoria sp (1) cultivo de 50 mL
- 13 Oscillatoria sp (2) cultivo de 50 mL
- 14. Symploca sp (5) cultivo de 50 mL
- 15. Symploca sp (6) cultivo de 50 mL
- 16 Symploca sp (7) cultivo de 50 mL
- 17 Schizothrix sp (5) cultivo de 50 mL
- 18. Schizothrix sp (6) cultivo de 50 mL
- 19. Schizothrix sp (7) cultivo de 50 mL
- 20 Schizothrix sp (8) cultivo de 50 mL
- 21 Phormidium sp (1) cultivo de 50 mL
- 22 Lyngbya sp (4) cultivo de 50 mL
- 23 Lyngbya sp (5) cultivo de 50 mL
- 24 Lyngbya sp (6) cultivo de 50 mL
- 25. Lyngbya sp (7) cultivo de 50 mL





72655

INISTERIC	DE DESARROLLO AGROPECUARIO	
RECCION	NACIONAL DE CUARENTENA AGROPECUARIA	l

No. SOLICITUD

No. AUTORIZACION

CENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

07/04/06

07/06/06

QUE SUSCRIBE:

DRA. ANALEIDA MORON

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTEI

ROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTO IBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBI

ENCIA.

MPORTADOR; CIP O RUC:

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

NOMBRE O RAZON SOCIAL:

HARALD GROSS

HARALD GROSS

DOMICILIO: Center for marine Biotechnology and DOMICILIO:

iomedecine, Univ. California, MC0212 U.S.A.

INST. SMITHSONIAN\_ PANAMA

\PDO.:

TEL:

FAX:

APDO.:

TEL.:

FAX:

NTIDAD .	UNID. DE MED.	DESCRIPCION	FINALIDAD
			ing and the sayon
96	cultivo	s de cyanobacteria spp- en 1250 ml de agua	STATE OF THE PARTY
			0 7 ABR 21188
DAIS DE	ORIGEN	PAIS DE PROCEDENCIA LUGAR DE SALIDA FRACCION	ARANCELARIA PAIS DE DESTINO

DJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COPERDUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

A DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN

JMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION

FECHA DE EMISION



#### Autoridad Nacional del Ambiente



PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/B-3-08

VALIDO HASTA: 2008-11-11

VALID UNTIL

**EXPORTACION** 

IMPORT TRANSITO

TRANSIT

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**BACTERIAS** 

**EXPORTACION** 

865118380135

IMPORTACION RE-IMPORTACION

**RE-EXPORTACION** 

OTROS

RE-EXPORT

RE-IMPORT

OTHERS

Apartado C, Zona 0843, Balboa, Ancón, Panamá
TITULAR (NOMBRE Y DIRECCIONES – PAIS)

CONSIGNOR (NAME AND ADDRESS - COUNTRY)

LUIS CUBILLAS-RIOS

INSTITUTO SMITHSONIAN DE INVESTIGACIONES

TROPICALES (ICBG),

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS)

CONSIGNEE (NAME AND ADDRESS - COUNTRY)

**MARCY BALUNAS** 

Center for Marine Biotechnology and Biomedicine, University of

California at San Diego., U.S.A.

O.O.I.

PUERTO DE SALIDA O ENTRADA - TRANSPORTE PORTOF EXIT OF ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO PURPOSE

Esta exportación se realiza con el fín de efectuar análisis para contribuír en el desarrollo de metodologías efectivas para el descubrimiento de medicamentos en el bosque tropical panameño en una manera que promueva la conservación de la biodiversidad.

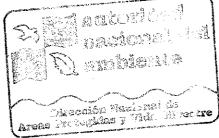
NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION	
Cianobacterias	Lyngbya sp.	0.2 mg	Compuesto aislado Nº 1	
Cianobacterias	Lyngbya sp.	0.5 mg	Compuesto aislado Nº 2	
Cianobacterias	Lyngbya sp.	0.5 mg	Compuesto aislado Nº 3	

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2008-08-11 DATE



AL FIDA SALAZAR

Directora de Áreas Protegidas y Vida

Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO.



89901

MINISTERIO DE DESARROLLO AGROPECUARIO	
DIRECCION NACIONAL DE CUARENTENA AGROPECUARIA	

No. SOLICITUD

No. AUTORIZACION

LICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

DESDE 18-08-08

HASTA 18-10-08

EL QUE SUSCRIBE:

ING. DAI IA VASQUEZ

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTEN

AGROPECUARIA, Y CON FUNDAMENTO EN L'A LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUACION SE DESCRIBE CUMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENT LICENCIA

IMPORTADOR; CIP O RUC:

EXPORTADOR; CIP O RUC:

AND BIOVEDICINE UNIV. OF CALIFORNIA SAN DIEGO

DOMICILIO:

NOMBRE O RAZON SOCIAL: MARCY BAI UNAS/ CENTER FOR MARINE MARES RAZON SOCIAL: IUIS JUBILIAS \_RIOS INS. SMITHSO

DE INVESTIGACIONES TROPICALES

DOMICILIO:

USA

APDO:

TEL:

FAX:

APDO:

PANAMA

FRACCION ARANCELARIA

TEL:

FAX:

PAIS DE DESTINO

USA

CANTIDAD	UNID. DE MED.	DESCRIPCION	FINALIDAD
0.2 ng	compuest	DESCRIPCION  o aislado de cianobacterias (Iyngbya sp.).  o N2 aislado de cianobacterias (Iyngbya sp.)  o N3 de cianobacterias (Iyngbya sp.).	FINALIDAD  FINALIDAD  FINALIDAD
PAIS DE			

ADJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI COM DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

TO, UMFN

LUGAR DE SALIDA

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN E CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

LUGAR DE EMISION

VI JOYEX

PANAMA

FECHA DE EMISION 18-08-08

PANAMA

Palia Clf.



# Autoridad Nacional del **Ambiente**



Apartado C, Zona 0843, Balboa, Ancón, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/B-4-06

VALIDO HASTA: 2006-08-05

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**BACTERIAS** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS)

CONSIGNOR (NAME AND ADDRESS - COUNTRY) CATHERINA CABALLERO

INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES, Proyecto ICBG.,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

TODD CAPSON

Scripps Institution of Oceanography, University of California at San Diego California 92093-0212,

USA

EXPORTACION **EXPORT** 

RE-EXPORTACION RE-EXPORT

IMPORTACION RE-IMPORTACION

TRANSITO TRANSIT

OTROS OTHERS

**EXPORTACION** 

PUER TO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Hacer extractos para luego traerlas a Panamá y ser preparadas en el Instituto de Investigaciones Científicas Avanzadas y Servicios de Alta Tecnología (INDICASAT), en la Ciudad de Panamá

MOINDIKE CONTON		NOMBRE CIENTÍFICO	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
	Common NAME  Cyanobacterias	Cyanobacterias spp. (En Alcohoi)	13.4 ml	Ver Lista Adjunta

ESTE PERMISO ES EMITIDO POR:

Dirección Nacional de Áreas Protegidas y Vida Silvestre

FECHA: 2006-05-05

DATE

Directora Nacional de Áreas Protegidas y

Vida Silvestre

FIRMA RESPONSABLE Y SELLO

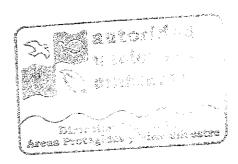
ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO.

一个要的类型。

# Lista de especies que se desea exportar

La cantidad a exportar en todos los casos es entre 0 8 a 4.3 litros del organismo en una mezcla de agua y alcohol.

1 Lyngbya majuscula (1)	1.2 L
2. Lyngbya majuscula (2)	1.0 L
3. Lyngbya sp. 6	4.3 L
4. Lyngbya sp. 8	0.8 L
5. Lyngbya sp. 13	0.25 L
6. Lyngbya sp. 9	0.25 L
7. Calothrix sp. 1	0.20 L
8. desconocida sp. 1	0.40 L
9 Leptolyngbya sp. 3	4.0 L
10. desconocida sp. 2	1.0 L





# INISTERIO DE DESARROLLO AGROPECUARIO IRECCION NACIONAL DE CUARENTENA AGROPECUARIA

No SOLICITUD	No. AUTORIZACION
	HASTA
11/05/06	11/07/06

ACNIAIN EN	ro700S	ANITARIA	DE	EXPORTACION.
------------	--------	----------	----	--------------

VIGENCIA:

11/05/06

QUE SUSCRIBE:

DRA. ANAIEIDA MORON

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

ROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS JBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBEN JMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTE

CENCIA.

IMPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

TODD CAPSON

DOMICILIO: University of California at San Diego, California 92093-0212 U.S.A.

APDO:

TEL:

FAX.

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

CATHERINA CABALLERO

DOMICILIO:

Inst. Smithsonian- Panama

APDO:

TEL

FAX:

FINALIDAD DESCRIPCION UNID. DE MED. CANTIDAD cyanobacterias en alcohol ml13.4 (ver lista) PAIS DE DESTINO FRACCION ARANCELARIA LUGAR DE SALIDA PAIS DE PROCEDENCIA U.S.A. PAIS DE ORIGEN TOCUMEN PANAMA PANAMA

ADJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL ASI COM DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO QUE ACREDITAN E

CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION VICOMEX

FECHA DE EMISION 11/05/06



### Autoridad Nacional del Ambiente



anam

Apartado C, Zona 0843, Balboa, Ancón, Panamá

#### PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/B-5-06

VALIDO HASTA: 2006-09-19

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

#### **BACTERIAS**

TITULAR (NOMBRE Y DIRECCIONES -- PAIS) CONSIGNOR (NAME AND ADDRESS -- COUNTRY)

CATHERINA CABALLERO
INSTITUTO SMITHSONIAN DE INVESTIGACIONES
TROPICALES.

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

TODD CAPSON

Center for Marine Biotechnology and Biomedecine (SIO), University of California at San Diego.,

U.S.A.

EXPORTACION

RE-EXPORTACION RE-EXPORT

IMPORTACION RE-IMPORTACION RE-IMPORT

TRANSITO TRANSIT OTROS OTHERS

**EXPORTACION** 

PUER TO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

**PROPOSITO** 

PURPOSE

Realizar bioensayos que indiquen la presencia de sustancias que tengan actividad contra cáncer y enfermedades tropicales.

NOMBRE COMUN NOMBRE CIENTÍFICO SCIENTIFIC NAME		CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Cyanobacteria	Cyanobacteria spp.	20 Litros	VER LISTA ADJUNTA

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección Nacional de Áreas Protegidas y Vida Silvestre

FECHA: 2006-06-19 DATE



Dirección Nacional de Areas Protegidas y Vida Silvestr ALEIDA SALAZAR

ALEIDÁ SALAZAR
Directora Nacional de Áreas Protegidas y

Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROL LO AGROPECUARIO.

# Lista de organismos que se desea exportar

La cantidad a exportar en todos los casos es entre 2 a 6 litros del organismo en una mezcla de agua y alcohol.

1 Lyngbya majuscula	2 L
2. Lyngbya sp. 6	2 L
3. Lyngbya sp. 8	4 L
4 Schizothrix sp.3	6 L
5. Symplocca sp.2	6 L



45080

MISTERIO	DE DESARROLLO AGROPECUARIO	
RECCION	NACIONAL DE CUARENTENA AGROPECUARIA	À

No. SOLICITUD

No AUTORIZACION

CENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

DESDE 22/06/06

HASTA 22/08/06

QUE SUSCRIBE:

DR. REINALDO VIVEROS

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTEN

ROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTO BPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBE MPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENT **ENCIA** 

VIPORTADOR; CIP O RUC:

EXPORTADOR; CIP O RUC:

IOMBRE O RAZON SOCIAL:

NOMBRE O RAZON SOCIAL:

TODD CAPSON

CATHERINA CABALLERO

DOMICILIO: Center for marine Biot. and Biometomicilio:

(SIO), University of California U.S.A.

INST. SMITHSONIAN\_ PANAMA

IPDO:

TEL:

FAX:

APDO:

TEL:

FAX:

DO:		122.					- Lange
ANTIDAD	UNID. DE MED.		DES	CRIPC	ION		FINALIDAD
20	litros	Cyanob (ver lis	actería s; ta adjunto	op. a)			
						***	
PAIS DE	ORIGEN	PAIS DE PR	OCEDENCIA NAMA	LUGAF	DE SALIDA	FRACCION AF	PAIS DE DESTINO U , S , A

DUUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COM E PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

OCCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN JMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION VICOMEX



#### Autoridad Nacional del Ambiente

PERMISO CIENTÍFICO SCIENTIFIC PERMIT

No SEX/B-8-10

VALIDO HASTA: 2010-08-17 VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

Apartado C, Zona 0843, Balboa, Ancôn, Panama

TITULAR (NOMBRE Y DIRECCIONES - PAIS)
CONSIGNOR (NAME AND ADDRESS - COUNTRY)

MARCELINO GUTIERREZ

Panamá, Ciudad de Panamá,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

WILLIAM H. GERWICK

Instituto Oceanografico Scripps-Universidad de California San

Diego,

U.S.A.

RE-EXPORTACION **EXPORTACION** RE EXPORT EXPORT IMPORTACION RE-IMPORTACION RE-IMPORT RE-IMPORT TRANSITO

TRANSIT

OTROS OTHERS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE/CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Análisis de muestras por resonancia magnética nuclear

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Bacterias	Bacterias Marinas	10	

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2010-05-17



YESSENIA DEL C. GONZÁLEZ M Directora de Áreas Protegidas y Vida

Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 



83787

NISTERIO DE DE RECCION NACIO ENCIA FITO-ZOOS QUE SUSCRI <b>D</b> ER. CLAU	SARROLLO AGROPECUA NAL DE CUARENTENA A	ARIO GROPECUARIA 20-05 <del>-10</del>	No. SOLICITUD No. AUTORIZACION
	ANITADIA DE EVOADTACIO	20-03-10	HACTA
	SANITARIA DE EXPUNTACIO		DESDE 20-07-10 HASTA
· · · · · · · · · · · · · · · · · · ·			RECCION EJECUTIVA DE CUARENTEN
ODECHARIA Y CON FUN		二 紀O DE 1997, CERTIFICA QUE LO	OS ANIMALES, VEGETALES, PRODUCTOS
		and the second s	JE A CONTINUACION SE DESCRIBE
			CUAL SE LE EXTIENDE LA PRESENT
	IISITOS FITO-ZOUSANITARIOS PARA	A LA EXPORTACION FOR LO	OOAL OL LE LANGE
ENCIA.			
PORTADOR; CIP O RUC:		EXPORTADOR; CIP O RUC:	
	ITUTION OF OCEANOGRAPH RSIDAD DE SAN DIEGO CA.	Y NOMBRE O RAZON SOCIAL INST. SMITHSONIA TROPICALES	AN DE INVESTIGACIONES
OMICILIO: U.S.A	•	DOMICILIO: PANAMA	
PDO:	TEL: FAX:	APDO:	TEL: FAX:
CANTIDAD UNID. DE MED.	DESCRIP	CION	FINALIDAD
0.5 KILOS	COMPUESTOS AISLADO	S DE BACTERIAS MARIN	DE EXPORTING AND SARROLLO AGRAPA
PAIS DE ORIGEN PANAMA	PANAMA TOCU	UMEN	PAIS DE DESTINO U.S.A.  DRTACION DE ANIMAL Y VEGETAL, ASI CON

20- 05-2010 A DE EMISION

VICTORM REXEMISION





#### Autoridad Nacional del Ambiente

# PERMISO CIENTÍFICO

SCIENTIFIC PERMIT



No SEX/BH-9-11

VALIDO HASTA: 2011-11-22

VALID UNTIL

Apartado C. Zona 0843 Balboa, Ancón, Panamá

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

MARCELINO GUTIERREZ

Ciudad de Panamá, Clayton, calle Guanabanas.

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES -- PAIS) CONSIGNEE (NAME AND ADDRESS -- COUNTRY)

Instituto Oceanográfico Scripps, Universidad de Californis San

Diego,

**EXPORTACION** EXPORT

RE-EXPORTACION RE-EXPORT

IMPORTACION RE-IMPORTACION

TRANSITO

OTROS OTHERS

**EXPORTACION** 

WILLIAM H. GERWICK

U.S.A

PUER I'O DE SALIDA O ENTRADA / TRANSPOR I E PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO PURPOSE

Análisis de muestras por espectrometría de masas.

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Bacteria marina	Desconocido	0.5 g	Vial pequeño con la muestras.
Bacteria marina	Desconocido	0.5 g	Vial pequeño con la muestras.
Bacteria marina	Desconocido	0.5 g	Vial pequeño con la muestras.
Bacteria marina	Desconocido	0.5 g	Vial pequeño con la muestras.
Bacteria marina	Desconocido	0.5 g	Vial pequeño con la muestras.
Bacteria marina	Desconocido	0.5 g	Vial pequeño con la muestras.

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2011-08-22

Elaborado por: Alexander Montero

keral del PROTEGIDAS SILVESTRE

ING EDGAR'T CHACON R

Director de Áreas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 



# Autoridad Nacional del Ambiente

# PERMISO CIENTÍFICO SCIENTIFIC PERMIT

No. <u>SEX/-12-11</u>

VALIDO HASTA: 2011-11-22 VALID UNTIL

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD	DESCRIPCIÓN	
Bacteria marina	Desconocido	QUANTITY	DESCRIPTION	
Bacteria marina		0.5 g	Vial pequeño con la muestras	
Bacteria marina	Desconocido	0.5 g	Vial pequeño con la muestras	
	Desconocido	0.5 g	Vial pequeño con la muestras	
Bacteria marina	Desconocido	0.5 g		
Bacteria marina	Desconocido		Vial pequeño con la muestras	
Bacteria marina	Desconocido	0.5 g	Vial pequeño con la muestras.	
Bacteria marina	<del></del>	0.5 g	Vial pequeño con la muestras.	
longo Endófitos	Desconocido	0.5 g	Vial pequeño con la muestras.	
<del> </del>	Desconocido	0.5 g		
longo Endófitos	Desconocido	<del> </del>	Vial pequeño con la muestras.	
		0.5 g	Vial pequeño con la muestras.	





# ERIO DE DESARROLLO AGROPECUARIO CCION NACIONAL DE CUARENTENA AGROPECUARIA

	09275
SOLICITUD	No. AUTORIZACION

CENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

DESDE 31-8-2011

31-10-2011

QUE SUSCRIBE: NG MIGUEL VALLEJOS ID- 904-82

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

ROPECUARIA Y CON FUNDAMENTO EN LA LEY 23 DEL 15 JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS,

IBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUACIÓN SE DESCRIBEN

MPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACIÓN POR LO CUAL SE LE EXTIENDE LA PRESENTE

ENCIA.

PORTADOR; CIP O RUC:

OMBRE O RAZÓN SOCIAL:

UNIVERSIDAD DE CALIFORNIA SAN DIEGO MICILIO: WILLIAM H. GERWICK

ESTADOS UNIDOS

FAX-

EXPORTADOR; CIP O RUC:

NOMBRE O RAZÓN SOCIAL:

INSTITUTO SMITHSONIA DE INVESTIGACIONES DOMICROPICALES/MARCELINO GUTIERREZ

PPRAMA

TEL:

FAX:

ANTIDAD	UNID. DE MED.	DESCRIPCIÓN	FINALIDAD
0:0075	KGS	MUESTRAS DE BACTERIA MARINA	PRINCE OF EXPORTANCE OF ARROLLO AGROCAL
PAIS DE C	DRIGEN	PAIS DE PROCEDENCIA LUGAR DE SALIDA	FRACCION ARANCELARIA PAIS DE DESTINO

**PANAMA** 

**PANAMA** 

TOCUMEN

UNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COMO PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

OCUMENTACIÓN ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL

IPLIMIENTO DE LOS REQUISITOS FITO-ZOOSANITARIOS PARA EXPORTACIÓN.

31-8-2019HA DE EMISION



PERMISO CIENTÍFICO



Apartado C. Zona 0843. Balboa, Ancon Panama

Autoridad Nacional del Ambiente

No SEX/BP-2-10

VALIDO HASTA: 2010-04-30

FAUNA/FLORA/HONGOS/BACTERIAS/ OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

MARCY BALUNAS

Instituto Smithsonian de Investigaciones Tropicales, Balboa -

Panama

EXPORTACION RE EXPORTACION IMPORTACION RE-IMPORTACION IMPORT TRANSITO TRANSIT OTROS

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

MARCY BALUNAS

Scripps Institution of Oceanography University of California San Diego,

U.S.A.

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE

PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Para análisis para estudios de guímica de producto naturales

NOMBRE COMUN COMMON NAME	NOMBRE CIENTIFICO SCIENTIFIC NAME	CANTIDAD GUANTITY	DESCRIPCIÓN DESCRIPTION
Macroalgas	Macroalgae spp.		Ver lista Adjunta
Cyanobacterias	Cyanobacterias spp.		Ver lista adjunta

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

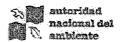
FECHA: 2010-01-30 DATE



YESSENIA DEL C. GONZALEZ MUÑOZ Directora

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 



#### AUTORIDAD NACIONAL DEL AMBIENTE DIRECCIÓN DE AREAS PROTEGIDA Y VIDA SILVESTRE DEPARTAMENTO DE BIODIVERSIDAD Y VIDA SILVESTRE

# No SEX/BP-2-10

#### VALIDO HASTA: 2010-04-30

# Lista Adjunta de Muestras a Exportar

Nombre Común	Nombre Científico	Cantidad (g)
Cianobacterias	Lyngbya majuscula	100
***************************************	Lynghya semiplena	100
Observable (Administration of the Control of the Co	Lyngbya penicilliformis	50
y Westland MAN de MARien er yng y	Lyngbya polychroa	50
	Lyngbya bouilloni	100
The second secon	Lyngbya sp	100
200 Control of the state of the	Schizothi ix sp.	100
	Symploca sp	100
	Oscillatoria sp.	100
entrophismosta (200-100)	Phormidium sp	100
	Rivularia sp	50
The second section of the second seco	Calothrix sp.	50
and the second s	Apirocoleus sp	50
Macroalgas	Tydemania expeditionis	50
Print to	Udotea geppii	50
	Neomaris sp	50
The statement of the st	Dictyota sp	50
The same of the sa	Lobophora sp.	100
	Stypopodium sp	100
	Laurencia sp.	100
The second secon	Zellera sp.	50
	Peyssonnelia sp	50



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MINISTERIO	DE DESA	HHOLLO	AGROPEC	JARIO -		1
DIRECCION	<b>NACION/</b>	L DE CU!	RENTENA	AGROPE	CUA	RIA

No. Sex Services

No. ALTECHEZACION

LICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

05-02-2010 VIGENCIA

05-04-2010

EL QUE SUSCRIBE:

ING. DONALINO MARTINEZ.

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTEN/

AGROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLÓGICOS Y AGROQUIMICOS, QUE A CONTINUACIÓN SE DESCRIBER CUMPLEN CON LOS REQUISITOS FITO ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTI HOFNOIA

IMPORTADOR; CIP O RUG

MARCY BALUNAS

NOMBRE O RAZON SOCIAL:

Scripps Institución of Oceanography Universidad DOMICILIO: of California San Diego

TEL

APDO:

U.S.A

FAX:

EXPORTATION; CIP O RUC

MARCY BALUNAS

NOMBRE O RAZON SOCIAL:

APDO:

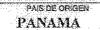
Instituto Snithsonian de Investigaciones DOMICILIO: Tropicales, Balboas - Ancon

PANAMA

AX.

FINALIDAD

UNIO, DE MEO CANTIDAD DESCRIPCION MUESTRAS DE CIANOBACTERIAS Y MICROALGAS SEX/BP 2-10, 3-10, 4-10 VER LISTADO ADJUNTO



PAIS DE PROCEDENCIA PANAMA LUGAR DE SALIDA TOCUMEN FRACCION ARANGELARIA

PAIS DE DESTINO U.S.A.

ADJUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COMO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

LUGAR DE EMISION

VICOMEX

FECHA DE EMISION

05-02-2010

Maka 9



## REPUBLICA DE PANAMA | Autoridad Nacional del Ambiente



Apartado C, Zona 0843, Balboa, Ancon, Panamá

#### PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/BP-21-10

VALIDO HASTA: 2010-09-23

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

#### **FLORA**

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

WILLIAM GERWICK

Instituto Smithsonian de Investigaciones Tropicales, balboa-

Ancon,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

WILLIAM GERWICK

University of california San Diego 9500 Gilman Drive, MC 0212, U.S.A.

**EXPORTACION** 

RE EXPORT EXPORT IMPORTACION RE-IMPORTACION RE-IMPORT OTROS

TRANSITO TRANSIT

OTHERS

RE-EXPORTACION

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORTOF EXITOR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO.

PURPOSE

Estudios químicos de productos naturales

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Macroalgas	Macroalgae spp.	450 gr.	Ver Lista Adjunta
Cianobacterias	Cianobacterias spp.	1050 gr.	Ver Lista Adjunta

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2010 06-22 DATE

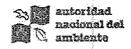


YESSENIA DEL C. GONZÁLEZ M. Directora de Áreas Protegidas y Vida

Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO.



# AUTORIDAD NACIONAL DEL AMBIENTE DIRECCION DE AREAS PROTEGIDAS Y VIDA SILVESTRE DEPARTAMENTOP DE BIODIVERSIDAD Y VIDA SILVESTRE

# PERMISO CIENTÍFICO SCIENTIFIC PERMIT No SEX/BP-21-10 VALIDO HASTA: 23-09-2010

## LISTA ADJUNTA A EXPORTAR

Nombre común	Nombre Científico	Cantidad	Descripción
Cianobacteria	Lyngbya majuscula	100	Gramos
Cianobacteria	Lyngbya semiplena	100	Gramos
Cianobacteria	Lyngbya penicilliformis	50	Gramos
Cianobacteria	Lyngbya polychroa	50	Gramos
Cianobacteria	Lyngbya bouillonii	100	Gramos
Cianobacteria	Lyngbya sp.	100	Gramos
Cianobacteria	Schizothrix sp	100	Gramos
Cianobacteria	Symploca sp.	100	Gramos
Cianobacteria	Oscillatoria sp	100	Gramos
Cianobacteria	Phormidium sp	100	Gramos
Cianobacteria	Rivularia sp.	50	Gramos
Cianobacteria	Calothrix sp	50	Gramos
Cianobacteria	Spirocoleus sp	50	Gramos
Macroalgas	Tydemania expeditionis	50	Gramos
Macroalgas	Udotea geppii	50	Gramos
Macroalgas	Neomaris sp	50	Gramos
Macroalgas	Dictyota sp	100	Gramos
Macroalgas	Lobophora sp	100	Gramos
Macroalgas	Stypopodium sp	100	Gramos
Macroalgas	Laurencia sp.	100	Gramos
Macroalgas	Zellera sp	50	Gramos
Macroalgas	Peyssonnelia sp	50	Gramos



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No SCUCITUO

No. AUTORIZACION

LICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

25-06-2010

25-08-2010

EL QUE SUSCRIBE:

ING. DONALINO MARTINEZ

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

AGROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997. CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS. SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBEN CUMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTE LICENCIA

IMPORTADOR: CIP O HUC:

NOMBRE O FAZON SOCIAL

WILLIAM GERWICK.

University of California San Diego, 9500 DOMICILIO Gilman drive, mc0212 la Jolla.

APDO:

TEU.S.A

FAX:

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SCICIAL:

WILLIAM GERWICK.

University Instituto Spithsonian de Investigaciones Tropicales, Balboas -Ancon

APDO.:

DOMICILIO:

PANAMA

TEL

FAX:

CANTIDAD UNID DE MED.	DESCHIPCION	FINALIDAD
1.05 kilos	MUESTRAS DE CIANOBACTERIAS	Margaret (f)
0.65 kilos	MUESTRA DE MACROALGAS	Notes and the second of the se
	VER LISTADO ADJUNTO	
PAIS DE ORIGEN PANAMA	PAIS DE PROCEDENCIA LUGAR DE SALIDA FRACCIONAI PANAMA TOCUMEN	RANCELARIA PAIS DE DESTINO

ADJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COMO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

LUGAR DE EMISION VICOMEX

FECHA DE EMISION

25-06-2010



#### Autoridad Nacional del Ambiente



Apartado C. Zona 0843. Balboa. Ancón, Panamá

#### PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/BP-23-10

VALIDO HASTA: 2010-09-23

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

#### **FLORA**

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

KEVIN TIDGEWELL

Instituto Smithsonian de Investigaciones Tropicales, balboa-

Ancon.,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

WILLIAM GERWICK

University of California san Diego, 9500 Gilman Drive, MC0212

La Jolla,

U.S.A.

**EXPORTACION** RE-EXPORTACION EXPORT IMPORTACION RE-IMPORTACION

IMPORT TRANSITO TRANSIT

RE-IMPORT OTROS OTHERS

RE-EXPORT

**EXPORTACION** 

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PUERTO DE SALIDA O ENTRADA / TRANSPORTE

PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Utilizadas en estudios de química de productos naturales.

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Macroalgas	Macroalgae spp.	650 gr.	Cultivos vivos y preservados
Cianobacterias	Cianobacterias (VER LISTA ADJUNTA)	1050 gr.	Cultivos vivos y preservadas

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2010-06-22 DATE

YESSENIA DEL C. GONZÁLEZ M

Directora de Áreas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO



# AUTORIDAD NACIONAL DEL AMBIENTE DIRECCION DE AREAS PROTEGIDAS Y VIDA SILVESTRE DEPARTAMENTOP DE BIODIVERSIDAD Y VIDA SILVESTRE

# PERMISO CIENTÍFICO SCIENTIFIC PERMIT No. SC/BP-23-10 VALIDO HASTA: 23-09-2010



## LISTA ADJUNTA A EXPORTAR

Nombre común	Nombre Científico	Cantidad	Descripción
Cianobacteria	Lyngbya majuscula	100	Gramos
Cianobacteria	Lyngbya semiplena	100	Gramos
Cianobacteria	Lyngbya penicilliformis	50	Gramos
Cianobacteria	Lyngbya polychroa	50	Gramos
Cianobacteria	Lyngbya bouillonii	100	Gramos
Cianobacteria	Lyngbya sp.	100	Gramos
Cianobacteria	Schizothrix sp	100	Gramos
Cianobacteria	Symploca sp.	100	Gramos
Cianobacteria	Oscillatoria sp	100	Gramos
Cianobacteria	Phormidium sp	100	Gramos
Cianobacteria	Rivularia sp	50	Gramos
Cianobacteria	Calothrix sp.	50	Gramos
Cianobacteria	Spirocoleus sp.	50	Gramos
Macroalgas	Tydemania expeditionis	50	Gramos
Macroalgas	Udotea geppii	50	Gramos
Macroalgas	Neomaris sp.	50	Gramos
Macroalgas	Dictyota sp.	100	Gramos
Macroalgas	Lobophora sp	100	Gramos
Macroalgas	Stypopodium sp.	100	Gramos
Macroalgas	Laurencia sp.	100	Gramos
Macroalgas	Zellera sp.	50	Gramos
Macroalgas	Peyssonnelia sp	50	Gramos



# INISTERIO DE DESARROLLO AGROPECUARIO RECCION NACIONAL DE CUARENTENA AGROPECUARIA

No. SOLICITUD

No. ALITORIZACION

CENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

25-06-2010

25-08-2010

QUE SUSCRIBE:

ING. DONALINO MARTINEZ

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

IROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS,

IBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUACION SE DESCRIBEN

IMPLEN CON LOS REQUISITOS FITO-ZOOSANTABIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTE

CENCIA.

MPORTADOR: CIP O RUC:

NOMBRE O RAZON SOCIAL:

WILLIAM GERWICK University of California San Diego. 9500

DOMICILIO: Gilman drive, mc0212 la Jolla.

APDO:

TEUU.S.A

FAX:

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

KEVIN TIDGEWELL

University Instituto Snithsonian de Investigaciones Tropicales, Balboas –

Ancon

APDO:

DOMICILIO:

PANAMA

TEL:

FAX:

NTIDAD	UNID. DE MED.	DESCRIBCION	FINALIDAD
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		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	
1.05	kilos	MUESTRAS DE CIANOBACTERIAS \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	\$ \$ \ \\$ \ \\$ \ \\$ \ \ \\$ \ \ \ \ \ \ \
	North Committee of the		W DE EXPORT ST
0.65	kilos	MUESTRA DE MACROALGAS	SOSARRONO
	- *		
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	Šer I		
PAIS DE	12 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	■ 【	RANCELARIA PAIS DE DESTINO
<b>PANA</b>	MA	PANAMA TOCUMEN	U.S.A

DJUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI COMO E PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL (LEY 23 DEL 15 JULIO DE 1997)

A DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL JUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION
VICOMEX

FECHA DE EMISION

25-06-2010

Hooks The Lay



#### Autoridad Nacional del Ambiente



Apartado C. Zona 0843. Balboa. Ancón. Panamá

#### PERMISO CIENTÍFICO

No SEX/BP-3-10

VALIDO HASTA: 2010-04-30 VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

MARCY BALUNAS

Instituto Smithsonian de Investigaciones Tropicales, Balboa-

Ancon.

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

MARCY BALUNAS

Scripps Institution of Oceanography University of california san

Diego,

Panamá

RE-EXPORTACION **EXPORTACION** EXPORT IMPORTACION RE IMPORTACION RE-IMPORT MPCRT TRANSITO TRANSIT OTHERS

EXPORTACION

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO PURPOSE

Para análisis para estudios de química de productos naturales

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Macroalgas	Macroalgae spp.		Ver lista Adjunta
Cyanobacterias	Cyanobacterias spp.		Ver Lista Adjunta

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

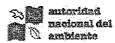
Dirección de Areas Protegidas y Vida Silvestre

FECHA: 2010-01-30

YESSENIA DEL C. GONZALEZ MUÑOZ Directora

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 



# AUTORIDAD NACIONAL DEL AMBIENTE DIRECCIÓN DE AREAS PROTEGIDA Y VIDA SILVESTRE DEPARTAMENTO DE BIODIVERSIDAD Y VIDA SILVESTRE

#### No SEX/BP-3-10

# VALIDO HASTA: 2010-04-30

## Lista Adjunta de Muestras a Exportar

Nombre Común	Nombre Científico	Cantidad (g)
Cianobacterias	Lyngbya majuscula	100
······································	Lyngbya semiplena	100
	Lyngbya penicilliformis	50
	Lyngbya polychroa	50
recommendation and an experimental substitution and enter our distribution of the second substitution	Lyngbya bouilloni	100
	Lyngbya sp	100
	Schizothrix sp	100
<u> </u>	Symploca sp	100
Makan da makanananan da sa	Oscillatoria sp	100
and the state of t	Phormidium sp	100
	Rivularia sp	50
adakan sam markis sama immanan mengapan samanan pinansinga angkap impagsabingabin, ng	Galothrix sp	50
e de la communicación de la como estado del como estado de la como estado del como estado de la como estado de la como estado de la como e	Apirocoleus sp	50
Macroalgas	Tydemania expeditionis	50
ANNA MARIO NA MARIO MANA MANA MANA MANA MANA MANA MANA MAN	Udotea geppii	50
A STATE OF THE STA	Neomaris sp	50
	Dictyota sp.	50
	Lobophora sp.	100
	Stypopodium sp	100
	Laurencia sp	100
annening and the second se	Zellera sp	50
	Peyssonnelia sp	50



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No ROLICITIO

NA ALTEORIZACIONI

LICENCIA FITO ZOOSANITARIA DE EXPORTACION.

VIGENCIA

05-02-2010

05-04-2010

EL QUE SUSCRIBE:

ING. DONALINO MARTINEZ.

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

AGROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIÓ DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS, SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBEN CUMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTE LIGENCIA

MARCY BALUNAS

NOMBRE O RAZON SOCIAL:

Scripps Institución of Oceanography Universidad DOMICALO: of California San Diego

APPO

EXPORTADOR: CIP O RUC:
MARCY BALUNAS

NOMBRE O RAZON SOCIAL:

Instituto Snithsonian de Investigaciones Tropicales, Balboas - Ancon

PANAMA

CANTIDAD	UNID DEMED		FINALIDAD
	A CONTRACTOR OF THE CONTRACTOR		
		MUESTRAS DE CIANOBACTERIAS Y MICROALGAS	
		SEXVBP 2-10, 3-10, 4-10	//
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, the second sec	a. A Call Barry Language		
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***************************************	Chiamolypopula		
	ORKSEN	) ( PAIS DE PROCEDENCIA ) ( LUGAR DE SALIDA! ) ( FRACCION APA	NOSLANIA ) (PAIS DE DESTINO

ADJUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COMO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO. QUE ACREDITAN EL CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

LUGAR DE EMISION

VICOMEX

FECHA DE EMISION 05-02-2010

James South

#### Autoridad Nacional del Ambiente

#### PERMISO CIENTÍFICO







Apartado C. Zona 0843 Balboa Ancón Panamá

No. SEX/BP-4-10

VALIDO HASTA: 2010-04-30 VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**FLORA** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS) INSIGNOR (NAME AND ADDRESS - COUNTRY)

MARCY BALUNAS

Instituto Smithsonian de Investigaciones Tropicales, Balboa-

Ancon,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

MARCY BALUNAS

Scripps Institution of Oceanography University of california san

Diego,,

Panamá

EXPORTACION

RE-EXPORTACION EXPORT RE-EXPOR

IMPORT TRANSITO TRANSIT

OTROS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Para análisis para estudios de química de productos naturales.

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Macroalgas	Macroalgae spp.	-23	Ver lista Adjunta
Cianobacteria	Cianobacteria sp.		Ver lista Adjunta

ESTE PERMISO ES EMÍTIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Areas Protegidas y Vida Silvestre

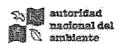
FECHA: 2010-01-30



YESSENIA DEL C. GONZALEZ MUÑOZ Directora

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 



## AUTORIDAD NACIONAL DEL AMBIENTE DIRECCIÓN DE AREAS PROTEGIDA Y VIDA SILVESTRE DEPARTAMENTO DE BIODIVERSIDAD Y VIDA SILVESTRE

#### No SEX/BP-4-10

#### VALIDO HASTA: 2010-04-30

# Lista Adjunta de Muestras a Exportar

Nombre Común	Nombre Científico	Cantidad (g)
Cianobacterias	Lyngbya majuscula	100
Mi de a de la companya de la company	Lyngbya semiplena	100
po concepto communicación (associates activados activado	Lyngbya penicilliformis	50
	Lyngbya polychroa	50
The second of th	Lyngbya bouilloni	100
TO THE	Lyngbya sp	100
	Schizothrix sp.	100
The state of the s	Symploca sp	100
	Oscillatoria sp	100
The state of the s	Phormidium sp.	100
CONTRACTOR OF CONTRACTOR OF THE THOMSENS AND AN ADMINISTRATION AND A CONTRACTOR OF CON	Rivularia sp	50
the second secon	Calothrix sp.	50
	Apirocoleus sp	50
lacroalgas	Tydemania expeditionis	50
	Udotea geppii	50
Martin and the state of the sta	Neomaris sp	50
	Dictyota sp	50
	Lobophora sp.	100
The state of the s	Stypopodium sp	100
	Laurencia sp.	100
-	Zellera sp.	50
	Peyssonnelia sp	50



MINISTERIO	I DE DESAR	ROLLOA	GHOFEGI	JARIO	
DIRECGION	NACIONAL	DE CUAR	RENTENA	AGROPEC	JUARIA

No. BOLICITUD

Na AUTORIZAČKA

LICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA

05-02-2010

05-04-2010

EL QUE SUSCRIBE:

ING. DONALINO MARTINEZ.

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

AGROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS, SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUACION SE DESCRIBEN CUMPLEN CON LOS REQUISITOS FITO ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTE LICENCIA

MARCY BALUNAS

NOMBRE O RAZON SOCIAL:

Scripps Institución of Oceanography Universidad DOMICILIO: of California San Diego

EXPORTABOR: CIP O RUC:

MARCY BALUNAS

NOMBRE O RAZON SOCIAL

Instituto Snithsonian de Investigaciones DOMICARO Tropicales, Balboas Ancon

PANAMA

APDO:					APSO:		
CANTIDAD	UNID, DE MED.			No.	CN		FINADO
		SEX/BP 2-1	DE GIANOBA( 0, 3-10, 4-10 DO ADJUNTO		AS Y MICROALGAS		
PARGE	roies)	Y PAISTEPRING	COENCIA 1	HERIAGE	E SALIDA TO FRACCION AP	ANCELARIA	PAIS DE DESTINO

PANAMA

U.S.A

ADJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COMO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL CUMPLIMIENTO DE LOS REQUISITOS FITOZOGSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION

VICOMEX

FECHA DE EMISION

05-02-2010

Busho



#### Autoridad Nacional del **Ambiente**



Apartado C, Zona 0843, Balboa, Ancón, Panamá

PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/H-1-10

VALIDO HASTA: <u>2010-04-29</u>

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

HONGOS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

LUIS CUBILLA RIOS

Departamento de Química Orgánica, Universidad de Panamá, Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

MARCY BALUNAS

Center for Marine Biotechnology and Biomedicine University of california, San Diego,

U.S.A.

**EXPORTACION** 

RE-EXPORTACION IMPORTACION RE-IMPORTACION IMPORT RE-IMPORT TRANSITO OTRŌS TRANSIT OTHERS

**EXPORTACION** 

869287550824

PUERTO DE SALIDA O ENTRADA / IRANSPORTE PORTOF EXITOR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Para obtener datos espectroscópico y espectrometrico de compuestos puros

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Hongos	Hongo Endófitos	34 6	mg de compuestos puros ver lista adjunta

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2010-01-28

YESSENIA DEL C. GONZALEZ MUÑOZ Directora

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO



# AUTORIDAD NACIONAL DEL AMBIENTE DIRECCIÓN DE AREAS PROTEGIDA Y VIDA SILVESTRE DEPARTAMENTO DE BIODIVERSIDAD Y VIDA SILVESTRE

# PERMISO CIENTÍFICO SCIENTIFIC PERMIT No SEX/H-1-10 VALIDO HASTA: 2010-04-29

# Lista Adjunta de Muestras de Hongos Endófitos a Exportar

Nombre Común	Nombre Científico	Cantidad	Descripción
	·	(mg)	
Hongos Endófitos	Taxonomía aún no	5 7	Aislado de begonia sp.
(F2893-3-1)	determinada		
Hongos Endófitos	Taxonomia aún no	5	Aislado de begonia sp.
(F2893-3-2)	determinada		
Hongos Endófitos (F2893-3-3)	Taxonomía aún no determinada	1 2	Aislado de begonia sp
Hongos Endófitos	Taxonomía aún no	1	Aislado de begonia sp.
(F2893-3-4)	determinada		
Hongos Endófitos	Aspergillus	5	Aislado de Guapira
(F1544-20-6)	fumigatus		standleyana
Hongos Endófitos	Aspergillus	4	Aislado de Guapira
(F1544-27-1)	fumigatus		standleyana
Hongos Endófitos	Aspergillus	2.5	Aislado de Guapira
(F1544-28-7)	fumigatus		standleyana
Hongos Endófitos	Aspergillus	2 7	Aislado de Guapira
(F1544-28-9)	fumigatus		standleyana
Hongos Endófitos	Pycnoporus	2.3	Aislado de Desmontes
(F0305)NR1-158-02	sanguineus		incomparabilis
Hongos Endófitos	Pycnoporus	2.0	Aislado de Desmontes
(F0305) NR1-158-03	sanguineus		incomparabilis
Hongos Endófitos	Pycnoporus	13 2	Aislado de Desmontes
(F0305) NR1-158-08	sanguineus		incomparabilis



92234

<b>MINISTERIO</b>	<b>DE DESAR</b>	ROLLO	AGROPEC	UARIO	1
DIRECCION	NACIONAL	DE CHA	RENTENA	AGROPECUARIA	

No. SOLICITUD

No. AUTORIZACION

ICENCIA	FITO-ZOOSANITARIA DE EXPORTACIO	JN.
E		-72 W =

VIGENCIA:

05-02-2010

05-04-2010

EL QUE SUSCRIBE:

ING. DONALINO MARTINEZ.

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTE!

AGROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTO SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUACION SE DESCRIBI

CUMPLEN CON LOS REQUISITOS EITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESEN

LICENCIA

IMPORTADOR; CIP O RUC

MARCY BALUNAS

NOMBRE O CENTER TO Marine Biotechnology and Biomedicine University of California, San Diego

EDES#

DOMICILIO:

U.S.A

EXPORTADOR; CIP O RUC:

LUIS CUBILLA RIOS

NOMBR**Departamento** de Quimica Organica Universidad de Panama

DOMICILIO:

**PANAMA** 

APDO:

TEL:

FAX:

APDO:

TEL.:

FAX:

CANTIDAD	UNID. DE MED.		DESCRIPCI	O N		FINALIDAD
	34.6	mg	MUESTRA DE HONGOS ENI	OFITOS	"VER LIST	ADO ADJUNIO"
PAIS DE	ORIGEN MA		PAIS DE PROCEDENCIA PANAMA LUGAR DE TOC	SALIDA UMEN	FRACCION ARA	ANCELARIA PAIS DE DESTINO U., S., A

ADJUNTAR LOS REQUISITOS ESPECIFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASI CO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN CUMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION.

LUGAR DE EMISION
VICOMEX

FECHA DE EMISION

05-02-2010

Halo Mattey



# Autoridad Nacional del Ambiente



Apartado C. Zona 0843, Balboa, Ancón. Panamá

#### PERMISO CIENTÍFICO

No. SEX/H-1-12

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

#### **HONGOS**

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

MARCELINO GUTIERREZ

Calle Guanabanas, Apt. 620C, Clayton, Panamá,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

WILLIAM GERWICK

Universidad de California, San Diego C.A., Instituto

Oceanografico,

U.S.A.

**EXPORTACION** EXPORT

RE-EXPORTACION

RE-EXPORT IMPORTACION RE-IMPORTACION RE-IMPORT OTROS

IMPORT TRANSITO TRANSIT

OTHERS

**EXPORTACION** 

869287551912

PUERTO DE SALIDA O ENTRADA / TRANSPORTE

PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

PURPOSE

Análisis de muestras por espectrometría de masas (HPLC-MS)

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Hongos	Aspergillus versicolor	0.5 g	vial pequeño con muestra
Hongos	Emericella niger	0.5 g	vial pequeño con muestra
Extracto orgánico	Bacteria marina	2 mg	vial pequeño con muestra
Extracto orgánico	Bacteria marina	2 mg	vial pequeño con muestra

VER LISTA ADJUNTA -BACTERIAS MARINAS

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas v Vida Silvestre

FECHA: 2012-01-10

DATE

JOSE ANTONIO GONZALEZ Técnico responsable

TORN ranidei UTEGIDAS

ING ÉDGAR T CHACÓN R.

Director de Áreas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO

# Listado de Especies Permiso Científico SEX/H-1-12

Extracto Orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extraeto Orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto Orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto Orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
Extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
xtracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra
extracto orgánico	Bacteria marina	2 m g	Vial pequeño con la muestra





# **MINISTERIO DE DESARROLLO AGROPECUARIO** DIRECCION NACIONAL DE CUARENTENA AGROPECUARIA

095005 No. SOLICITUD

<b>ICENCIA</b>	FITO-Z	(OOSA)	VITARIA	DE E	<b>XPOR</b>	TACION.

VIGENCIA: 19-1-2012

HASTA 9-3-2012

DR. CLAUDIO SERRATO ID 180 L QUE SUSCRIBE:

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

GROPECUARIA Y CON FUNDAMENTO EN LA LEY 23 DEL 15 JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS, JUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUACIÓN SE DESCRIBEN UMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACIÓN POR LO CUAL SE LE EXTIENDE LA PRESENTE

'MPORTADOR; CIP O RUC:

EXPORTADOR: CIP O RUC:

NOMBRE O RAZÓN SOCIAL:

NOMBRE O RAZÓN SOCIAL:

UNIVERSIDAD DE CALIFORNIA,SAN DIEGO

INSTITUTO SMITHSONIAN DE INVESTIGACIONES

MARCELINO GUTIERREZ

ROPICALES DOMICILIO:

₹PDO:

ICENCIA.

ESTADOS UNIDOS

APDO.:

FAX:

**PANAMA** 

TEL:

FAX:

CANTIDAD UNID. DE MED DESCRIPCIÓN FINALIDAD VIALES BACTERIAS MARINAS 0.000050 KILOS

PAIS DE ORIGEN **PANAMA** 

PAIS DE PROCEDENCIA

**PANAMA** 

LUGAR DE SAUDA

TOCUMEN

FRACCION ARANCELARIA

PAIS DE DESTINO ESTADOS UNIDOS

JUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COMO PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

DOCUMENTACIÓN ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACRÉDITAN EL MPLIMIENTO DE LOS REQUISITOS FITO-ZOOSANITARIOS PARA EXPORTACIÓN.

LUGAR DE EMISION

FECHA DE EMISION

VICOMEX

19-1-2012

FIRMA



#### Autoridad Nacional del **Ambiente**



Apartado C., Zona 0843, Balboa, Ancón, Panamá

#### PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/H-2-11

VALIDO HASTA: 2011-06-11 VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**HONGOS** 

IMPORTACION RE-IMPORTACION

**EXPORTACION** 

RE-EXPORTACION

OTROS OTHERS

RE-EXPORT

EXPORTACION

EXPORT

TRANSITO

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

LUIS CUBILLA RÍOS

Departamento de Química Orgánica, Universidad de Panamá El Cangrejo,

Panama

DESTINATARIO (NOMBRE Y DIRECCIONES -- PAIS)
CONSIGNEE (NAME AND ADDRESS -- COUNTRY)

WILLIAM GERWICK

Scripps Institution of Oceanography, University of California,

San Diego,

U.S.A.

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Esta exportación se realiza con el fin de efectuar espectrometria de masas para determinar estructura molecular de metabolitos secundarios

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Hongos	Hongos endófitos (VER LISTA ADJUNTA)	26 mg	26 Extractos y fracciones (1 mg C/U)

ESTE PERMISO ES EMITIDO POR THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2011-03-14

PREPARADO POR JORGE GARCÍA

autoridad nacional del ambiente

CIRECCIÓN DE AREAS PROTEGIDAS O Y VIDA SILVESTRE

ING EDØART CHACON R

Director de Áreas Protegidas y Vida

Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANILARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 

# AUTORIDAD NACIONAL DEL AMBIENTE DIRECCIÓN DE ÁREAS PROTEFGIDAS Y VIDA SILVESTRE DEPARTAMENTO DE BIODIVERSIDAD Y VIDA SILVESTRE

## LISTA ADJUNTA DEL PERMISO DE EXPORTACIÓN SEX/H-2-11

Nombre común	ombre común Nombre Científico Cantidad Planta		Permiso de colecta	
Hongos	Mycoleptodiscus sp	1 mg	Desmotes Incomparabilis	SC/P-23-03
Hongos	Mycoleptodiscus sp	1 mg	Desmotes Incomparabilis	SC/P-23-03
Hongos	Mycoleptodiscus sp	1 mg	Desmotes Incomparabilis	SC/P-23-03
Hongos	Mycoleptodiscus sp	1 mg	Desmotes Incomparabilis	SC/P-23-03
Hongos	Mycoleptodiscus sp	1 mg	Desmotes Incomparabilis	SC/P-23-03
Нопдоѕ	Mycoleptodiscus sp.	l mg	Desmotes Incomparabilis	SC/P-23-03
Hongos	Mycoleptodiscus sp.	l mg	Desmotes Incomparabilis	SC/P-23-03
Hongos	Mycoleptodiscus sp	1 mg	Desmotes Incomparabilis	SC/P-23-03
Hongos	Mycoleptodiscus sp	1 mg	Desmotes Incomparabilis	SC/P-23-03
Hongos	Mycoleptodiscus sp	1 mg	Desmotes Incomparabilis	SC/P-23-03
Hongos	Libertella blepharis	1 mg	Olyra latifolia	SE/P-24-07
Hongos	Libertella blepharis	1 mg	Olyra latifolia	SE/P-24-07
Hongos	Desconocido	1 mg	Capparis antonensis	SC/P-16-03
Hongos	Desconocido	I mg	Capparis antonensis	SC/P-16-03
Hongos	Desconocido	1 mg	Capparis antonensis	SC/P-16-03
Hongos	Desconocido	l mg	Capparis antonensis	SC/P-16-03
Hongos	Desconocido	1 mg	Desconocido	SE/P-33-08
Hongos	Cordiceps ditergena	1 mg	Desmotes incomparabilis	SC/P-23-03
Hongos	Cordiceps ditergena	1 mg	Desmotes incomparabilis	SC/P-23-03
Hongos	Aspergillus Fumigatus	1 mg	Guapira standleyana	N/A
Hongos	Aspergillus Fumigatus	1 mg	Guapira standleyana	N/A
Hongos	Edenia sp	1 mg	Petrea volubilis	SC/P-23-03
Hongos	Edenia sp	1 mg	Petrea volubilis	SC/P-23-03
Hongos	Edenia sp	I mg	Petrea volubilis	SC/P-23-03
Hongos	Hyptis Recurvata	1 mg		SC/P-9-10
Hongos	Hyptis Recurvata	1 mg		SC/P-9-10





85477

MINISTERIO DE DESARROLLO AGROPECUARIO	- (
DIRECCION NACIONAL DE CUARENTENA AGROPECUARIA	١Ĺ

No AUTORIZACION No SOLICITUD

LICENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

24-3-2011

24-5-2011

EL QUE SUSCRIBE:

ING. JAIME CACERES ID 771-82

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTENA

AGROPECUARIA Y CON FUNDAMENTO EN LA LEY 23 DEL 15 JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTOS, SUBPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUÍMICOS, QUE A CONTINUACIÓN SE DESCRIBEN CUMPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACIÓN POR LO CUAL SE LE EXTIENDE LA PRESENTE LICENCIA

IMPORTADOR: CIP O RUC:

NOMBRE O RAZÓN SOCIAL: WILLIAM GERWICK UNIVERSITY OF CALIFORNIA, SAN DIEGO

DOMICH IO:

APDO:

ESTADOS UNIDOS

EXPORTADOR; CIP O BUC:

NOMBRE O RAZÓN SOCIAL:

LUIS CUBILLA DEPTO DE QUIMICA/UNIV.PANAMA

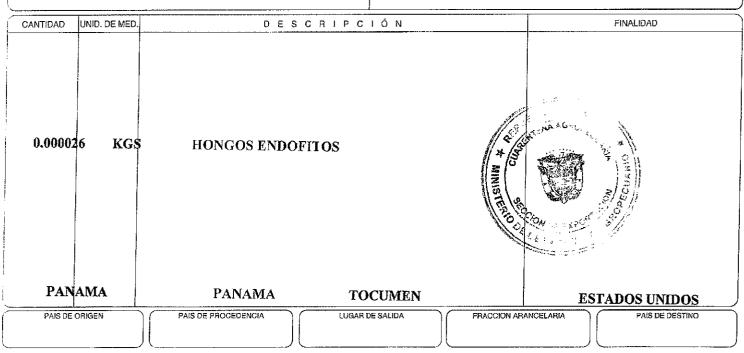
DOMICILIO:

APDO:

PANAMA

TEL:

FAX:



ADJUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ COMO DE PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL (LEY 23 DEL 15 JULIO DE 1997)

LA DOCUMENTACIÓN ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN EL

CUMPLIMIENTO DE LOS REQUISITOS FITO-ZOOSANITARIOS PARA EXPORTACIÓN. 24-3-2011

LUGAR DE EMISION

FECHA DE EMISION



#### Autoridad Nacional del **Ambiente**



# PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. <u>SEX/P-23-06</u>

VALIDO HASTA: 2006-09-06

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

Apartado C, Zona 0843, Balboa, Ancón, Panamá

**FLORA** 

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

CATHERINA CABALLERO INSTITUTO SMITHSONIAN DE INVESTIGACIONES TROPICALES,

Panamá

**EXPORTACION** EXPORT

RE-EXPORTACION

IMPORTACION RE-IMPORTACION RE-IMPORT RE-IMPORT OTROS

TRANSITO TRANSIT

OTHERS

**EXPORTACION** 

DESTINATARIO (NOMBRE Y DIRECCIONES -- PAIS) CONSIGNEE (NAME AND ADDRESS -- COUNTRY)

WILLIAM GERWICK

Scripps Institution of Oceanography, University of California at San Diego,MC 0212,CL 92093-0212.

U.S.A.

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

**PROPOSITO** 

Procesar la muestra para ser traída a Panamá al INDICASAT, para indicar la presencia de sustancias que tengan actividad contra el cáncer y enfermedades tropicales.

NOMBRE COMUN	NOMBRE CIENTÍFICO	CANTIDAD	DESCRIPCIÓN
COMMON NAME	SCIENTIFIC NAME	QUANTITY	DESCRIPTION
Algas	Oscillatoria sp.	150 mL	3 viales de agua de mar , 50 mL cada uno

ESTE PERMISO ES EMITIDO POR:

THIS PERMIT IS ISSUED BY:

Dirección Nacional de Áreas Protegidas y Vida Silvestre

FECHA: 2006-06-DATE



Dirección Macanal de Areas Protegidas y Vida Silvestre

ALEIDA SAL

Directora Nacional de Áreas Protegidas y

Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO.



45083

NISTERIO	DE DESARROLLO AGROPECUARIO
RECCION	NACIONAL DE CUARENTENA AGROPECUARIA

No. SOLICITUD

No. AUTORIZACION

CENCIA FITO-ZOOSANITARIA DE EXPORTACION.

VIGENCIA:

22-06-06

22-08-06

QUE SUSCRIBE:

DR. REINALDO VIVEROS

FUNCIONARIO DE LA DIRECCION EJECUTIVA DE CUARENTEN

ROPECUARIA, Y CON FUNDAMENTO EN LA LEY 23 DEL 15 DE JULIO DE 1997, CERTIFICA QUE LOS ANIMALES, VEGETALES, PRODUCTO BPRODUCTOS E INSUMOS DE ORIGEN ANIMAL, BIOLOGICOS Y AGROQUIMICOS, QUE A CONTINUACION SE DESCRIBE MPLEN CON LOS REQUISITOS FITO-ZOOSANITARIOS PARA LA EXPORTACION POR LO CUAL SE LE EXTIENDE LA PRESENTENCIA.

/PORTADOR; CIP O RUC:

IOMBRE O RAZON SOCIAL:

WILLIAM GERWICK

Scripp Inst. of Oceanography, OMIGNO. California at San Diego, MC0212, CL 92093-0212, U.S.A

PDO:

TEL:

FAX:

EXPORTADOR; CIP O RUC:

NOMBRE O RAZON SOCIAL:

CATHERINA CABALLERO

DOMICILIO:

INST. SMITHSONIAN PANAMA

APDO:

TEL:

FAX:

PDO:	IEL.;	1700	1		
CANTIDAD UNID. DE	MED.	DESC	RIPCION		FINALIDAD
150 m.	-	OSCILLATOR Lles de agua	IA SP de mar, 50 m	l cada uno)	
PAIS DE ORIGEN PANAMA		PROCEDENCIA	lugar de salida TOCUMEN	FRACCION ARANCELA	PAIS DE DESTINO  U.S.A.

DUNTAR LOS REQUISITOS ESPECÍFICOS ESTABLECIDOS POR LA LEY, PARA LA IMPORTACION Y EXPORTACION DE ANIMAL Y VEGETAL, ASÍ CON E PRODUCTOS Y SUBPRODUCTOS DE ORIGEN ANIMAL Y VEGETAL. (LEY 23 DEL 15 JULIO DE 1997)

. DOCUMENTACION ADJUNTA, INCLUYE LOS CERTIFICADOS Y REPORTES DE PRUEBAS DE CAMPO Y LABORATORIO, QUE ACREDITAN

JMPLIMIENTO DE LOS REQUISITOS FITOZOOSANITARIOS PARA EXPORTACION

LUGAR DE EMISION VICOMEX FECHA DE EMISION 22-06-06 Cleston.



## Autoridad Nacional del Ambiente



anam

# PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No SEX/P-31-04

VALIDO HASTA: 2004-09-10

VALID UNTIL

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**FLORA** 

Apartado C, Zona 0843, Balboa, Ancón, Panamá
TITULAR (NOMBRE Y DIRECCIONES – PAIS)
CONSIGNOR (NAME AND ADDRESS – COUNTRY)

TODD CAPSON

Instituto Smithsonian de Investigaciones Tropicales. Coordinador del Proyecto ICBG.

U.S A

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

KERRY McPHAIL

Oregon State University College of Pharmacy Gerwick Research Lab 231 Oak Creek Building, Oregon.,

U.S.A.

EXPORTACION EXPORT IMPORTACION IMPORT TRANSITO TRANSIT

RE-EXPORTACION RE-EXPORT RE-IMPORTACION RE-IMPORT OTROS OTHERS

EXPORTACION

PUERTO DE SALIDA O ENTRADA - TRANSPORTE PORT OF EXIT OR ENTANCE CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO PURPOSE

Fines de científicos

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Alga	Cyanobacteria	1/2 litros	por cada especie
Alga	Macroalgae	1 litros	por cada especie

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección Nacional de Patrimonio Natural Servicio Nacional de Administración de Áreas Protegidas y Vida Silvestre

FECHA: 2004-06-10

DATE

Rundo a Ruera

LIC RICARDO A. RIVERA J. Director Nacional de Patrimonio Natural

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANITARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO





#### Autoridad Nacional del Ambiente



Apartado C, Zona 0843, Balboa, Ancón, Panamá

## PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/P-7-04

VALIDO HASTA: 2004-04-21

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

#### **FLORA**

**EXPORTACION** 

EXPORTACION EXPORT

**IMPORTACION** 

IMPORTACION IMPORT

TRANSIT

TRANSITO

RE-IMPORT OTROS OTHERS

RE-EXPORTACION

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

OREGON STATE UNIVERSITY (OSU)

Instituto Smithsonian de Investigaciones Tropicales,

Estado de Oregon,

**TODD CAPSON** 

U.S.A.

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

PURPOSE

Fines científicos

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION	
	Ver lista adjunta			
Algas marinas	gas marinas Algae spp.		Margatra	
Algas marinas	Algae spp.	1 10	Muestras químicas	
Algas marinas		10	Muestras en cultivo	
Aigas mannas	Algae spp.	3	Muestras en RNALater	

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY

Dirección Nacional de Patrimonio Natural Servicio Nacional de Administración de Áreas Protegidas y Vida Silvestre

FECHA: 2004-01-21

Ruando a Rivera

LIC. RICARDO A. RIVERA J. Director Nacional de Patrimonio Natural

FIRMA RESPONSABLE Y SELLO

ES IE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANTI ARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO AGROPECUARIO.

THIS PERMIT MUST BE ACOMPANED BY A QUARENTINE CERTIFICATE FROM THE AGRICULTURAL DEVELOPMENT MINISTRY

autoridad nacional del

amoiente

Dires ción Nacional de Patrimonio Natural



# Autoridad Nacional del Ambiente



Apartado C. Zona 0843, Balboa, Ancón, Panamá

#### PERMISO CIENTÍFICO

SCIENTIFIC PERMIT

No. SEX/PB-1-03 SEX/PB-1-03

VALIDO HASTA: 2003-08-16

VALID UNTIL

**EXPORTACION** 

IMPORTACION

IMPORT

TRANSIT

**TRANSITO** 

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

#### FLORA, BACTERIAS

**EXPORTACION** 

RE-EXPORTACION

RE-IMPORTACION

RE-IMPORT

OTROS

TITULAR (NOMBRE Y DIRECCIONES - PAIS) CONSIGNOR (NAME AND ADDRESS -- COUNTRY)

TODD CAPSON

Programa ICBG Instituto Smithsonian de Investigaciones Tropicales, Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

TODD CAPSON / Dr. Gerwick

Oregon State University, USA

PUERTO DE SALIDA O ENTRADA / TRANSPORTE PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

Fines científicos

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Cyanobacteria	Lyngbya sp	3 L	Solución conteniendo muestras
Cyanobacteria	Schizothrix sp.	3 L	11
Cyanobacteria	Symploca sp.	2 L	11
Cyanobacteria	Oscillatiria sp.	1 L	н
Cyanobacteria	Phormidium sp.	1 L	n

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY

Dirección Nacional de Patrimonio Natural Servicio Nacional de Administración de Áreas Protegidas y Vida Silvestre

LIC RICARDO RIVERA J

Director Nacional de Patrimonio Natural

FECHA: 2003-05-16

DATE

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑA DESARROLLO AGROPECUARIO

THIS PERMIT MUST BE ACOMPANED BY A QUA

EXPEDIDO POR EL MINISTERIO DE

Dirección Nacional de Patrimonio Lista de Especies continúa atrás\*\* Natural

NOMBRE COMUN COMMON NAME	NOMBRE CIENTÍFICO SCIENTIFIC NAME	CANTIDAD QUANTITY	DESCRIPCIÓN DESCRIPTION
Cyanobacteria	Rivularia sp.	1 L	17
Cyanobacteria	Calothrix/Dichothrix sp.	1 L	Н
Cyanobacteria	Spirocoleus sp	500 ml	11
Alga	Tydemania expeditionis	1 L	н
Alga	Udotea geppii	1 L	11
Alga	Neomaris sp.	500 ml	If
Alga	Dictyota sp.	2 L	**
Alga	Lobophora sp	1 L	11
Alga	Stypopodium/Padina sp.	1 L	н
Alga	Laurencia sp.	3 L	15
Alga	Zellera sp.	1 L	11
Alga	Peyssonnelia sp.	2 L	н
Cyanobacteria	Lyngbya majuscula	2 L "	
Cyanobacteria	Lyngbya semiplena	1 L	11
Cyanobacteria	Lyngbya penicilliformis	2 L "	
Cyanobacteria	Lyngbya polychroa	2 L "	
Cyanobacteria	Lyngbya bouilloni	1 L "	
Cyanobacteria	Lyngbya bouilloni	1 L	11





#### Autoridad Nacional del Ambiente

# PERMISO CIENTÍFICO

No SEX/PB-49-10

VALIDO HASTA: 2010-12-08

VALID UNTIL

Apartado C Zona 0843 Balboa, Ancón Panamá

FAUNA / FLORA / HONGOS / BACTERIAS / OTROS ORGANISMOS

**FLORA** 

TITULAR (NOMBRE Y DIRECCIONES ~ PAIS) CONSIGNOR (NAME AND ADDRESS - COUNTRY)

Kevin Tidgewell

Instituto Smithsonian de Investigaciones Tropicales,

Panamá

DESTINATARIO (NOMBRE Y DIRECCIONES - PAIS) CONSIGNEE (NAME AND ADDRESS - COUNTRY)

Kevin Tidgewell

University of California San Diego 9500 Gilman Drive, MC0212 La

Jolla, CA 92093,

U.S.A.

RE-EXPORTACION **EXPORTACION** RE-EXPORT **EXPORT** IMPORTACION RE-IMPORTACION RE-IMPORT

IMPORT TRANSITO TRANSIT

OTROS OTHERS

**EXPORTACION** 

PUERTO DE SALIDA O ENTRADA / TRANSPORTE

PORT OF EXIT OR ENTANCE / CARRIER

Aeropuerto Internacional de Tocumen

PROPOSITO

PURPOSE

Estudios científicos de química de productos naturales

NOMBRE COMUN COMMON NAME	NOMBRE COMUN NOMBRE CIENTÍFICO		DESCRIPCIÓN DESCRIPTION
	Ver lista adjunta		4-44-4

ESTE PERMISO ES EMITIDO POR: THIS PERMIT IS ISSUED BY:

Dirección de Áreas Protegidas y Vida Silvestre

FECHA: 2010-09-08



Directora Encargada de Áreas Protegidas y Vida Silvestre

FIRMA RESPONSABLE Y SELLO

ESTE PERMISO DEBE IR ACOMPAÑADO DEL RESPECTIVO SANTIARIO EXPEDIDO POR EL MINISTERIO DE DESARROLLO **AGROPECUARIO** 



# REPUBLICA DE PANAMA AUTORIDAD NACIONAL DEL AMBIENTE DIRECCION DE AREAS PROTEGIDAS Y VIDA SILVESTRE

# Listado de especímenes a exportar por permiso científico SEX/P-49-10

VALIDO hasta 08/12/10

Nombre común	Nombre Científico	Cantidad	Descripción
Cianobacteria	Lyngbya majuscula	100	Gramos
Cianobacteria	Lyngbya semiplena	100	Gramos
Cianobacteria	Lyngbya penicilliformis	50	Gramos
Cianobacteria	Lyngbya polychroa	50	Gramos
Cianobacteria	Lyngbya bouillonii	100	Gramos
Cianobacteria	<i>Lyngbya</i> sp	100	Gramos
Cianobacteria	Schizothrix sp.	100	Gramos
Cianobacteria	Symploca sp.	100	Gramos
Cianobacteria	Oscillatoria sp	100	Gramos
Cianobacteria	Phormidium sp	100	Gramos
Cianobacteria	Rivularia sp	50	Gramos
Cianobacteria	Calothrix sp.	50	Gramos
Cianobacteria	Spirocoleus sp.	50	Gramos
Macroalgas	Tydemania expeditionis	50	Gramos
Macroalgas	Udotea geppii	50	Gramos
Macroalgas	Neomaris sp.	50	Gramos
Macroalgas	Dictyota sp.	100	Gramos
Macroalgas	Lobophora sp.	100	Gramos
Macroalgas	Stypopodium sp.	100	Gramos
/Jacroalgas	Laurencia sp.	100	Gramos
/acroalgas	Zellera sp.	50	Gramos
Macroalgas	Peyssonnelia sp	50	Gramos

# United States Department of Agriculture Cooperative State Research, Education, and Extension Service AWARD FACE SHEET

			HD FAC	E SHEE			
1. Award No. 2008-35621-04749	Amendment No.	2. Proposal Number 2008-04530		3. Period of Performance 4. Type of Instrument 09/01/2008 through 08/31/2013 Grant		ment	
5. Type of Action New	<b>6. CFDA Number</b> 10.206	7. CAN	8.M0		9. Method of Pay		10. CRIS Number
11.Authority:					DHHS Payment Ma	nagement System	
7 U.S.C. 450i, Sec. 2(	b) of P.L. 89-106, as ar	mended, National Researc	h Initiative	e Competit	tive Grants Program		
12. Agency (Name and	,	13. Awardee Organization					
Awards Management Branch Cooperative State Research, Education, and Extension Service/USDA Washington, DC 20250-2271  The Regents of the Univ. of Calif., U.C. San Diego La Jolla, CA 92093					вдо		
14. Program Point of	4. Program Point of Contact: Administrative Point of Contact: 15. Project Director/Performing Organization						
Sivapathasun Sures	shawaran Cha	rles Hungerford			Gerwick		
Telephone, 202-720		phone: 202-401-5059		The F	legents of the Univ. o lla, CA 92093	f Calif., U.C. San Die	ego
ssureshwaran@csr	es.usda.gov chur	gerford@csrees.usda.gov	<b>'</b>		,		
16. Funding:	Federal	Non-Federal	17. Fu	nds Char	geable		
Previous Total	\$0.00	\$0.00	<u> </u>	- FDC	Amount	FY - FDC	Amount
+ or -	\$500,000.00	\$0.00	08	- 862-356	21 \$500,000.00	•	
Total	\$500,000.00	\$0.00					
0	<b>#</b> 500.00	2.00					
Grand Total	\$500,000	0.00					
18. Title of Proposal  Drug Discovery from	Panamanian Marine a	nd Freshwater Microorgar	icmo				
,			OVISIONS				
<ol> <li>Research Term http://www.nsf.gr</li> <li>This institution in institutional part</li> <li>7 CFR Part 301</li> <li>The Approved Article Article</li> <li>CRIS Forms AD</li> <li>The obligation of funds; initial drawn</li> <li>This award will rencouraged to will not be poss</li> <li>Co-Project Director(s</li> </ol>	s and Conditions (07 ov/bfa/dias/policy/rtc s a signatory to the Ficipation in new or o 5, 7 CFR Part 3017, ward Budget 1-416 and AD-417 - if funds may be term wdown must be mad each the statutory tice complete the project ible.	vision thereto - incorpo 7/01/08) and CSREES / 1/01/08) and CSREES / 1/01/08) and CSREES / 1/01/08 and Demonstration of the composite of the vision of the composite of the comp	Agency S Partners tions and 7 CFR P ce ause unle f the proj	hip (FDP) I pilots. art 3019 ess the reect.	erms and Condition  Phase IV Agreem  incorporated by recipient commence	ent which requires eference s the timely drawc	down of
This award, subject to the further cause unless the	e provisions above, sh e recipient commences	FOR THE UNITED all constitute an obligation the timely drawdown of fu	of funds	on behalf	MENT OF AGRICULT of the Government. S ons may not exceed o	unda alalianakina ara-t	pe terminated without ce date of the award.
Typed Name		Signature	)			Date	
Duane Alphs Authorized Departn	nental Officer	DALPH	S			08/20/2008	В

# SUBAWARD # 10-SUBC-440-0000189778 BETWEEN SMITHSONIAN INSTITUTION AND UNIVERSITY OF CALIFORNIA AT SAN DIEGO

This Agreement is entered into by and between the Smithsonian Institution, a trust instrumentality, having a principal place of business at 1000 Jefferson Street S.W, Washington, DC 20560 (hereinafter referred to as SMITHSONIAN) and The Regents of the University of California on behalf of its San Diego campus, having a principal place of business at 9500 Gilman Drive, La Jolla, CA 92093 (hereinafter referred to as SUBAWARDEE):

SMITHSONIAN has a funding agreement with the U.S. Department of Health and Human Services, National Institutes of Health (Sponsor's Award No. U01 TW006634 hereinafter referred to as the Prime Award) for a project entitled "Bioassay and Ecology Directed Drug Discovery in Panama"; and

SUBAWARDEE has submitted a proposal to perform work on the project under the Prime Award. The proposal contains a Scope of Work (attached as APPENDIX B) and Budget (attached as APPENDIX C), and SMITHSONIAN has accepted SUBAWARDEE's proposal.

SMITHSONIAN and SUBAWARDEE agree as follows:

#### ARTICLE 1: SCOPE OF WORK

SUBAWARDEE will perform work described in the approved Scope of Work. SUBAWARDEE agrees to perform such work with the standard of professional care and skill customarily provided in the performance of such work as set forth in this Agreement.

#### ARTICLE 2: PERIOD OF PERFORMANCE

This Agreement shall be effective from 1 October 2009 through 31 May 2010 and it may be amended at any time by mutual consent in writing of the parties.

#### ARTICLE 3: CONSIDERATION AND PAYMENT

This is a cost reimbursement agreement. Under this Agreement, SMITHSONIAN agrees to reimburse SUBAWARDEE up to \$32,445 (thirty two thousand, four hundred forty five U.S. Dollars) for work performed as detailed in the Scope of Work and Budget. SMITHSONIAN shall have no obligation to pay SUBAWARDEE for work not in compliance with this Agreement or in excess of this amount.

SUBAWARDEE will submit invoices to the SMITHSONIAN Technical Representative (as named in APPENDIX D) not more often than monthly and no less often than quarterly. All invoices shall at minimum include current and cumulative costs (including cost sharing if applicable) listed according to the cost categories in the Budget, be in US Dollars, include this Agreement Number, and include a statement certifying the truth and accuracy of the invoice.

SUBAWARDEE will submit a final invoice within 30 days of the end date of this Agreement. The final invoice shall be clearly marked "Final" and include cumulative costs (including cost sharing if applicable).

Page 1 of 9

Designated Code: 650034

PI: Gerwick

SMITHSONIAN shall pay invoices upon approval by the SMITHSONIAN Technical Representative. Payments shall not be unduly withheld and shall be made within 30 (thirty) days of receipt of SUBAWARDEE's invoice. Questions concerning invoice receipt or payments should be directed to the appropriate party's Financial Contact (as named in APPENDIX D).

Upon request of SMITHSONIAN, SUBAWARDEE will refund any amounts determined to be unallowable expenditures as a result of audit by the prime awarding organization or SMITHSONIAN. SUBAWARDEE shall have the right to establish allowability of any such item of cost under this Agreement.

#### ARTICLE 4: DELIVERABLES AND TECHNICAL REPORTS

SUBAWARDEE will submit deliverables and/or technical reports as defined in the Scope of Work to the SMITHSONIAN Technical Representative.

#### ARTICLE 5: CONTACTS

Matters concerning the technical performance of this Agreement shall be directed to the appropriate party's Technical Representative. The SMITHSONIAN Technical Representative will, monitor and approve SUBAWARDEE's performance of the Scope of Work. The Technical Representatives are not authorized to change the scope, terms, monetary provisions, or any other conditions of this Agreement. SUBAWARDEE may not substitute or substantially reduce the level of effort of its Technical Representative without prior approval of the SMITHSONIAN Administrative Contact.

Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this Agreement, and any changes requiring prior approval, should be directed to the appropriate party's Administrative Contact.

Any changes made to this Agreement shall require the written approval of each party's Authorized Official (as named in APPENDIX D).

#### **ARTICLE 6: EQUIPMENT**

SUBAWARDEE will be accountable for all equipment purchased under this Agreement. Title to such equipment will transfer to SUBAWARDEE at the time of acquisition unless the Prime Award directs otherwise. SUBAWARDEE agrees to utilize such equipment for the benefit of the project and will maintain appropriate records to enable SMITHSONIAN to fulfill its responsibilities to the Prime Award.

#### ARTICLE 7: COPYRIGHTS AND DATA RIGHTS

SUBAWARDEE, to the extent allowed by law shall grant to Smithsonian, a royalty free, non-transferable, non-exclusive right and license to use, reproduce, make derivative works, display, and perform publicly any copyrights or copyrighted materials (including any computer software and its documentation and/or databases) first developed and delivered under this Agreement solely for the purpose of and only to the extent required to meet SMITHSONIAN's obligations to the Federal Government under its Prime Award.

SUBAWARDEE grants to SMITHSONIAN the right to use data created in the performance of this Agreement for the purpose of education and research or other standard museum purposes to the extent required to meet SMITHSONIAN's obligations under the Prime Award.

Page 2 of 9

#### ARTICLE 8: INDEMNITY

To the extent authorized by law, SMITHSONIAN agrees to defend indemnify and hold SUBAWARDEE harmless from and against any and all liability, loss, expense, reasonable attorney's fees, or claims for injury or damages arising out of the performance of this Agreement, but only in proportion to and to the extent such liability, loss, expense, attorneys' fees, or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of SMITHSONIAN, its officers, agents or employees.

To the extent authorized by law, SUBAWARDEE agrees to defend indemnify and hold SMITHSONIAN harmless from and against any and all liability, loss, expense, reasonable attorney's fees, or claims for injury or damages arising out of the performance of this Agreement, but only in proportion to and to the extent such liability, loss, expense, attorneys' fees, or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of SUBAWARDEE, its officers, agents or employees.

#### ARTICLE 9: INSURANCE REQUIREMENTS

SUBAWARDEE will maintain insurance policies covering all its work performed and associated with this Agreement as required by the Prime Award, if applicable.

#### **ARTICLE 10: TERMINATION**

Either party may terminate this Agreement without cause by providing 30 (thirty) days prior written notice to the other party. Written notice shall be directed to the Administrative contact named in APPENDIX D. In the event of termination of the Prime Award, or upon default of the SUBAWARDEE, SMITHSONIAN may terminate this Agreement immediately. Upon receipt of termination notice, SUBAWARDEE will make no further commitments under this Agreement and will take all reasonable actions to cancel outstanding obligations. Within 30 (thirty) days of the termination date, SUBAWARDEE will furnish all necessary reports of work completed or in progress

If this is a cost-reimbursable agreement, SUBAWARDEE will furnish detailed accounting of all costs incurred and balance of unexpended funds through the date of termination. SMITHSONIAN shall reimburse SUBAWARDEE for allowable termination costs and non-cancellable costs through the date of termination, not to exceed the funded value of this Agreement.

#### ARTICLE 11: EXAMINATION AND RETENTION OF RECORDS

In addition to any other audit requirements hereunder, SUBAWARDEE agrees that SMITHSONIAN or any of its duly authorized representatives shall, until the expiration of three years after final payment under this Agreement, have access to and the right to examine during normal working hours any directly pertinent books, documents, papers, and records of SUBAWARDEE involving transactions related to this Agreement. Financial records, supporting documents, and other records pertaining to this Agreement shall be maintained and retained by SUBAWARDEE for a period of three (3) years from the end date of this Agreement, unless the Prime Award requires a longer retention period, in which case the Prime Award's record retention requirements apply.

#### ARTICLE 12: ASSIGNMENT

SUBAWARDEE shall not assign any of its obligations under this Agreement without the prior written approval of the SMITHSONIAN Administrative Contact.

Page 3 of 9

#### ARTICLE 13: NO PARTNERSHIP

In its performance of this Agreement, SUBAWARDEE shall be an independent entity and not an employee or agent of SMITHSONIAN. It is understood that nothing herein shall be construed to indicate that the parties are engaged in a partnership or joint venture. Neither party has the authority to bind the other with any third parties.

#### ARTICLE 14: USE OF NAMES

Except as provided in this Agreement or as required by law or regulation, neither party will use the name of the other party (for SUBAWARDEE, this prohibition shall encompass the name University of California, San Diego, the names of every other campus in the UC system, the name University of California, and any abbreviations or logos of same), or its employees in any advertisement, press release, publicity, or for any other purpose, including but not limited to stationary or on-line, without the prior written approval of the other party.

#### ARTICLE 15: TERRORISM FINANCING

SUBAWARDEE is reminded that US Executive Orders and US law prohibit transactions with, and provision of resources and support to, individuals and organizations associated with terrorism. It is the legal responsibility of SUBAWARDEE to ensure compliance with these Executive Orders and laws, if applicable. This provision must be included in all subcontracts/subawards issued under this Agreement.

#### ARTICLE 16: SPECIAL CONDITIONS

SUBAWARDEE will comply with all applicable laws, regulations, and legal requirements, including but not limited to the applicable terms and conditions of the Prime Award.

#### ARTICLE 17: CONFLICT OF TERMS

In the event of any inconsistencies in this Agreement, unless otherwise provided herein, they shall be resolved by giving precedence in the following order

- This Agreement
- 2. Agreement Appendices
- Prime Award
- 4. Other applicable local, state, and federal regulations

#### ARTICLE 18: SEVERABILITY

If any term or provision of this Agreement is held to be invalid or unenforceable, the remainder shall be valid and enforceable.

Page 4 of 9

#### ARTICLE 20: ENTIRE AGREEMENT

This Agreement, together with any and all appendices herein incorporated by reference, constitutes the entire agreement between the parties with respect to the subject matter of this Agreement and supersedes any and all previous agreements between the parties, whether oral or written, with respect to such subject matter. No modification or alteration of or addition to this Agreement shall be effective to bind the parties unless it shall be in writing signed by each party's Authorized Official.

The parties have caused this Agreement to be executed and signed.

SMITHSONIAN INSTITUTION

J. Scott Robinson

Director

Office of Sponspred Projects

Date

UNIVERSITY OF CALIFORNIA AT SAN DIEGO

2 July 10X

Elizabeth Meier

Contract and Grant Officer, Scripps Institution of

Oceanography, UCSD

Date November 12, 200

Tax ID (or EIN): 956006144

DUNS No.: 175104595 (SIO)

Fiscal Year Ending Date: June 30

Incorporated by Reference:

APPENDIX A - Prime Award

APPENDIX B - Scope of Work

APPENDIX C - Budget

APPENDIX D - Contacts

Page 5 of 9

Designated Code: 650034

Pl: William Gerwick

## APPENDIX A: PRIME AWARD

[insert Prime award here]

Page 6 of 9 Designated Code: 650034 PI: William Gerwick

APPENTUCSD9/5/2012 0114

Notice of Award



INTERNATIONAL COOP BIODIVERSITY GROUPS
Department of Health and Human Services
National Institutes of Health

Issue Date: 09/11/2009



Grant Number: 5U01TW006634-07

FOGARTY INTERNATIONAL CENTER

Principal Investigator(s): William H Gerwick, PHD

Project Title: ICBG: "Training, Conservation and Drug Discovery using Panamanian

Microorganisms

Melva Caswell, Scott Robinson GRANTS & CONTRACTS ADMIN SMITHSONIAN INSTITUTE OFFICE OF SPONSORED PROJECTS PO BOX 37012, MRC 1205 WASHINGTON, DC 200137012

Budget Period: 06/01/2009 - 05/31/2010 Project Period: 09/27/2003 - 05/31/2013

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$621,823 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to SMITHSONIAN INSTITUTION in support of the above referenced project. This award is pursuant to the authority of SECTS 301, 307, 482, 42 USC 241, 2421 & 287B and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release or other document that cites results from NIH grant-supported research must include an acknowledgment of NIH grant support and disclaimer such as "The project described was supported by Award Number U01TW006634 from the Fogarty International Center. The content is solely the responsibility of the authors and does not necessarily represent the official views of the Fogarty International Center or the National Institutes of Health."

Award recipients are required to comply with the NIH Public Access Policy. This includes submission to PubMed Central (PMC), upon acceptance for publication, an electronic version of a final peer-reviewed, manuscript resulting from research supported in whole or in part, with direct costs from National Institutes of Health. The author's final peer-reviewed manuscript is defined as the final version accepted for journal publication, and includes all modifications from the publishing peer review process. For additional information, please visit <a href="http://publicaccess.nih.gov/">http://publicaccess.nih.gov/</a>.

Award recipients must promote objectivity in research by establishing standards to ensure that the design, conduct and reporting of research funded under NIH-funded awards are not biased by a conflicting financial interest of an Investigator. Investigator is defined as the Principal Investigator and any other person who is responsible for the design, conduct, or reporting of NIH-funded research or proposed research, including the Investigator's spouse and dependent children. Awardees must have a written administrative process to identify and manage financial conflict of interest and must inform Investigators of the conflict of interest policy and of the Investigators' responsibilities. Prior to expenditure of these awarded funds, the Awardee must report to the NIH Awarding Component the existence of a conflicting interest and within 60 days of any new conflicting interests identified after the initial report. Awardees must comply with these and all other aspects of 42 CFR Part 50, Subpart F. These requirements also apply to subgrantees, contractors, or collaborators engaged by the Awardee under this award. The NIH website <a href="http://grants.nih.gov/grants/policy/coi/findex.htm">http://grants.nih.gov/grants/policy/coi/findex.htm</a> provides additional information.

eferenced in Section

If you have any question IV.

out this award, please contact the individua

Sincerely yours,

BRUCE R BUTRUM Grants Management Officer FOGARTY INTERNATIONAL CENTER

Additional information follows

Award Calculation	(U.S. Dollars)
-------------------	----------------

Salaries and Wages	
Fringe Benefits	
Personnel Costs (Subtotal)	\$229.472
Consultant Services	\$91,000
Equipment	\$747
Supplies	\$79.247
Travel Costs	\$12.050
Other Costs	\$47.731
Consortium/Contractual Cost	\$90.648

Federal Direct Costs	\$550,895
Federal F&A Costs	\$70,928
Approved Budget	\$621,823
Federal Share	\$621,823
TOTAL FEDERAL AWARD AMOUNT	\$621,823

## AMOUNT OF THIS ACTION (FEDERAL SHARE)

\$621,823

SUMMARY TOTALS FOR ALL YEARS			
YR	THIS AWARD	CUMULATIVE TOTALS	
7	\$621,823	\$621,823	
8	\$621,936	\$621,936	
9	\$621,532	\$621,532	
10	\$621,752	\$621,752	

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

#### Fiscal Information:

**CFDA Number:** 

93.989

EIN:

1530206027A1

**Document Number:** 

UTW006634B

Fiscal Year:

2009

IC	CAN	2009	2010	2011	2012
TW	8420587	\$100,000			
TW	8476360	\$471,823	\$621,936	\$621,532	\$621,752
CA	8479567	\$50,000			

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

## NIH Administrative Data:

PCC: ICBG / OC: 414P / Processed: BUTRUMBR 09/06/2009

#### SECTION II - PAYMENT/HOTLINE INFORMATION - 5U01TW006634-07

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <a href="http://grants.nih.gov/grants/policy/awardconditions.htm">http://grants.nih.gov/grants/policy/awardconditions.htm</a>

#### SECTION III - TERMS AND CONDITIONS - 5U01TW006634-07

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.

- d. The NIH Grants cy Statement, including addenda in effect a the beginning date of the budget period.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at 'http://grants.nih.gov/grants/policy/awardconditions.htm' for certain references cited above.)

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is excluded from Streamlined Noncompeting Award Procedures (SNAP). In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <a href="http://publicaccess.nih.gov/">http://publicaccess.nih.gov/</a>.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

Fogarty International Center (FIC) National Cancer Institute (NCI)

## Treatment of Program Income:

**Additional Costs** 

## SECTION IV - TW Special Terms and Conditions - 5U01TW006634-07

#### FUNDING LEVEL

This non-competing award is issued in accord with the FIC FY09 Funding Strategy: http://www.fic.nih.gov/funding/fy\_strategy/2009funding.htm and is consistent with the NIH Guide Notice NOT-OD-09-002 http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-002.html.

#### CURRENT AND FUTURE YEAR LEVELS

In accordance with the October 27, 1995, NIH Guide announcement and NIH implementation, future-year recommended levels are shown as total costs (the sum of direct plus facilities and administrative costs). Adjustments to facilities and administrative costs (increases or decreases in rate or base changes) will not routinely be made for future year awards.

#### **PUBLICATIONS**

All publications resulting from the research or research training supported by this award must acknowledge FIC and any co-funders (if applicable). This publication requirement applies not only to the primary grantee, but also to any subcontractors and /or trainees involved with the project.

#### FOREIGN TRAVEL

U.S. Flag carriers must be used for departure from or entry into the U.S. and for any other portion of the trip where available.

#### CONSORTIUM/CONTRACTUAL COSTS

This award includes funds for consortium activities. Consortiums are to be established and administered in accordance with the NIH Grants Policy Statement: http://odoerdb2.od.nih.gov/gmac/nihgps\_2003/nihgps\_2003.pdf

#### FINANCIAL STATUS REPORTS (Standard Form 269)

In accordance with the NIH Grants Policy Statement, although this award is included under the Streamlined Non-Competing Award Process (SNAP), foreign grantees must submit an annual Financial Status Report (FSR) within 90 days of the end date of each budget period. The FSR must be submitted in English, in terms of U.S. dollars and use the current rate in existence at the time it is prepared. The Financial Status Report must be submitted via the eRA Commons: https://commons.era.nih.gov/commons/. Questions regarding the FSR may also be addressed here:

Contact: Shannon Hershman Government Accounting Branch Office of Financial Management National Institutes of Health 2115 East Jefferson St., MSC 8500 Room 4B432 Bethesda, MD 20892-8500 http://ofm.od.nih.gov/frs.asp

#### ADDRESS FOR FUTURE NONCOMPETING APPLICATIONS

If your application has future years, please submit your future Progress Reports PHS 2590, 2 months prior to the anticipated start date, to the following address:

Division of Extramural Activities Support, OER
National Institutes of Health
6705 Rockledge Drive, Room 2207, MSC 7987
Bethesda, MD 20892-7987 (for regular or US Postal Service Express mail)
Bethesda, MD 20817 (for other courier/express mail delivery only)
Phone Number: (301) 594-6584

This is the new centralized mailing address for all NIH Institutes/Centers. Do NOT submit the non-competing progress report directly to Fogarty International Center.

#### **FUNDING CONDITION**

NOTE: All research agreements and collection/export permits must be completed and approved by the Fogarty Program Official by the end of the first budget year in order to ensure potential funding in subsequent years. Please complete agreements no later than 15 days prior to the end of the budget period.

#### COOPERATIVE AGREEMENT.

The "Terms and Conditions of Award" described in this section are in addition to, and not in lieu of, otherwise applicable OMB administrative guidelines, HHS Grant Administration Regulations at 45 CFR Parts 74 and 92, and other HHS, PHS, and NIH grant administration policy statements. Refer to the OER website for more information: http://grants.nih.gov/grants/oer.htm

The administrative and funding instrument used for this program will be the cooperative agreement, U01, an "assistance" mechanism (rather than an "acquisition" mechanism), in which substantial NIH programmatic involvement with the awardees is anticipated during the performance of the activities. Under the cooperative agreement, the NIH purpose is to support and stimulate the recipients' activities by involvement in and otherwise working jointly with the award recipients in a partnership role; it is not to assume direction, prime responsibility, or a dominant role in the activities. Consistent with this concept, the dominant role and prime responsibility resides with the awardees for the project as a whole, although specific tasks and activities may be shared among the awardees and the NIH as defined below.

Specific Terms and Conditions of Award for the ICBG program, originally published in the ICBG RFA TW-08-003, are in operation for this award and should be reviewed at the following website: http://grants.nih.gov/grants/guide/rfa-files/RFA-TW-08-003.html#SectionVI. In particular, funded investigators and business officials should note responsibilities related to a) Access and benefit sharing associated with genetic resources, b) data deposition and annotation with the ICBG Global Data Center, c) organizing an annual meeting and attending ICBG network meetings.

These "Terms and Conditions of Award" require that the U.S. Government Scientific Coordinator approve the following: reports intended for inclusion in INDAs and Clinical Brochures; redistribution, outside the ICBG, of biological and chemical materials received from the U.S. Government; and dissemination of research or project findings resulting from the use of such materials to assure conformity to existing confidentiality agreements with suppliers. You will shortly be informed of the identity of the USG Scientific Coordinator for your project.

Grantees are required to submit semi-annual progress reports for review by the Interagency Technical Advisory Group to the ICBG program. You will shortly receive formats and a schedule for these reports.

NIH has recently issued a new policy on data sharing. It can be viewed at: <a href="http://grants2.nih.gov/grants/policy/data\_sharing">http://grants2.nih.gov/grants/policy/data\_sharing</a>. This policy goes into effect for awards made after October 1, 2003. Awardees should review this policy. The general principles regarding the need to disseminate findings through publication in peer-reviewed journals and on websites; and the need to maximize sharing of data among collaborators apply to the ICBG award. The management of proprietary data with collaborating companies poses special issues that should be addressed by the entire group in consultation with your program officials.

A current list of resources potentially available for project support through the NIH and other participating agencies is available through the FIC ICBG website: http://www.fic.nih.gov/programs/research\_grants/icbg/index.htm. You are encouraged to utilize contractual resources available through the funding agencies and compete for related grants to enhance the ICBG effort.

For up-to-date information, you may access the NIH Home Page at http://www.nih.gov/ and the FIC Home Page at http://www.fic.nih.gov/.

#### STAFF CONTACTS

The Grants Management Specialist is responsible for the negotiation, award and administration of this project and for interpretation of Grants Administration policies and provisions. The Program Official is responsible for the scientific, programmatic and technical aspects of this project. These individuals work together in overall project administration. Prior approval requests (signed by an Authorized Organizational Representative) should be submitted in writing to the Grants Management Specialist. Requests may be made via e-mail.

Grants Management Specialist: Elizabeth Cleveland

Email: clevelande@mail.nih.gov Phone: (301) 451-6830 Fax: (301) 594-1211

Program Official: Joshua Rosenthal

Email: jr141x@nih.gov Phone: (301) 402-6522 Fax: 301-402-0779

SPREADSHEET SUMMARY

GRANT NUMBER: 5U01TW006634-07

**INSTITUTION: SMITHSONIAN INSTITUTION** 

Budget	Year 7	Year 8	Year 9	Year 10
Salaries and				
Wages				
Fringe Benefits				
Personnel Costs	\$229,472	\$229,472	\$229,472	\$229,472
(Subtotal)			25	
Consultant	\$91,000	\$91,000	\$91,000	\$91,000
Services				
Equipment	\$747			
Supplies	\$79,247	\$74,832	\$74,428	\$74,648
Travel Costs	\$12,050	\$12,050	\$12,050	\$12,050
Other Costs	\$47,731	\$47,731	\$47,731	\$47,731
Consortium/Contra	\$90,648	\$90,648	\$90,648	\$90,648
ctual Cost	85 65	200	160 1981	200
TOTAL FEDERAL	\$550,895	\$545,733	\$545,329	\$545,549
DC		,		
TOTAL FEDERAL	\$70,928	\$76,203	\$76,203	\$76,203
F&A			500 mar 200 ma	
TOTAL COST	\$621,823	\$621,936	\$621,532	\$621,752

Facilities and Administrative Costs	Year 7	Year 8	Year 9	Year 10
F&A Cost Rate 1	20%	21%	21%	21%
F&A Cost Base 1	\$229,472	\$229,472	\$229,472	\$229,472
F&A Costs 1	\$45,894	\$48,189	\$48,189	\$48,189
F&A Cost Rate 2	4.2%	4.7%	4.7%	4.7%
F&A Cost Base 2	\$596,043	\$596,043	\$596,043	\$596,043
F&A Costs 2	\$25,034	\$28,014	\$28,014	\$28,014
	A CONTRACTOR OF THE CONTRACTOR			

## APPENDIX B: Scope of Work

#### SCOPE OF WORK:

The Gerwick group will participate in several collection trips Panama. Active materials will be chromatographically fractionated and resubmitted to the bioassays at the UCSD in house assays and to the anti parasitic assays in Panama. Active fractions will be pursued and pure compounds isolated and their structures will be determined using a contemporary suite of NMR and mass spectrometric methods. Additional samples of pure and active compounds will be generated by recollection and re-isolation efforts, and in some cases, when larger amounts of material are needed, chemical synthesis will be performed.

#### DELIVERABLES:

Interim technical and financial report due May 31, 2010.

Elizaboth Mere

Signature:

Page 7 of 10

## APPENDIX C: Budget

10/1/09 through 5/31/10

Total Salaries and benefits Lena Gerwick	\$13,787
Mindy Johnson	
Supplies	\$ 1,838
Total Travel Foreign	\$ 5,000 \$ 5,000
Total Other	\$ 375
Total Direct Costs	\$21,000
UCSD F&A @54.5% on MTDC Total Indirect Costs	\$11,445 \$11,445
Total Funds Requested	\$32,445

Signature:

Page 8 of 10

## APPENDIX D: CONTRACT REPRESENTATIVES

	SMITHSONIAN Contacts		SUBAWARDEE Contacts
Administrative	e Contact	Administrative Contact	
Name: Address: Telephone: Fax:	Melva B. Caswell Smithsonian Institution Office of Sponsored Projects P. O. Box 37012, MRC 1205 Washington, DC 20013-7012 202-633-7099 202-633-7119	Name: Address:	Elizabeth Meier Scripps Institution of Oceanography University of California, San Diego 9500 Gilman Drive MC0210 La Jolla, CA 92093-0210
Email:	caswellm@si.edu	Telephone: Fax: Email:	858-822-6930 858-534-9642 emeier@ucsd.edu
Technical Re	presentative	Technical Re	presentative
Name: Address: Telephone: Fax: Email:	Dr. William Gerwick Smithsonian Tropical Research Institute 858-534-0578 858-534-0529 wgerwick@ucsd.edu	Name: Address: Telephone: Fax: Email:	Lena Gerwick University of California at San Diego San Diego, CA 858-534-0566 Igerwick@ucsd.edu
Financial Contact		Financial Cor	ntact
Name: Address: Telephone: Fax: Email:	Erica Garibaldo Smithsonian Tropical Research Institute ICBG Project 011-507-212-8157 011-507-212-8148 GaribaldoE@si.edu	Name: Address: Telephone:	Kyle Nakanishi Center for Marine Biotechnology and Biomedicine, Scripps Institution of Oceanography, University of California, San Diego 858-534-4246
		Fax: Email:	knakanishi@ucsd.edu
Authorized Of	fficial	Authorized O	fficial
Name:	J. Scott Robinson, CRA Director	Name:	Elizabeth Meier, Contract and Grant Officer
Address: Telephone: Fax:	Office of Sponsored Projects Smithsonian Institution P. O. Box 37012, MRC 1205 Washington, DC 20013-7012 202-633-7110 202-633-7119	Address:	Scripps Institution of Oceanography, University of California, San Diego 9500 Gilman Drive MC 0210 La Jolla, CA 92093-0210
Email:	ospmail@si.edu	Telephone: Fax: Email:	858-822-6930 emeier@ucsd.edu

Page 9 of 9 Designated Code: 650034 PI: William Gerwick

## **Purchase Order**

Issuing Office
Smithsonian Institution
Office of Sponsored Projects
P.O. Box 37012, MRC 1205
Washington DC 20013-7012
United States

Vendor: 0000038504 University of California - San Diego
The Regents of the University of Califor
9500 Gilman Drive
Mail Code 356 La Jolla CA 92093

	Dis	patch via Pr	int
Purchase Orde 10-SUBC-440-		Date 11/18/2009	Page 1 of 1
Payment Terms Net 30	FOB Destination		i <b>p Via</b> eap
Buyer Julie O'Dell	Phone 202/633-7106	Cu US	rrency
	ROPICAL RESEARCH INSTITU		

TROPICAL RESEARCH INSTITUTE REF PO: 10-SUBC-440-0000189778 UNIT 0948 APO, AA 34002

United States

Bill To:

Smithsonian Institution PO Box 37012 MRC 1203

Washington DC 20013-7012 United States

Tax Exe Ln-Sch	mpt?Y Tax Exempt ID:53-0206027 Item/Description	Mfg ID	Qty	UOM	PO Price	Ext Amt	Due Date
1- 1	To create a subcontract with U. of CA at To create a subcontract between SI & The University of CA- San Diego in the amount of \$32,445. The period of performance is 10/1/09-5/31/10 & the PI is Gerwick. Attached to this subcontract are the rules & regulations set forth for this agreement.	San Diego	1.00	EA	32,445.00	32,445.00	11/18/2009
			Line T	otal		32,445.00	
			Total I	O Amou	nt 🗆	32,445.00	

#### AMENDMENT NO. 1

To

## SUBAWARD # 10-SUBC-440-0000189778 BETWEEN SMITHSONIAN INSTITUTION AND UNIVERSITY OF CALIFORNIA AT SAN DIEGO

This Subaward is entered into by and between the Smithsonian Institution, a trust instrumentality, having a principal place of business at 1000 Jefferson Street S.W, Washington, DC 20560 (hereinafter referred to as SMITHSONIAN) and the University of California at San Diego having a principal place of business at 9500 Gilman Drive, La Jolla, CA 92093 (hereinafter referred to as SUBAWARDEE) is amended as follows:

ARTICLE 2: PERIOD OF PERFORMANCE shall be amended to extend the period of performance through 31 May 2011.

ARTICLE 3: CONSIDERATION OF PAYMENT It shall also be amended to increase the Agreement amount by \$16,223 from \$32,445 to a total Contract amount not to exceed \$48,668 as stated in Appendix B.

ARTICLE 4: DELIVERABLES shall be amended to require an interim technical and financial report to be submitted to Smithsonian Principal Investigator.

All other terms and conditions in Subaward Number -# 10-SUBC-440-0000189778 remain in full force and effect.

IN WITNESS WHEREOF, the parties hereto have caused this Contract amendment to be signed.

SMITHSONIAN INSTITUTION

Director

Office of Sponsored Projects

UNIVERSITY OF CALIFORNIA AT SAN DIEGO

Contract and Grant Officer, Scripps Institution

of Oceanography, UCSD

#### APPENDIX A

#### SCOPE OF WORK:

The Gerwick group will participate in several collection trips Panama. Active materials will be chromatographically fractionated and resubmitted to the bioassays at the UCSD in house assays and to the anti-parasitic assays in Panama. Active fractions will be pursued and pure compounds isolated and their structures will be determined using a contemporary suite of NMR and mass spectrometric methods. Additional samples of pure and active compounds will be generated by recollection and re-isolation efforts, and in some cases, when larger amounts of material are needed, chemical synthesis will be performed.

#### **DELIVERABLES:**

Interim technical and financial report due May 31, 2011

Signature:

## APPENDIX C: Budget

## 06/01/10 through 5/31/11

Total Salaries and benefits Lena Gerwick	\$ 7,201
Mindy Johnson	S 799
Supplies Total Travel	\$ 2,500
Foreign	\$ 2,500
Total Direct Costs	\$10,500
UCSD F&A @54.5% on MTDC Total Indirect Costs	\$ 5,723 \$ 5,723
Total Funds Requested	\$16,223

Signature:

## **Purchase Order**

Issuing Office
Smithsonian Institution
Office of Sponsored Projects
P.O. Box 37012, MRC 1205
Washington DC 20013-7012
United States

Vendor: 0000038504 University of California - San Diego The Regents of the University of Califor 9500 Gilman Drive Mail Cold 36 La Jolla CA 92093

CHANGE OR	DER Di	spatch via Print				
Purchase Order 10-SUBC-440-0	Revision 0000189778 1 - 08/04/2010	Date 11/18/2009	Page 1 of 1			
Payment Terms Net 30	Freight Terms FOB Destination	Sh	ip Via eap			
Buyer Julie O'Dell	Phone 202/633-7106	Currency				
Ship To: TR	OPICAL RESEARCH INSTITU	JTE				

REF PO: 10-SUBC-440-0000189778 UNIT 0948 APO, AA 34002 United States

Bill To:

Smithsonian Institution PO Box 37012 MRC 1203

Washington DC 20013-7012 United States

<u>Tax Exe</u> Ln-Sch		Contract # (	(IF ANY) Qtv	UOM	PO Price	Ext Amt	Due Dete
1- 1	To create a subcontract with U. of CA a		1.00	EA	32,445.00	32,445.00	Due Date 11/18/2009
			Line T	otal		32,445.00	
2- 1	Amendment #1: To add additional funds in the amount of \$16,223 for a new total of \$48,668. The end date has been extended to 5/31/11.		1.00	EA	16,223.00	16,223.00	08/04/2010
			Line Total			16,223.00	
			Total P	O Amoui	nt	48,668.00	

**Authorized Signature** 

Date

NEESE, TERESA, Procurement Officer

#### AMENDMENT NO. 2

To

## SUBAWARD # 10-SUBC-440-0000189778 BETWEEN SMITHSONIAN INSTITUTION AND UNIVERSITY OF CALIFORNIA AT SAN DIEGO

This Subaward is entered into by and between the Smithsonian Institution, a trust instrumentality, having a principal place of business at 1000 Jefferson Street S.W, Washington, DC 20560 (hereinafter referred to as SMITHSONIAN) and the University of California at San Diego having a principal place of business at 9500 Gilman Drive, La Jolla, CA 92093 (hereinafter referred to as SUBAWARDEE) is amended as follows:

ARTICLE 2: PERIOD OF PERFORMANCE shall be amended to extend the period of performance through 31 May 2012.

ARTICLE 3: CONSIDERATION OF PAYMENT It shall also be amended to increase the Agreement amount by \$16,223 from \$48,668 to a total Contract amount not to exceed \$64,891 as stated in Appendix C.

ARTICLE 4: DELIVERABLES shall be amended to require the final report to be submitted to Smithsonian Principal Investigator at the termination of the contract. See Appendix B for a list of deliverables.

All other terms and conditions in Subaward Number -# 10-SUBC-440-0000189778 remain in full force and effect.

IN WITNESS WHEREOF, the parties hereto have caused this Contract amendment to be signed.

**SMITHSONIAN\_INSTITUTION** 

J. Scott Robinson, CRA

Director

Office of Sponsored Projects

UNIVERSITY OF CALIFORNIA AT SAN DIEGO

Contract and Grant Officer, Scripps Institution

of Oceanography, UCSD

#### APPENDIX B

#### SCOPE OF WORK:

The Gerwick group will participate in several collection trips Panama. Active materials will be chromatographically fractionated and resubmitted to the bioassays at the UCSD in house assays and to the anti parasitic assays in Panama. Active fractions will be pursued and pure compounds isolated and their structures will be determined using a contemporary suite of NMR and mass spectrometric methods. Additional samples of pure and active compounds will be generated by recollection and re-isolation efforts, and in some cases, when larger amounts of material are needed, chemical synthesis will be performed.

#### **DELIVERABLES:**

Interim technical and financial report due May 31, 2012.

Signature: Elizabeth Meier

## APPENDIX C: Budget

## 06/01/11 through 5/31/12

Total Salaries and benefits	\$ 7,422
Lena Gerwick	
Staff Research Assoc.	
Supplies	\$ 578
Total Travel	\$ 2,500
Foreign	\$ 2,500
Total Direct Costs	\$10,500
UCSD F&A @54.5%	
on MTDC	\$ 5,723
Total Indirect Costs	\$ 5,723
Total Funds Requested	\$16,223

Signature: Elizabeth Meil

## Purchase Order

Issuing Office Smithsonian Institution Office of Sponsored Projects P.O. Box 37012, MRC 1205 Washington DC 20013-7012 United States

> Vendor: 0000038504 University of California - San Diego The Regents of the University of Califor 9500 Gilman Drive Mail Code 356 La Jolla CA 92093

CHANGE ORDER Dispatch via Print Page 1 of 1 Purchase Order Revision Date 11/18/2009 10-SUBC-440-0000189778 2 - 09/26/2011 Freight Terms FOB Destination Ship Via **Payment Terms** Cheap Net 30 Phone Currency Buyer Julie O'Dell Ship To: 202/633-7106 USD

American Embassy Panama REF PO: 10-SUBC-440-0000189778

Smithsonian Trop. Res. Inst. 9100 Panama City PI

Washington DC 20521-9100 United States

Bill To:

Smithsonian Institution

PO Box 37012 MRC 1203

Washington DC 20013-7012

United States

Tax Exe	mpt?Y Tax Exempt ID:53-0206027	Contract #	(IF ANY)				
Ln-Sch	Item/Description	Mfg ID	Qty	UOM	PO Price	Ext Amt	Due Date
1- 1	To create a subcontract with U. of CA at To create a subcontract between SI & The University of CA- San Diego in the amount of \$32,445. The period of performance is 10/1/09-5/31/10 & the PI is Gerwick. Attached to this subcontract are the rules & regulations set forth for this agreement.	San Diego	1.00	EA	32,445.00	32,445.00	11/18/2009
			Line To	otal		32,445.00	
2- 1	Amendment #1: To add additional funds in the amount of \$16,223 for a new total of \$48,668. The end date has been extended to 5/31/11.		1.00	EA	16,223.00	16,223.00	08/04/2010
			Line Total			16,223.00	
3 - 1	Amendment #2: To increase funds by \$16,223 for a new total of \$64,891. The end date has been extended to 5/31/12.		1.00	EA	16,223.00	16,223.00	09/26/2011
			Line To	otal		16,223.00	
Total PO Amount					nt	64,891.00	

#### AMENDMENT NO. 3

To

## SUBAWARD # 10-SUBC-440-0000189778 BETWEEN **SMITHSONIAN INSTITUTION** AND UNIVERSITY OF CALIFORNIA AT SAN DIEGO

This Subaward Amendment is entered into by and between the Smithsonian Institution, a trust instrumentality, having a principal place of business at 1000 Jefferson Drive S.W. Washington, DC 20560 (hereinafter referred to as SMITHSONIAN, and the University of California at San Diego, having a principal place of business at 9500 Gilman Drive, La Jolla, CA 92093 (hereinafter referred to as SUBAWARDEE). The Subaward is amended as follows:

ARTICLE 2: PERIOD OF PERFORMANCE shall be amended to extend the period of performance through 31 May 2013.

ARTICLE 3: CONSIDERATION AND PAYMENT shall also be amended to increase the Agreement amount by \$16,223 from \$64,891 to a total Contract amount not to exceed \$81,114 as stated in Appendix B.

ARTICLE 4: DELIVERABLES shall be amended to require the final report to be submitted to Smithsonian Principal Investigator at the termination of the contract. See Appendix B for a list of deliverables.

All other terms and conditions in Subaward Number #10-SUBC-440-0000189778 remain in full force and effect.

IN WITNESS WHEREOF, the parties hereto have caused this Subaward Amendment to be signed.

SMITHSONIAN INSTITUTION

UNIVERSITY OF CALIFORNIA AT SAN DIEGO

J. Scott Robinson, CRA

Director

Office of Sponsored Projects

Contract and Grant Officer, Scripps Institution

of Oceanography, UCSD

#### APPENDIX B

## SCOPE OF WORK:

The PI will spend the effort supervising students, write progress reports, manuscripts and be the main person involved in the genomic effort of three Panamanian cyanobacteria that are having their genome sequenced.

## **DELIVERABLES:**

Final technical and final financial report due May 31, 2013.

Signature: Elienbeth Maie

**APPENDIX C: Budget** 

### UNIVERSITY OF CALIFORNIA, SAN DIEGO DETAILED BUDGET REQUEST FOR THE PERIOD FROM 6/1/12 THROUGH 5/31/13

20081571R1 L. Gerwick - Smithsonian

TotalSalaries and Emp. Benefit	YEAR 5
Requested	
\$8,954	
	\$8,954

Salary recharge rates are calculated for actual productive time only (except for non-faculty academic sick leave which is charged as direct). The rates include components for employee benefits, provisions for applicable merk increases and range adjustments in accordance with University policy. Staff overtime or remote location allowance may be required in order to meet project objectives, and separate rates are used in those cases.

TOTAL SALARIES & EMPLOYEE BENEFITS \$8,955

PROJECT SPECIFIC SUPPLIES, MATERIALS & OTHER EXPENSES: (ITEMIZE)

Laboratory/Field Research Supplies

OTHER:

\$145 \$150

Project specific costs that include telephone equipment, tolk voice and data communication charges, photocopying

faxing postage and subscriptions are requested. Supply and expense items, categorized as project specific, and computer and networking sevices are for expenses that specifically benefit this project and are reasonable and necessary for the performance of this project.

TOTAL SUPPLIES, MATERIALS & OTHER

TRAVEL:

(DESTINATION & PURPOSE-ITEMIZE TRANSPORTATION, PER DIEM & MISC)

**Foreign** Panama PI& CSR airfare no days per dem taxi/bus registr. natrips total 0082 6 \$75 \$0 50 \$1,250

Field Research & Meeting

\$1,250 TOTAL TRAVEL

TOTAL DIRECT COSTS \$10,500

INDIRECT COSTS: (based on modified total direct costs & negotiated rate with cogrizant audit agency DHHS):

Rate On-Campus

54.5%

\*Race

\*Base is total direct cost less tuition remission, equipment and ship time.

INDIRECT COST\_\_\_\_ \$5,723

TOTAL AMOUNT REQUESTED \$16.223

Signature: Elizabeth Meie

## **Purchase Order**

Issuing Office
Smithsonian Institution
Office of Sponsored Projects
P.O. Box 37012, MRC 1205
Washington DC 20013-7012
United States

Vendor: 0000038504 University of California - San Diego The Regents of the University of Califor 9500 Gilman Drive Mail Code 356 La Jolla CA 92093

CHANGE ORD	ER Di	Dispatch via Print			
Purchase Order 10-SUBC-440-00	<b>Revision</b> 000189778 3 - 07/03/2012	Date 11/18/2009	Page 1 of 1		
Payment Terms Net 30	Freight Terms FOB Destination		p Via eap		
Buyer Julie O'Dell	Phone 202/633-7106	Cu US	rrency D		
Chin To: Cm	theonien Tren Dec Inst				

Ship To:

Smithsonian Trop. Res. Inst. REF PO: 10-SUBC-440-0000189778 9100 Panama City PI Washington DC 20521-9100 United States

Smithsonian Institution PO Box 37012 MRC 1203 Bill To:

Washington DC 20013-7012 United States

Tax Exempt?Y Tax Exempt ID:53-0206027 Contract # (IF ANY)							
	Item/Description	Mfg ID	Qty	UOM	PO Price	Ext Amt	Due Date
1- 1	To create a subcontract with U. of CA at To create a subcontract between SI & The University of CA- San Diego in the amount of \$32,445. The period of performance is 10/1/09-5/31/10 & the PI is Gerwick. Attached to this subcontract are the rules & regulations set forth for this agreement.	San Diego	1.00	EA	32,445.00	32,445.00	11/18/2009
			Line To	otal		<u>32,445.00</u>	
2- 1	Amendment #1: To add additional funds in the amount of \$16,223 for a new total of \$48,668. The end date has been extended to 5/31/11.		1.00	EA	16,223.00	16,223.00	08/04/2010
			Line To	otal		16,223.00	
							00/00/00/
3- 1	Amendment #2: To increase funds by \$16,223 for a new total of \$64,891. The end date has been extended to 5/31/12.		1.00	EA	16,223.00	16,223.00	09/26/2011
			Line Total		16,223.00		
			4.00		40,000,00	16 000 00	07/04/2012
4- 1	Amendment #3: To increase funds by \$16,223 for a new total of \$81,114. The end date has been extended to 5/31/2013.	/	1.00	EA	16,223.00	16,223.00	07/04/2012
			Line Total			16,223.00	
		Total PO Amount			81,114.00		

**Authorized Signature** 

Date

NEESE, TERESA, Procurement Officer

# ACADEMIC COLLABORATIVE AGREEMENT BETWEEN THE SMITHSONIAN TROPICAL RESEARCH INSTITUTE AND THE REGENTS OF THE UNIVERSITY OF CALIFORNIA ON BEHALF OF ITS SAN DIEGO CAMPUS

WHEREAS the Smithsonian Tropical Research Institute ("STRI") is an operational unit of the Smithsonian Institution of the United States, established in Panama to increase and diffuse knowledge among humankind, that for more that seventy-five (75) years has carried out scientific activities and research in the Republic of Panama, especially in biological research and related studies of flora and fauna;

WHEREAS STRI is a party to the COOPERATION AGREEMENT BETWEEN THE NATIONAL ENVIRONMENTAL AUTHORITY (ANAM) AND THE SMITHSONIAN TROPICAL RESEARCH INSTITUTE ("STRI-ANAM Agreement") signed between the National Authority of the Environment ("ANAM") from the Republic of Panama and STRI on 8th of April, 2009, and valid until the 8<sup>th</sup> of April of 2014, attached herewith as Appendix A, for the International Cooperative Biodiversity Group ("ICBG") program;

AND WHEREAS the Scripps Institution of Oceanography, University of California San Diego ("THE ACADEMIC COLLABORATOR") is a nonprofit educational institution, and an Academic Collaborator, as defined in the STRI-ANAM agreement.

AND WHEREAS THE ACADEMIC COLLABORATOR and STRI will carry out the biological research and studies under this Agreement in a manner which is consistent with the United Nations Convention on Biological Diversity, dated June 5, 1992 and, adopted December 29, 1993, and ratified by Panama through Law 2 of January 12, 1995 and with Executive Decree 25, of April 29, 2009, attached herewith as Appendix B, from the Ministry of Economy and Finance, Republic of Panama, which regulates access to Panamanian Bio-genetic resources.

NOW THEREFORE, the undersigned, DR. ELDREDGE BERMINGHAM, in his capacity as Director and Legal Representative of STRI; and THE ACADEMIC COLLABORATOR (either a "Party", together the "Parties") agree to the terms of this Nonprofit-Academic Collaborative Agreement ("Agreement") on the following terms:

**FIRST:** BIOLOGICAL MATERIAL, DERIVATIVES, NONPROFIT COLLABORATOR, ACADEMIC COLLABORATOR, PANAMANIAN COLLABORATOR and NET INCOME, for purposes of the Agreement have the same definitions as the STRI-ANAM Agreement.

INTELLECTUAL PROPERTY, for purposes of this Agreement, has the same definition as Executive Decree 25, of April 29, 2009, Ministry of Economy and Finances, Republic of Panama and it includes the possible discoveries, processes and developments stated in clause FOURTEENTH of the ANAM – STRI Agreement.

JOINT INTELLECTUAL PROPERTY refers to Intellectual Property in which both THE ACADEMIC COLLABORATOR and STRI are recognized as co-inventors.

RESEARCH PLAN OF THE ICBG refers to the research to be performed by the Parties under this Agreement, as outlined in the Grant Application entitled "ICBG: Training, Conservation and Drug Discovery using Panamanian Micro-Organisms" dated 11/29/2007 and submitted for funding to the U.S. National Institutes of Health and participating organizations including the U.S. Department of Agriculture ("Sponsors").

**SECOND:** The STRI-ANAM agreement, which is attached herewith as Appendix A, is integrated into this Agreement and is a part of it. The present agreement shall be consistent with the STRI-ANAM Agreement and in the event of any discrepancy between this Agreement and the STRI-ANAM Agreement, the latter shall prevail.

THIRD: This Agreement is executed as part of the ICBG program established under the proposal, "Bioassay and Ecology-Directed Drug Discovery in Panama", ICBG application #1 U01 TW06634-01, submitted under United States National Institutes of Health Request for Application TW-04-004 and renewed under Request for Application TW-08-003. THE ACADEMIC COLLABORATOR is recipient of a U.S. Department of Agriculture CSREES awarded component of the ICBG award. As recipients of federal funds from these awards, all Parties intend to comply with the requirements of the ICBG program and all applicable provisions of the awards.

# **FOURTH:** The purpose of this Agreement is:

- I. To establish a collaborative relationship between STRI and THE ACADEMIC COLLABORATOR with the goals of contributing to research by the ICBG Group in the Republic of Panama and at THE ACADEMIC COLLABORATOR.
- II. To allow the exchange of Biological Materials and Derivatives utilized and generated under this Agreement as well as information from the use of those Biological Materials and Derivatives.
- III. To utilize the Biological Materials and Derivatives such that they will provide the basis for prospective joint research proposals, joint research programs and related scientific purposes, including but not limited to chemical and biological assays and the purification and analysis of individual chemical compounds in order to establish the viability or non-viability of an application of the biological resource.
- IV. To share expertise among the Parties, Panamanian Collaborators, Nonprofit Collaborators and Academic Collaborators as defined in the STRI-ANAM Agreement.

- V. To provide training opportunities for Panamanian and U.S. scientists related to the development of novel therapeutic agents, including, but not limited to, techniques involved in the isolation and characterization of natural products as treatments for human disease.
- VI. To include, whenever possible, reciprocal research visits by Panamanian scientists to THE ACADEMIC COLLABORATOR and THE ACADEMIC COLLABORATOR scientists to Panama, with a focus on providing opportunities for Panamanian scientists to receive training and novel research opportunities.
- VII. To carry out the biological research and related studies described in the ANAM- STRI Agreement in a manner that improves the knowledge, appreciation, conservation and sustainable use of Panamanian biological resources and biological diversity.

FIFTH: The Parties acknowledge that the use of all Biological Materials provided to THE ACADEMIC COLLABORATOR and the use of Derivatives from such Biological Materials shall be restricted to non-commercial research. All Biological Materials and Derivatives transferred between the Parties shall only be used in connection with the activities under the Research Plan of the ICBG described in this Agreement.

SIXTH: THE ACADEMIC COLLABORATOR may make Biological Materials or Derivatives available to third parties but only under the appropriate terms of a material transfer agreement for the special cases of Biological Materials or Derivatives made available to third parties for the sole purpose of verifying the result of a bioassay or testing a bioassay of relevant importance or the obtainment of a physical or chemical property of the substance, or Biological Materials or Derivatives made available to Sponsors of the ICBG program as required by applicable Sponsor regulations, terms or conditions of award. The use of all Biological Materials or Derivatives by a third party shall be documented in all reports required by this Agreement and the data obtained from any experiments shall be shared freely between the Parties.

**SEVENTH:** Upon the expiration or termination of this Agreement or within 90 days of written request by STRI, THE ACADEMIC COLLABORATOR shall return to STRI all Biological Materials still in its possession, unless it is able to enter into a direct agreement with ANAM for the use of said Biological Material, as stated in clause NINTH (e) of the ANAM-STRI Agreement.

EIGHTH: Prior to the export of Biological Materials from STRI to THE ACADEMIC COLLABORATOR, STRI shall comply with all of the necessary agreements and obtain all of the necessary permits required by the Republic of Panama as specified in the STRI-ANAM agreement, Panama's Law 41 of 1998 and Executive Decree 25, of April 29, 2009, Ministry of Economy and Finances, Republic of Panama. THE ACADEMIC COLLABORATOR shall comply with all of the necessary agreements and obtain all of the necessary permits required by the United States of America. STRI agrees to provide THE ACADEMIC COLLABORATOR and THE ACADEMIC COLLABORATOR agrees to provide STRI with any and all information needed to fulfill those obligations, including but not limited to the family, genus and species names (when known) and the nature of the Biological Material being transferred.

**NINTH:** THE ACADEMIC COLLABORATOR agrees to clearly identify the Biological Materials and Derivatives obtained under this agreement as Panamanian in origin and will take the necessary measures to ensure appropriate custody of said Biological Materials and Derivatives.

**TENTH:** STRI and THE ACADEMIC COLLABORATOR agree to share with one another any and all results obtained from the Biological Materials, whether generated by STRI, THE ACADEMIC COLLABORATOR or a third party that is using Biological Materials or Derivatives obtained by the third party under the terms of this Agreement, including but not limited to activity in biological assays; information regarding novel uses of Biological Materials or Derivatives such as novel genetic constructs and the products that result from novel uses of those Biological Materials or Derivatives. The provisions herein for sharing information and results apply to any third party that received Biological Materials or Derivatives. However, in return for receiving information STRI and THE ACADEMIC COLLABORATOR agree to maintain confidentiality of any information provided by the third party meeting the definition of Confidential Information.

ELEVENTH: Information provided by a Party considered Confidential Information by the Providing Party under this Agreement will be labeled "Confidential". Confidential Information shall also include oral disclosures, provided at the time of disclosure the information is identified as Confidential Information and reduced to writing in summary form, marked as "Confidential Information" and delivered to the receiving Party within 30 days. The Party receiving the Confidential Information will not disclose any of the Confidential Information unless otherwise specified in writing by the other Party. Notwithstanding the foregoing, Confidential Information may be disclosed to the extent required by any law or regulation of any governmental authority having jurisdiction over any of the Parties, with appropriate efforts made to maintain confidentiality. The obligations of confidentiality shall be imposed for a period of five (5) years from the date of the last signature on their Agreement and shall not apply to information which

(a) as shown by written records is in the possession of the receiving Party prior to the time of disclosure, and, as such, is not subject to an obligation of confidentiality; or

- (b) is in the public domain prior to disclosure through no breach of this Agreement by the receiving Party; or
- (c) is received from a third party unrelated to the Parties, provided that the Parties have no reason to doubt the right of the third party to make such disclosures; or
- (d) is independently developed by recipient Party without reference to Confidential Information; or
- (e) is required to be disclosed by law, court order, or regulation.

TWELFTH: While the use of all Biological Materials provided to THE ACADEMIC COLLABORATOR shall be restricted to non-commercial research, it is possible that inventions, developments or discoveries arising from research conducted under this Title to inventions, developments or Agreement may be of commercial interest. discoveries arising from research conducted under this Agreement shall be determined in accordance with United States Patent Law, Title 35 United States Code. All rights and the title to inventions which are conceived and reduced to practice solely by STRI shall belong to STRI, subject to the terms and conditions of this Agreement. All rights and the title to inventions which are conceived and reduced to practice solely by THE ACADEMIC COLLABORATOR shall belong to THE ACADEMIC COLLABORATOR. subject to the terms and conditions of this Agreement. All rights and the title to Joint Intellectual Property shall be jointly owned by STRI and THE ACADEMIC COLLABORATOR subject to the terms and conditions of this Agreement. Prior to the commercialization of any Joint Invention that may be derived from Biological Material or Derivatives associated with this Agreement, the Parties shall have a six-month period after written notice of same to enter into an Inter-Institutional Agreement to determine the disposition of such Joint Invention, including which Party shall assume responsibility for patents and patent application filing. In those cases the distribution of benefits between the parties shall be regulated according to what is established in clause TENTH of the ANAM - STRI Agreement, entitled "Proposal for the Distribution of Benefits or Net Income".

THIRTEENTH: Subject to the Confidentiality provisions set forth herein, the Parties grant one another express authorization to publish the results of any research carried out under the terms of this Agreement. Prior to submission of a manuscript for publication, the authoring Party shall provide the other Party with a copy of the manuscript relating to this Agreement. Upon written request, ACADEMIC COLLABORATOR will, at its option, either delete the enabling portion of the proposed publication or withhold publication for up to sixty (60) days to allow a patent application to be filed. If no written request is received within thirty (30) days of reception of the copy of the manuscript, the originating Party may proceed with publication. In any and all publications carried out in this manner, reference will be made to both Parties and to the project from which the information contained in the publication originated.

FOURTEENTH: The contact person from STRI for contractual matters is:

Dr. Eldredge Bermingham

Director of the Smithsonian Tropical Research Institute

Unit 0948

APO AA 34003-0948

Telephone: (507) 212-8110

FAX: (507) 212-8148

E-mail: Bermingham@si.edu

The contact person from STRI for technical matters is:

Dr. Luis Cubillas

Research Associate of the Smithsonian Tropical Research Institute

Unit 0948

APO AA 34003-0948

Telephone: (507) 212-8157

FAX: (507) 212-8148

E-mail: lucr@ancon.up.ac.pa

The contact person from THE ACADEMIC COLLABORATOR for technical matters is:

Dr. Lena Gerwick
Center for Marine Biotechnology and Biomedicine
Scripps Institution of Oceanography
University of California San Diego
9500 Gilman Drive, MC 0212
La Jolla, CA 92093-0212
Telephone: (858) 534-0566

Fax: (858) 534-0529

Email: lgerwick@ucsd.edu

The contact person from THE ACADEMIC COLLABORATOR for contractual matters is:

Elizabeth Meier Contract and Grant Officer Scripps Institution of Oceanography University of California San Diego 9500 Gilman Drive, MC 0210 Telephone: (858) 822-6930

Fax: (858) 534-9642 Email: emeier@ucsd.edu **FIFTEENTH:** This Agreement may not be changed, modified, released, discharged, abandoned or otherwise terminated in whole or in part, except by an instrument in writing signed by duly authorized representatives of STRI and THE ACADEMIC COLLABORATOR.

**SIXTEENTH:** This Agreement will enter into effect upon signing by the Parties and shall continue for one (1) year and will automatically renew for an additional year. Either of the Parties of this Agreement may terminate this Agreement upon thirty (30) calendar days written notice to the other. The confidentiality provisions of section ELEVENTH will survive termination of this Agreement.

SEVENTEENTH: THE ACADEMIC COLLABORATOR agrees to indemnify, defend and hold STRI, its officers, directors, employees and agents harmless against all claims, demands, suits, judgments, and expenses, including reasonable out-of-pocket attorneys' fees arising out of or based upon any claims, damages or suit brought against them, by ANAM or any other entity of the Panamanian government, arising out of the performance of this Agreement, but only in proportion to and to the extent such claims, demands, suits judgments, and expenses are caused by or result from the negligent or intentional acts or omissions of THE ACADEMIC COLLABORATOR, its officers, agents or employees. THE ACADEMIC COLLABORATOR shall give prompt written notice to STRI of any such claim or suit, including copies of any summons, complaint or other pleading. THE ACADEMIC COLLABORATOR shall have the option to undertake and conduct the defense of any suit so brought, and STRI agrees to cooperate with THE ACADEMIC COLLABORATOR. STRI may participate in any defense of a claim against it at its own expense.

To the extent authorized by law, STRI agrees to indemnify, defend and hold THE ACADEMIC COLLABORATOR, its officers, directors, employees and agents harmless against all claims, demands, suits, judgments, and expenses, including reasonable out-of-pocket attorneys' fees arising out of or based upon any claims, damages or suit brought against them, by ANAM or any other entity of the Panamanian government, arising out of the performance of this Agreement, but only in proportion to and to the extent such claims, demands, suits judgments, and expenses are caused by or result from the negligent or intentional acts or omissions of STRI, its officers, agents or notice to THE ACADEMIC employees. STRI shall give prompt written COLLABORATOR of any such claim or suit, including copies of any summons, complaint or other pleading. STRI shall have the option to undertake and conduct the defense of any suit so brought, and THE ACADEMIC COLLABORATOR agrees to cooperate with STRI. THE ACADEMIC COLLABORATOR may participate in any defense of a claim against it at its own expense.

**EIGHTEENTH:** The Parties will comply with the terms of this Agreement, the STRI – ANAM agreement and the Panamanian regulations mentioned herein pertaining to

access to bio-genetic resources of Panamanian origin (Executive Decree 25, of April 29, 2009, Ministry of Economy and Finances, Republic of Panama) when applicable, as well as with any other regulations that may apply to their activities.

**NINETEENTH:** STRI and THE ACADEMIC COLLABORATOR are free to enter into other agreements with other parties for similar or identical purposes to those stated herein.

In witness whereof the Parties sign this Collaborative Agreement in two (2) counterparts, each of which constitutes an original.

**AGREED** 

THE SMITHSONIAN TROPICAL RESEARCH INSTITUTE

THE ACADEMIC COLLABORATOR

\_\_\_(signed)

By: Dr. Eldredge Bermingham Date:

21 August 2009

# COOPERATION AGREEMENT BETWEEN THE NATIONAL ENVIRONMENTAL AUTHORITY (ANAM) AND THE SMITHSONIAN TROPICAL RESEARCH INSTITUTE (STRI)

The undersigned, to wit: LIGIA CASTRO de DOENS, female, a Panamanian citizen, of legal age, bearer of personal identification No. 6254(c) in her capacity as Minister of affairs related to the Conservation of the Environment, General Administrator and Legal Representative of the National Environmental Authority, duly authorized under Law 41 of July 1, 1998, hereafter referred to as THE ANAM, on the one hand, and on the other, ELDREDGE BERMINGHAM, male, a U.S. citizen, of legal age, bearer of passport No. 6254(c) acting in his capacity as Director and Legal Proxy for the Smithsonian Tropical Research Institute, an International Mission and Nonprofit organization duly inscribed in Fiche C-10861, Roll 2903 and Image 0014 of the Microfiche Section (Natural Persons) of the Public Registry, with offices located in the Republic of Panama and postal address "STRI, Unit 0948, APO AA 34002-0948", duly authorized for this act, hereafter referred to as STRI, or jointly as THE PARTIES, have agreed to celebrate the present Agreement under the following clauses:

#### **CONSIDERING:**

That the National Environmental Authority was created under Law 41 of July 1, 1998, as the governing State entity in matters related to natural resources and the environment in order to ensure compliance with and application of the national laws, regulations and policies related to the environment.

That Executive Decree 257 of October 17, 2006, grants the National Environmental Authority the power to govern, regulate and control the access to and use of genetic and biological resources that originate in or proceed from the territory of the Republic of Panama, in order to ensure the conservation of biological diversity and sustainable use of its components as a mechanism for maintaining and improving the quality of life of its inhabitants.

That among its objectives, Executive Decree 257 of 2006 seeks to ensure the creation and development of scientific, technical and technological capacities at the local and national levels with regard to the use of its genetic and biological resources; to encourage the participation of national universities and scientific research and development centers in basic and bioprospecting research together with international counterparts; to promote and facilitate the transfer of appropriate technologies for the providers of genetic and biological resources, the Panamanian scientific community and the State as a provider of genetic and biological resources.

That the National Environmental Authority and the Smithsonian Tropical Research Institute are institutions dedicated to the management of natural resources for various educational and informational purposes.

That STRI is a unit of the Smithsonian Institution of the United States, established in Panama for the purpose of increasing and spreading knowledge among humankind, that has carried out scientific and research activities in the national territory for more than eighty (80) years, especially in the fields of biological research and studies related to the flora and fauna, including the activities of collection, exportation and extraction of biological materials.

That THE ANAM and STRI agree to promote the relationship between scientists and scientific institutions in Panama and the United States, to improve education and infrastructure in Panama in the quest to add value to biological resources, to create opportunities for the conservation of Panamanian biodiversity and to promote sustainable development of Panama's natural resources.

That THE ANAM and STRI have carried out research and biological studies under Research Agreements consistent with the United Nations Convention on Biological Diversity of June 5, 1992, adopted on June 9, 1993 and ratified by Panama under Law 2 of January 12, 1995.

That the International Cooperative Biodiversity Group, with headquarters in the Republic of Panama, hereafter referred to as ICBG, is comprised of the University of Panama, the Institute of Advanced Scientific Investigations and High Technology Services (INDICASAT-AIP, for its initials in Spanish), and the Smithsonian Tropical Research Institute (STRI). These institutions in turn have Academic Collaborators, Nonprofit Collaborators and Industrial Collaborators at both the national and international level. The research carried out by ICBG members under this Agreement contributes to the development of Panama's scientific infrastructure and the training of the Panamanian human resource, to the conservation of the biological resource and to the quest to find applications to the biological resource, thereby contributing to the expansion and deepening of the current knowledge of the Panamanian biological resource.

#### **AGREE**:

FIRST: To enter into the present Agreement between THE ANAM and STRI, under which all research activities carried out by the ICBG Group in the Republic of Panama shall be governed.

SECOND: To apply to this Agreement the terms and definitions established in the regulations in force. Additionally and for purposes of this agreement, the following shall be defined as:

Important Events: Important stages in the development of a commercial product, such as official registration with the governments of the United States and the Republic of Panama of an agricultural product, or notification of research on a product with pharmaceutical potential, for which the Industrial Collaborator shall pay STRI in accordance with the agreements established between STRI and each collaborator and the legislation in force.

Academic Collaborator: Any institution or organization dedicated to teaching which has among its objectives the development of nonprofit scientific research.

Industrial Collaborator: Any for-profit organization which works in collaboration with STRI as part of the ICBG.

Panamanian Collaborator: Any institution or organization located in the Republic of Panama which is working in collaboration with STRI towards the achievement of the objectives of the ICBG, even though it is not directly a part of the ICBG.

Nonprofit Collaborator: Refers to any scientific, academic or research institution or nonprofit organization which is working in collaboration with STRI towards the achievement of the objectives of the ICBG, even though it is not directly a part of the ICBG.

Derivative: Any chemical compound which has been obtained from the Biological Material, an analog of this compound, a synthetic counterpart of this compound, a variation which is structurally based on this compound or which is otherwise produced using a substantial part of the information contained in, or suggested by, the genetic or biological resource and/or genetic material capable of expressing these compounds.

Export or Exportation: The intentional act of moving any material from one country to another. For purposes of this Agreement, it is the transfer from the Republic of Panama to another country of material which, in accordance with ANAM regulations, requires a specific permit.

Gross Income: Is STRI's total income resulting from royalties, payments for Important Events and license rights received from Collaborators or obtained as a result of the use of Biological Materials, Derivatives or Intellectual Property included under this Agreement.

Net Income: Gross income minus costs and expenses incurred to secure these incomes, such as, for example, those related to the registration and maintenance of patents. Other funds, including grants, subsidies and donations for STRI research, such as those received from the National Institute of Health (NIH) for the ICBG program, or for Nonprofit Collaborators, shall not be included in Net Income calculations and shall remain at all times under the control of the party that receives the subsidy, donation, grant or other funds, and shall be used solely for the purposes specified by the source of the funds or as specified in this Agreement.

Biological Material: Any biological substance, in its entirety or in part, which is collected within the Republic of Panama under this Agreement. Any biological substance, genetic resource, organism or a part of them, or any other type of biotic component of the ecosystem with real or potential value for humanity; including any material derived from plants, animals, fungi or microorganisms which contains specific characteristics, special molecules, extracts, derivatives and preparations of these derivatives or evidence for the design of these preparations, collected in the Republic of Panama. Examples include, but are not limited to, plants, insects, microbes and unclassified organisms such as microbial life present in samples or parasites transferred in an adventitious manner, including their Genetic Material and extracts, derivatives and preparations of these.

Payment for Important Events: The payment of fees by an Industrial Collaborator to STRI at each important stage in the development of a commercial product, such as the official registration with the governments of the United States and the Republic of Panama of an agricultural product, or notification for research on a product with pharmaceutical potential, in accordance with the Panamanian legislation in force.

Transfer: For purposes of the present Agreement, the act of moving Biological Material between STRI and Panamanian Collaborators which are not part of the ICBG. The mobilization of materials to foreign collaborators is defined under the title Export or Exportation.

THIRD: The Parties acknowledge the importance of the biological resources and biological diversity present in the Republic of Panama and shall carry out the biological research and related studies described in this Agreement in a manner that improves the knowledge, appreciation, conservation and sustainable use of these resources.

FOURTH: The Parties shall act in a manner consistent with the objectives of the United Nations Convention on Biological Diversity of June 5, 1992, adopted on December 29, 1993 and ratified by Panama under Law 2 of January 12, 1995 and in accordance with the regulations in force in the Republic of Panama governing access to the biological or genetic resource.

FIFTH: Prior to performing the collection, STRI must submit to THE ANAM a list of the species of interest to be collected, if their identity is known; if their identity is unknown, STRI must submit a written report on the level of progress made in classifying the species collected, after six (6) months from their collection. Additionally, STRI must indicate to THE ANAM the estimated quantities needed to carry out the study. STRI must maintain a record of the Biological Material collected.

(a) Collection of Biological Material.

The cost of the procedures for obtaining permits to collect samples shall be subject to the rates established by THE ANAM through Administrative Resolution.

(b) Minimization of environmental impact when collecting biological material.

STRI shall carry out all of its activities under this Agreement in a manner which minimizes adverse impacts on the environment. In the event that the study objects are Endangered or Endemic Species known to be so prior to collection, controlled collections shall be performed, after notification to and approval by THE ANAM of the quantities to be collected and, if possible, the status of the population of the species. Known endangered species include those listed in Appendices I, II and III of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), or otherwise recognized as such by THE ANAM or a competent scientific authority, in accordance with the national legislation in force. All relevant CITES provisions in force

- since July 1, 1975, ratified by the United States of America on January 14, 1974 and by the Republic of Panama on August 17, 1978, under Law 24 of June 7, 1995, shall apply to this Agreement.
- (c) STRI may perform collections in the protected areas of the National System of Protected Areas (SINAP, for its initials in Spanish) and make use of biological material for the purposes of this Agreement, after obtaining the corresponding access permit. STRI may carry out collections in other areas, subject to prior agreement with THE ANAM, the ARAP or Entities Under Special Government Regimen.
- (d) The collection permits will be handled in accordance with the provisions established in the regulations in force and will have a duration determined by the UNARGEN.

STRI will not collect biological material for use in bio-prospecting in Entities Under Special Government Regimen.

STRI will not include traditional knowledge associated with the genetic resource in the development of bio-prospecting projects.

#### SIXTH: Uses of Collected Material

In addition to what is established under national legislation, the following must be taken into account regarding the use of the biological material collected:

- (a) The sale of any Biological Material is prohibited.
- (b) STRI and the ICBG Collaborators may use the Biological Material collected for research and related scientific purposes, including but not limited to: chemical and biological assays; the purification and analysis of individual chemical compounds in order to establish the viability or non-viability of an application of the biological resource.
- (c) STRI may export or transfer the Biological Material collected in accordance with what has been stipulated in the Seventh Clause of the present Agreement.

#### SEVENTH: Agreements with Nonprofit, Academic and Industrial Collaborators

- (a) Nonprofit Collaborators and Academic Collaborators. The Parties acknowledge that STRI shall collaborate with Nonprofit Collaborators and Academic Collaborators, including members of the ICBG, in the execution of scientific and research projects under this Agreement. The collaboration between STRI and each Nonprofit Collaborator or Academic Collaborator shall be formalized under their own Agreement. A copy of this Agreement shall be delivered to THE ANAM for informational purposes.
- (b) Industrial Collaborators. The Parties acknowledge that STRI may provide Biological Materials to Industrial Collaborators as part of the ICBG program, in accordance with the

stipulations of the present Agreement and with the laws of the Republic of Panama. The collaboration between STRI and each Industrial Collaborator shall be formalized under their own Industrial Collaborator's Agreement, a copy of which shall be delivered to THE ANAM for informational purposes. STRI shall inform THE ANAM of all transfers to Industrial Collaborators through biannual reports.

All of these Agreements shall specify the general responsibilities of the signers, in accordance with the regulations for access to national biological and genetic resources, such as those involving the formulation of benefits contracts.

#### EIGHTH: Transfer and Exportation

Transfer of Biological Material: STRI shall prepare, in accordance with what is established in the regulations in force and the present Agreement, a Material Transfer Agreement (MTA) for the transfer of Biological Material to Academic Collaborators and Nonprofit Collaborators that are not part of the ICBG group and have their headquarters in Panama. STRI must inform THE ANAM of all MTAs executed and deliver copies of these.

Exportation of Biological Material: STRI shall prepare and present to THE ANAM a request for authorization to export biological material to Academic Collaborators, Nonprofit Collaborators and Industrial Collaborators located outside the territory of the Republic of Panama.

- (a) STRI may export biological material to Academic Collaborators, Nonprofit Collaborators and Industrial Collaborators who have Agreements previously signed with STRI, under the terms and conditions established in said Agreements, which must have been communicated to THE ANAM in the manner set forth in the SEVENTH clause of the present Agreement. It shall be stated in writing, in all of these exports of biological material, that its country of origin is the Republic of Panama.
- (b) Special cases of Transfer or Exportation of Biological Material: In the event of the transfer or exportation of substances to Academic Collaborators or Nonprofit Collaborators with whom STRI has not signed an Agreement, for the sole purpose of verifying the result of a bioassay or testing a bioassay of relevant importance or the obtainment of a physical or chemical property of this substance, STRI shall not be required to have an Agreement with said Academic Collaborator or Nonprofit Collaborator, however STRI must inform THE ANAM of this activity and request the respective exportation permit.

Once the requests for Exportation of Biological Material have been approved by THE ANAM, these will be valid for a period of three months. STRI shall inform THE ANAM of the date on which the permit is to be made effective, prior to exportation of the sample. STRI shall pay the fees established by THE ANAM for the Exportation of Biological Material.

#### NINTH: Custody of Biological Material

- (a) STRI may retain custody and possession of the collected material for the duration of the present Agreement.
- (b) If STRI is interested in retaining possession of taxonomic samples of the biological material following expiration of the present Agreement, it must inventory said Biological Material and Derivatives, present a report to THE ANAM on the condition, location and state of the authorized biological samples and request it to THE ANAM in the form of a custodianship.
- (c) At the time this Agreement expires, STRI shall inform THE ANAM of the state of all Biological Material in its possession and in the possession of any Industrial Collaborator or Nonprofit Collaborator.
- (d) At the time this Agreement expires, STRI must return to THE ANAM or destroy all biological materials which are not going to be used as taxonomic samples, or used as stipulated in (e), Ninth Clause, complying with instructions and under the supervision of THE ANAM.
- (e) At the time this Agreement expires, any Academic Collaborator or Industrial Collaborator or Nonprofit Collaborator may use the Biological Material in the possession of STRI for the execution of future research, subject to the previous approval of THE ANAM and the creation of an Agreement between THE ANAM and the respective Collaborator.

#### TENTH: Proposal for the Distribution of Benefits or Net Income

With the goal of promoting collaboration between Panamanian research institutions and international institutions and, at the same time, promoting the conservation of the biological resource, the following distribution of net incomes obtained shall be applied:

- (a) If the institution which makes the discovery resulting in net incomes is located in Panama, is a part of the ICBG in Panama, an Academic Collaborator or a Nonprofit Collaborator, this institution shall receive fifty percent (50%). Institutions which are part of ICBG but did not make the discovery shall receive, together with THE ANAM, the remaining fifty percent (50%), to be divided in the following manner:
  - ANAM (Wildlife Fund), twenty-five percent (25%).
  - University of Panama, twenty-five percent (25%).
  - INDICASAT-AIP, twenty-five percent (25%)-
  - STRI, twenty-five percent (25%).

- (b) If the institution which makes the discovery resulting in net incomes is located in the United States, it shall receive fifty percent (50%), to be divided in the following manner:
  - Institution which made the discovery, thirty percent (30%).
  - Remaining U.S. Institutions which are Academic Collaborators or Nonprofit Collaborators of the ICBG, twenty percent (20%), to be divided among them.
  - Institutions which are part of the ICBG in Panama together with THE ANAM, shall divide the remaining fifty percent (50%) in equal parts.
- (c) This proposal for the distribution of benefits is valid and shall accompany all requests for access within the framework of the ICBG Project.

#### ELEVENTH: Terms of Payment – Audit.

Within a period of one (1) month following finalization of each calendar year in which Net Incomes are received by STRI, STRI must provide THE ANAM and its Nonprofit Collaborators with a Statement of Account for all Net Incomes, together with the payment of all sums owed. All payments shall be in U.S. dollars. This Statement of Account must be reviewed by an independent auditor.

#### TWELFTH: Confidentiality

Data and information presented by STRI in relationship to the execution of the present Agreement, which have not been divulged and which could be subject to disloyal commercial use by third parties, shall be treated as confidential, except when their public dissemination is necessary to protect social or environmental interests. STRI must present justification of its petition, accompanied by a non-confidential summary which shall form part of the public file. Confidential aspects shall appear in a reserved file in the custody of THE ANAM, and may not be revealed to third parties except in the case of a judicial order to the contrary. If the petition does not comply with the requirements established in this clause, ANAM shall have the full right to deny it. Information considered confidential may be revealed to a competent authority at such time as it is required by the authority, maintaining its confidential nature.

#### THIRTEENTH: Publications.

Subject to the confidentiality provisions established in the present Agreement, The Parties agree to work together in order to make the results of the research carried out under this Agreement available for publication. STRI must submit three (3) copies of each publication based on studies done with materials inherent to this Agreement and must present the summary of these in Spanish; when possible, STRI must also submit a digital copy of the publications.

FOURTEENTH: Patents and Intellectual Property.

The Parties acknowledge that Intellectual Property may be developed in relationship to or as a result of this Agreement, and that STRI and/or its collaborators may procure patent protection to the extent they consider appropriate, in accordance with the Agreements celebrated between them.

Intellectual Property under this Agreement includes, but is not limited to: New chemical entities such as molecules, genes, genetic sequences and parental lines; discovered biochemical processes that possess medicinal, diagnostic or agricultural properties or other uses. In the case of Hybrid or transgenic Organisms, the national legislation in force shall apply.

FIFTEENTH: The authorized representatives are:

- -For THE ANAM, the Director of the National Direction of Protected Areas and Wildlife.
- For STRI, the Director of the Smithsonian Tropical Research Institute or the person designated by him.

SIXTEENTH: Presentation of reports.

Reports must contemplate the following aspects:

- (a) STRI shall provide an ICBG Research Plan to THE ANAM prior to the commencement of any research activities under this Agreement.
- (b) STRI shall deliver a biannual progress report detailing the activities developed and results obtained through the project during this period.
- (c) STRI shall inform THE ANAM of economic contributions received from Industrial Collaborators, when these occur.
- (d) STRI must present and maintain an up-to-date report on the Agreements celebrated between STRI and its collaborators regarding patents and intellectual property. STRI must forward a copy of all patents and intellectual property registered at the international and national level which are the result of the present Agreement.

SEVENTEENTH: Assignment of responsibility.

STRI shall answer to THE ANAM for its own acts or for the negligence or omissions committed by its authorized employees, dignitaries, agents, Nonprofit Collaborators or Industrial Collaborators when taking part in any activity related to the present Agreement.

EIGHTEENTH: Relationship of the parties.

By accepting and fulfilling the obligations derived under this Agreement, THE ANAM and STRI must at all times act as independent parties and neither THE ANAM nor STRI shall be considered or represent themselves as a consortium, agent or employee of the other.

NINETEENTH: Duration and termination.

The present Agreement shall have a duration of five (5) years, which may be modified or extended by mutual agreement, through an Addendum which shall be signed by THE PARTIES and countersigned by the General Comptroller of the Republic. The present Agreement may be rescinded by either of THE PARTIES, provided that their intent is manifested in writing with 90 days anticipation.

TWENTIETH: Posterior uses of biological material.

Following termination of the Agreement, the Panamanian State reserves the right to adopt the necessary measures to achieve the resulting benefits through continuation of any research that is carried out or is planned to be carried out using biological material derived from this Agreement.

TWENTY-FIRST: Complete agreement.

The present Agreement, and its appendix, constitute the complete Agreement between the parties with respect to the subject of the same, and replaces any other written or oral understanding between the parties with regard to the ICBG program.

TWENTY-SECOND: Resolution of disputes.

Mediation. In the case of a dispute or conflict arising under this Agreement, the parties shall negotiate or resort to good faith mediation in accordance with the procedures established in the Republic of Panama.

TWENTY-THIRD: Transitory provisions.

Agreements celebrated with collaborators of the ICBG program under the terms and conditions of the Agreement dated April 8, 1999, must be adjusted to the provisions of the present Agreement, for which purpose a period of five (5) months shall be provided from the date of celebration of this Agreement.

Any agreement celebrated with a collaborator under the terms and conditions of the Agreement dated April 8, 1999, shall become null and void in the event that the collaborator refuses or is incapable of accepting the new terms and conditions contained in the present Agreement.

In witness whereof, the present Agreement is signed in two (2) original copies of equal conten		
and value in Panama City on the	value in Panama City on the ( ) day of the month of	
of the year two	thousand nine (2009).	
For THE ANAM,	For STRI,	
LIGIA CASTRO de DOENS	ELDREDGE BERMINGHAM	

#### APPENDIX A

#### SCIENTIFIC RESEARCH PERMITS

(Based on Article 39 of Law 24 of June 7, 1995, and Resolution AG-0138-2004).

To obtain permits for collection, observation, marking and other activities with scientific objectives, the following steps must be completed:

- 1.1 Send request to the Director of Protected Areas and Wildlife of THE ANAM. This request must be submitted at least fifteen working days prior to commencement of the study, depending on the type of research. The request must be accompanied by a description of the project, preliminary plan or profile of the research planned, containing the following information:
  - a. Justification of the research.
  - b. Objectives of the research.
  - c. Methodology to be used.
  - d. Manipulation of the ecosystem (brief explanation of what might be altered or modified)
  - e. Duration of the study.
  - f. Location of the study and chronogram of activities.
  - g. Collection or capture.
    - 1) Common name, scientific name, age, quantity and gender.
    - 2) Method of collection.
    - 3) Specific sites where the collection will be carried out.
  - h. If the captured specimens are going to be marked, indicate:
    - 1) Reasons for marking the specimens.
    - 2) Type of mark, form of marking and origin.
    - 3) Part of specimen where the marks will be placed.
  - i. Person(s) who will be participating in the study:
    - 1) Permanent and/or temporary participants, indicating the person in charge of the research.
    - 2) Attach CV with 2 photographs of each individual who will be participating in the study.
    - 3) Include copies of publications.

## REPUBLIC OF PANAMA MINISTERY OF ECONOMY AND FINANCE

Executive Decree No. 25 Of April 29, 2009.

"Which regulates Article 71 of Law 41 of July 1, 1998, the General Environmental Law of Panama".

#### THE PRESIDENT OF THE REPUBLIC,

exercising his constitutional and legal authority,

#### CONSIDERING:

That Article 120 of the Political Constitution of the Republic of Panama establishes that "the State shall regulate, supervise and opportunely apply the necessary measures to guarantee that the utilization of terrestrial, fluvial and marine fauna as well as forests, lands and waters, be carried out rationally, in order to prevent their devastation and guarantee their preservation, renovation and permanence".

That Article 71 of Law 41 of 1998 establishes that "the National Environmental Authority shall be the competent entity on the basis of the provisions set forth in the present Law and its regulations, for purposes of regulating, supervising and controlling access to and use of biogenetic resources in general, with the exception of the human species, while respecting intellectual property rights. In order to fulfill this function, it shall develop and introduce legal instruments and/or economic mechanisms. The right to utilize natural resources does not entitle the holders to utilize the genetic resources contained within them."

That under Law 2 of January 12, 1995, the Republic of Panama ratified the Convention on Biological Diversity, which establishes as its fundamental objective to ensure the fair and equitable participation in the benefits that are derived from the utilization of the genetic resources; and recognizes the intrinsic value of biological diversity and of its ecological, genetic, social, economic, scientific, educational, cultural, recreational and aesthetic values.

That Article 1 of Law 24 of June 7, 1995, states that "wildlife is part of the natural patrimony of Panama, and is declared to be in the public domain for purposes of its protection, conservation, restoration, research, management and development of genetic resources, as well as species, breeds and varieties of wildlife, for the benefit and safeguarding of natural ecosystems, including those species and varieties introduced into the country and which, in the process of adaptation, have undergone genetic changes in the various ecosystems".

That Article 58 of Executive Decree 43 of July 7, 2004, establishes that "everything related to bioprospecting or biological prospecting shall be subject to special regulation, without prejudice to the provisions of the legislation in force".

That the 2005-2009 Conservation Strategy for Sustainable Development of the National Environmental Authority, establishes the strategic objectives and guidelines for the conservation and sustainable utilization of the country's biological diversity, and urges that support be given to scientific programs associated with the country's genetic resources, through the creation of norms and new tariffs on bioprospecting activities and the creation of research directives for genetic resources in alliance with the National Secretariat of Science, Technology and Innovation.

That the present reform arises from a broad process of consultation with the scientific community, governmental organizations, non-governmental organizations and the public in general.

#### **DECREES**:

Article One: To approve the regulations of Article 71 of Law 41 of July 1, 1998, as follows:

# TITLE 1 GENERAL PROVISIONS CHAPTER 1 OBJECTIVES AND SCOPE

#### Article 1. The objectives of the present Decree are:

- a) To regulate access to the genetic and/or biological resources whose origin or provenance is the territory of the Republic of Panama, in order to ensure the conservation of biological diversity and the sustainable use of its components as a mechanism for maintaining and improving the quality of life of the country's inhabitants.
- b) To regulate access to the knowledge, innovations and traditional practices associated with the use of the genetic and/or biological resource.
- c) To establish an appropriate system for accessing genetic and/or biological resources based on free, prior and informed consent, and in mutually agreed upon terms, which will ensure the fair and equitable distribution of benefits which result from access to the genetic and/or biological resources, both for the Panamanian State and for the parties providing the resources.
- d) To establish the procedures for facilitating contracts and letters of commitment for the use, collection, manipulation, transfer and information of genetic and/or biological resources associated with wildlife, whether of a commercial or non-commercial nature.
- e) To ensure the creation and development of scientific, technical and technological capacities at the local and international level, related to the use of its genetic and/or biological resources.
- f) To encourage the participation of national universities and research and development centers in basic and bioprospecting research, together with international counterparts.
- g) To promote and facilitate the transfer of appropriate technologies for the providers of genetic and/or biological resources, the Panamanian scientific community and the State as a provider of genetic and/or biological resources.
- h) To strengthen the national capacity for negotiating agreements or contracts related to the topic of access to genetic and/or biological resources and the distribution of their benefits.
- i) To provide a mechanism of access to genetic and/or biological resources, through the sustainable use of these resources throughout the country, with special emphasis on protected areas, biological corridors and buffer zones.
- j) To approve investment in research projects related to biodiversity and wildlife elements in protected areas and biological corridors

Article 2. The present Executive Decree shall regulate access to those genetic and biological resources for which Panama is the country of origin or provenance, regardless of whether they are wild or domesticated or found in *ex situ* or *in situ* conditions, and derivatives of these resources, and the genetic resources of

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migratory species which through natural causes are found within the territory of Panama; as well as regulating the procedures for access to the knowledge, innovations and traditional practices associated with the use of genetic and/or biological resources, and to the benefits derived from commercial or other types of utilization of these resources, with the exception of human genetic resources.

### CHAPTER II BASIC DEFINITIONS

Article 3. The terms defined in Article 2 of the Convention on Biological Diversity, ratified by the Republic of Panama under Law 2 of January 12, 1995, shall apply to this Decree. The following definitions shall also apply, for purposes of this Decree::

Access to Genetic and/or Biological Resources: Process which includes the obtainment and utilization of genetic and/or biological resources and/or their derivatives, associated with native wildlife, in *ex situ* or *in situ* conditions, through the free, prior and informed consent of the State or possessor of the resource, for purposes of basic scientific, industrial or commercial research.

Material Transfer Agreement: Contract which establishes the terms and conditions for the transfer of a genetic and/or biological resource or material, when one of the parties intends to use the resource or material for research purposes; in which the rights and duties of both of the provider and user are defined with respect to the use of the resource, materials or any of their derivatives, as determined by the legislation in force.

Competent National Authority: Public entity designated by the national laws for contracting, negotiating or authorizing access to genetic and/or biological resources associated with wildlife.

Biodiversity or Biological Diversity: The array of living organisms from any source, including terrestrial, marine and other aquatic ecosystems, and the ecological complexes of which they form a part. This includes the diversity within each species, among species and within ecosystems.

Bioprospecting or Biological Prospecting: Any activity directed toward exploring, collecting, systematically searching, classifying, researching and developing new sources of chemical compounds, genes, proteins, microorganisms and other products with current or potential economic value which are found within the biodiversity; directed particularly, but not exclusively, toward the development of biotechnological products and their commercialization or industrial application.

Modern Biotechnology: The application of *in vitro* nucleic acid techniques, including recombinant deoxyribonucleic acid (DNA) and the direct injection of nucleic acid into cells or organelles, or the fusion of cells beyond the taxonomic family, which surpass the natural physiological barriers to reproduction or recombination and which are not techniques used in traditional reproduction or selection.

Letter of Commitment: Agreement in which the petitioner promises to order to fulfill the commitments of the Access Contract, to present progress reports on contract fulfillment, and to safeguard the intellectual property rights of the communities that contribute knowledge on the genetic resources.

Ex Situ Conservation Center: Entity recognized by the National Environmental Authority for the collection and conservation of the components of biological diversity, including the genetic and/or biological resources contained within these components, outside of their natural habitat.

Certificate of Origin or Provenance: Legal recognition by the National Environmental Authority of the origin or provenance of the genetic and/or biological resource, the genetic heritage of which comprises the genetic material from which procedures or other products are derived.

Ex Situ Condition: Conditions in which the components of biological diversity (genetic resources) are conserved outside of their natural habitat.

Traditional Knowledge: Group of knowledge, customs, traditions, world view, spiritual and religious beliefs, folklore manifestations, artistic manifestations and any other form of traditional expression of indigenous and local communities which form a part of their cultural, spiritual or historical heritage and are in permanent evolution.

Free Prior Informed Consent: Authorization granted by the provider of a genetic or biological resource or associated traditional knowledge to the petitioner, for the performance of a specific activity which implies access to and utilization of this resource and/or knowledge.

Access Contract: Agreement of wills between the State and a petitioner, authorizing the performance of basic research (commercial and non-commercial) and/or bioprospecting research (commercial) and establishes the terms and conditions for obtaining the genetic and/or biological resources and associated traditional knowledge, as a result of the issuance of the access permit or authorization.

Benefits Contract: Agreement between the petitioner and the possessor of the genetic resource or material or traditional knowledge, defining the monetary and non-monetary benefits that result from the use and utilization of the genetic and/or biological resource or from its derived products and processes, and from the commercialization of the results of the basic research (commercial and non-commercial) and bioprospecting research, as a consequence of the issuance of the permit or authorization for access.

Distribution of Benefits: Measures to promote and guarantee the just and equitable participation in the monetary and non-monetary benefits which result from access to the genetic and/or biological resources, including access to and transfer of technology and biotechnology and participation in research and development activities related to the genetic and/or biological resources associated with wildlife.

Genetic Diversity: Variability of genes and genotypes between species and within them, or part of all of the genetic information contained in the biological resources.

Entity under Special Regimen: Institutions or special regimens of territorial administration conferred by the Constitution and the laws of the Republic, such as Indigenous Reservations, Municipalities and the Panama Canal Authority, among others.

Species: Group of living beings that have certain characteristics in common which distinguish them from other similar groups, and which reproduce among themselves, whether in fact or potentially.

Endangered Species: A species whose population has been declared endangered because it has been reduced numerically to a critical level due to considerable modification of its habitat, in accordance with the resolutions of the international agreements ratified by Panama and the national legislation in force.

Commercial and Industrial Purposes: Activity that is carried out for the purpose of obtaining an economic benefit, including a profit, whether in cash or in goods, or with the intention of selling it, exchanging it or providing a service or other form or utilization or economic benefit.

Non-Commercial Purposes: Activity that does not have the goal of making a profit and is carried out between two entities that participate in a cooperative conservation program in which the participation and support of one or more entities is foreseen.

Innovation: Materialization of the advances that are derived from the accumulated knowledge and which is made concrete through the creation, introduction, sale and dissemination of new and improved practices, products and procedures.

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Invention: Any idea that is applicable in practice for the solution of a specific technical problem; it may be a product or procedure, the special use of a product or the non-evident use of the product. The invention of a product includes, among other things, any substance, compound or material, and any item, apparatus, machine, equipment, mechanism, device or other tangible object or result, as well as any of its parts. The invention of a procedure includes, among other things, any method, system or sequence of stages leading to the manufacture or obtainment of a product or a result, as well as the use or application of a procedure or product for the obtainment of a specific result.

Applied Research: Practical application of knowledge for the benefit of society.

Basic Research: Also known as fundamental research or pure research, carried out mainly for the purpose of learning about the foundations of phenomena without attending to particular applications. It contributes to the generation and broadening of knowledge, the formulation of new hypotheses or theories, or the modification of those already in existence.

Scientific Research: Procedure used to search for knowledge or solutions to problems of a scientific nature, and characterized as reflexive, systematic, methodic and objective. Scientific research is developed through scientific method, which is the collection of data and information through observation and experimentation, and the formulation and validation of hypotheses. Scientific research provides information, knowledge and theories to explain nature and properties of the world around us, making practical applications when possible.

Usage Licenses: Authorization to exploit an intellectual property right, as well as the technical and commercial knowledge, methods, procedures and standardized techniques for the manufacture, distribution or commercialization of a product, the provision of a service or the application of a procedure to another person, for a determinate or indeterminate period of time.

Obligatory License: Authorization issued for reasons of public health or national emergency, which permits the production or importation of products and/or technologies without the consent of the possessor of the intellectual property right, guaranteeing adequate compensation to the possessor.

Patents: Exclusive rights granted by the State through an administrative act, to exclude third parties from the exploitation of an invention.

Intellectual Property: Group of rights and powers granted by the Law to the creator, inventor, innovator or author of a scientific, artistic or literary work or of an invention, in order to benefit from the work or invention in an exclusive manner during a determinate period of time.

Derived Product: Any chemical compound which has been obtained from the material, an analog of this compound, a synthetic counterpart of this compound, a variation which is structurally based on the compound or which in some other manner is produced using a substantial part of the information contained in, or suggested by, the genetic or biological resource and/or genetic material capable of expressing these compounds.

Provider of Traditional Knowledge: Community or group which possesses the knowledge, innovations or traditional practices associated with the genetic resource, and is able to grant free prior informed consent in accordance with the legislation in force and with common law, and to negotiate the terms for providing the associated traditional knowledge.

Provider of the Genetic or Biological Resource: An individual, legal entity or local community which holds title to the property in which the genetic or biological resources are contained, in accordance with the national legal ordering, in order to participate in the decision-making process directed toward granting free prior informed consent, and to negotiate the mutually agreed upon terms to provide the genetic or biological resource associated with wildlife.

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Royalties: Payment of any kind agreed upon between the parties for the use of intellectual property or other rights, commercial or scientific procedures, as well as the amounts paid for the transfer of technology or information related to industrial, commercial or scientific experiences.

Sub-product or Derivative: The result of the intermediate stages of processing of one or more specimens, before obtaining the final product.

Wildlife: Group of species and specimens of flora, fauna or microorganisms that live or are found in the natural environment, even when bred in captivity or artificially reproduced, as well as their products, subproducts, parts and derivatives.

#### **CHAPTER III**

#### COMPETENT NATIONAL AUTHORITY

Article 4. The National Environmental Authority (ANAM, for its initials in Spanish) is the Competent National Authority (CNA) charged with exercising power, authority and specialized functions related to partial aspects or components of the environment or to the sustainable management of natural resources. Likewise, it has the power to regulate, supervise and control access to and use of genetic and/or biological resources in general, with the exception of the human species, and respecting intellectual property rights.

Article 5: The Unit for Access to Genetic Resources (UNARGEN, for its initials in Spanish) is created and assigned to the National Protected Areas and Wildlife Bureau of the National Environmental Authority.

Article 6: The UNARGEN will be responsible for:

- a) Receiving, processing and approving or rejecting all petitions of access to genetic and/or biological resources; collections for commercial, industrial and non-commercial purposes; all types of research that fall within the oversight of CITES and for any other purpose which implies the use of elements of wildlife and biodiversity.
- b) Issuing the certificate of origin or provenance of the genetic and/or biological resource, which will be annexed to all documentation related to the access authorization and to posterior petitions for new collections, transfers or contracts.
- c) Maintaining a record of Material Transfer Agreements and Free Prior Informed Consent.
- d) Supervising the fulfillment of the agreed upon commitments.
- e) Annual publication of the executive summaries of the authorized research, respecting information of a confidential nature accorded between the parties, or which can be considered patentable material or which for reasons of national security may not be divulged.
- f) Preparing and publishing the bi-annual bulletin of access petitions which have been approved, denied or are in process, and of the importation/exportation requests for scientific, academic or commercial purposes which are received during the semester period, or other information considered to be of interest.
- g) Supervising exportations of wild flora and fauna species in order to safeguard the subsequent rights and claims of the State in commercial permits;
- h) Monitoring, in coordination with the Competent Authority, fulfilment of the permits, contracts or agreements of access to marine and coastal genetic and/or biological resources.
- i) Supervising the faithful fulfilment of the Access Contracts and Benefits Contracts between the petitioning parties and providers.

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j) Negotiating the Access Contracts with the petitioners.

Article 7. A single processing window is created within the Unit for Access to the Genetic Resource (UNARGEN) for handling petitions of access to genetic and/or biological resources, which will have the following functions:

- a) Receive, process and provide follow-up to the petitions addressed to the UNARGEN.
- b) Deliver the permits, authorizations, resolutions and certifications.
- c) Carry out other activities and functions which are assigned to it.

Article 8. The UNARGEN will have a period of forty-five (45) working days from the date of application to respond to petitions for access permits. This period includes all processing of the petition up to the point of approval or denial of the permit.

Article 9. The UNARGEN will support the local and indigenous communities in negotiations for the transfer of associated traditional knowledge, free prior informed consent and benefits contracts between the communities and petitioning parties.

Article 10. The UNARGEN will evaluate petitions of access in accordance with the principles of precaution, sustainable use and fair and equitable distribution of the benefits derived from the utilization of the genetic patrimony.

#### **CHAPTER IV**

#### THE TECHNICAL ADVISORY GROUP

- Article 11. The Technical Advisory Group is created, to be comprised of national and/or international experts with a well-known scientific and technical record, in order to provide support, if necessary, in the process of evaluating petitions of access to the genetic resource using scientific criteria.
- Article 12. The opinion of the Technical Advisory Group must be submitted within twenty-five (25) working days following the date of consultation.
- Article 13. The UNARGEN shall periodically publish in its biannual bulletin an updated list of the members of the Technical Advisory Group who will be providing support in the evaluation of petitions of access. All aspects related to their functions will be established by means of legal regulation.

#### TITLE II

#### DOMAIN AND USE OF THE GENETIC AND BIOLOGICAL RESOURCES

#### CHAPTER 1

#### THE PETITION OF ACCESS

- Article 14.: The petitions of access to genetic and/or biological resources, signed in the form of a sworn statement, shall be presented at the single processing window of the UNARGEN in accordance with the terms and procedures established in this Decree, and shall be numbered, dated and registered.
- Article 15. Petitions of access for basic research, for purposes that are neither commercial nor industrial, carried out by students or research professors from national universities and research centers, shall be evaluated and processed by the UNARGEN.

Article 16. The authorization of access to genetic and/or biological resources is non-transferable and limited to the territory or area authorized for collection and to the genetic resources covered under the terms of the Access Contract. Any other use not provided for or identified in the original petition requires the submission of a new petition and the corresponding evaluation.

Article 17. Fees shall be set by administrative resolution of the ANAM for processing petitions of access to genetic and/or biological resources, collections, re-collections and transfers, in order to cover costs and promote orderly access to the resources, taking into consideration access for commercial, industrial and non-commercial purposes, and on the basis of the quantity, endemism or rarity of the species to be affected by the research.

#### CHAPTER II

#### THE ACCESS CONTRACT

Article 18. The parties to the Access Contract shall be:

- a) The Panamanian State, represented by the ANAM;
- b) The petitioner of access;

Article 19. All Access Contracts shall include the following obligations to the State:

- a) To acknowledge the inalienable right of the State to claim present or potential benefits derived from the access.
- b) To acknowledge the right of the State to co-participate in future benefits from commercial or industrial applications or economic utilization in subsequent stages of the basic research.
- c) To exempt the State from any responsibility for damages or prejudice caused to third parties through the authorized access;
- d) To present research progress reports.
- d) To declare the origin and provenance of the genetic resource in all publications or summaries which incorporate the genetic and/or biological resource collected.
- f) To present the ANAM with two (2) copies of the publications produced as a result of the studies, in the Spanish language.
- g) To present Certification of the origin and provenance of the genetic material, genetic and/or biological resource used in the development of the invention in all patent requests submitted to the Directorate General of the Industrial Property Registry of the Ministry of Commerce and Industries and/or any patent office of the member nations of the World Intellectual Property Organization (WIPO)

Paragraph: Access Contracts which include transfers of biological material must include an additional clause regulating the terms of the transfer and the benefits to the Panamanian State.

Article 20. Contracts of Access to genetic and/or biological resources for research and/or potential applications for commercial, industrial or bioprospecting purposes, shall include additional commitments to the State:

- a) To incorporate national scientific institutions, researchers, scholars and students into the tasks of collecting, marking, researching, cataloguing and other activities related to the authorized research.
- b) To inform the scientific community on the progress and developments of the research in progress
- c) Any other commitment agreed upon between the parties.

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Article 21. Agreements between the petitioner and provider of the genetic or biological resource must be communicated to the UNARGEN, prior to signing the Access Contract.

Academic Collaborative Agreement/Appendix B

Article 22. The Access Contracts will be valid for a period determined by the UNARGEN.

#### CHAPTER III

#### FREE PRIOR INFORMED CONSENT

Article 23. Any petition involving access to genetic and/or biological resources found within private areas, local communities, indigenous communities or under special regimen, or involving associated traditional knowledge, must obtain the Free Prior Informed Consent (FPIC) of the provider of the genetic or biological resource or associated traditional knowledge.

Article 24. THE FPIC is an accessory contract to the petition of access, in which the obligations and rights between the petitioner and provider of the genetic or biological resource and/or associated traditional knowledge are determined, in keeping with the national strategies and policies on biodiversity, wildlife, the National System of Protected Areas and the Convention on Biological Diversity.

Article 25. The petitioner shall sign the FPIC, as applicable, together with:

- a) The owner or possessor of the land where the biological resource which contains the genetic resource is found.
- b) The owner or possessor of the biological resource which contains the genetic resource.
- c) The representatives of the local communities.
- d) The representatives of the indigenous communities which possess the associated knowledge.

Article 26. The FPIC between the petitioner and provider of the genetic or biological resource must be communicated to the UNARGEN prior to signing the Access Contract, together with the Letters of Commitment and Benefits Contracts which derive from this. The corresponding certification of authority must be presented, establishing the competence of the provider to sign the FPIC.

Article 27. The FPIC must be based on the specific uses for which it is granted. Any change in use, including its transfer to third parties, requires a separate free prior informed consent.

Article 28. When the commercial or research project involves Traditional Knowledge associated with the genetic resource, the FPIC must contemplate pertinent aspects of the Intellectual Property rights.

#### CHAPTER IV

#### **TRANSFER**

Article 29. Any individual or legal entity holding genetic and/or biological material or resources in *ex situ* conditions must declare these to the UNARGEN and establish an agreement of responsibility with the UNARGEN for the appropriate care and management. All transfers of *ex situ* biological material must be registered with the UNARGEN.

Article 30. In order to issue permission for transfer of the corresponding genetic and/or biological material or resource between the provider and the recipient of the resource or material, a Material Transfer Agreement between the provider and recipient of the resource or material must be signed.

- Article 31. Contracts of access to genetic and/or biological resources which also include access to and transfer of knowledge, innovations or traditional practices of indigenous or local communities, must attach the free prior informed consent, which must contemplate pertinent aspects of the Intellectual Property rights coincidental with the Benefits Contract.
- Article 32. Authorization of access to the genetic and/or biological resource does not imply obligatory access to knowledge, innovations or traditional practices associated with the authorized resource.
- Article 33. The fee for the loan or transfer of the genetic and/or biological resources shall be established by administrative resolution of the ANAM, according to the conservation category, and shall be revised every two years or upon the request of the Technical Advisory Group.

#### CHAPTER V

#### TRADITIONAL KNOWLEDGE

- Article 34. The UNARGEN will collaborate with the authorities of the local and indigenous communities in the classification and registration of the knowledge, innovations and traditional practices they see fit to order.
- Article 35. Traditional knowledge, innovations and practices associated with the genetic and/or biological resources which are in the public domain, and for which the origin or provenance can be certified, will make it possible to ensure compensation to the corresponding community.
- Article 36. The UNARGEN shall meet once a year with the authorities of the local and indigenous communities to review the state of execution of these commitments and accessory contracts.

#### **CHAPTER VI**

#### SPECIAL ACCOUNT FOR ACCESS TO THE GENETIC AND BIOLOGICAL RESOURCE

- Article 37. The ANAM shall create the Special Account for Access to the Genetic and Biological Resource, within the Wildlife Fund, established under Law 24 of June 7, 1995, which shall be comprised of the resources derived from the Benefits Contracts; initial payments, milestone payments, royalties, usage licenses and other sources of income derived from the use of the genetic and/or biologic material or resources.
- Article 38. The funds derived from access to and use of the genetic and/or biological resource and deposited in the Special Account for Access to the Genetic and Biological Resource, shall be used to:
- 1. Support the development of training and scientific and technological research in national institutions which work for the conservation of biodiversity, sustainable use and utilization of Panamanian genetic and/or biological resources. Priority shall be given to those activities which promote:
- a) The training of communities that provide traditional knowledge, innovations and practices, in their efforts to strengthen and defend their knowledge and cultural identity;
- b) The participation of Panamanian researchers, scientists, functionaries and students in bioprospecting activities or other scientific activities;
- c) The advancement of students and professionals in environmental and social sciences in the national universities and centers of scientific and technologic interest.
- 2. Supply the funds for the remuneration and functioning of the Technical Advisory Group.
- 3. Provide the funds required to cover the expenses involved in supervising the process.

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#### TITLE III

#### BENEFITS CONTRACTS AND DISTRIBUTION OF BENEFITS

Article 39. All petitions of access must include a proposal for a Benefits Contract between the parties and the Panamanian State. The Benefits Contracts shall be negotiated by the UNARGEN and signed on behalf of the Republic of Panama, by the General Administrator of the National Environmental Authority. The terms for negotiation of the Benefits Contract shall be negotiated by the parties and established in the access contract. The parties shall negotiate the Benefits Contract in which the economic and non-economic benefits to the Panamanian State, the intellectual property rights, royalties and other benefits must be established.

Article 40. The petitioning party must establish a Benefits Contract with the State once it enters a phase of economic benefit which has not been foreseen in the first petition of access, establishing the terms of the monetary and/or non-monetary benefits on the basis of royalties, commercial licenses or other benefits contained in the regulations governing intellectual property.

Article 41. Commitments to pay the Panamanian State for projects with commercial and industrial purposes shall include the following components:

- a) Negotiable annual royalties of not less than one percent (1%) of the net sales.
- b) A payment at the onset of the project for a sum agreed upon between the parties.
- c) Other periodic or non-periodic payments agreed upon between the parties.

In the case of zoobreeders and nurseries, the payment of the negotiated royalties may be made on the basis of the liberation and return to the environment of the annual production, as deemed appropriate by the competent national authority, according to the species, distribution and population.

Article 42. The Benefits Contract with the party requesting access for research projects with commercial and industrial purposes, shall include the following commitments:

- a) Negotiable annual payments based on the annual research budget, deposited into the Special Account for Access to the Genetic and Biological Resource of the Wildlife Fund.
- b) Milestone paytments in the development and commercialization of a product derived from the genetic and/or biological material or resource, by agricultural, pharmaceutical and other types of research, to be negotiated, prior to signing the Benefits Contract.
- c) The Panamanian State reserves the right to grant obligatory licenses on invention patents granted in Panama, derived from research carried out with genetic or biological resources collected in the national territory or from their derivatives, on the basis of national interest, in accordance with the Law and international regulations.
- d) Co-participation of the Panamanian State in all industrial or commercial contracts linked to the use of the genetic and/or biological material or its derivatives.
- e) The commitments and benefits of annual payments of royalties or their equivalent from the net income from sales of the final product, to be paid to the Panamanian State, shall be negotiated by the ANAM and the petitioning companies or centers.
- Article 43. Benefits Contracts between private or collective proprietary parties or those under special regimen for the provision of genetic and/or biological resources or knowledge, innovations or traditional practices, shall be communicated to the UNARGEN.

Article 44. Benefits Contracts with third parties, subscribed by one of the parties petitioning for the genetic and/or biological resource and the private, collective or special regimen proprietary parties or providers of traditional knowledge which have signed contracts, must, prior to signing the benefits contracts, incorporate the terms of the benefits to the State in accordance with the guidelines of this Decree, and be communicated to the UNARGEN.

#### TITLE IV

#### ADMINISTRATIVE INFRACTIONS

#### CHAPTER I

#### **PROHIBITIONS**

Article 45. All petitions of access to areas used by indigenous communities for sacred, religious or similar purposes, which hold spiritual value and the preservation of which is indispensable for their cultural identity, shall be denied.

Article 46. All petitions of access to genetic and/or biological resources for research which is tied to, incorporated into or has foreseen applications in the sphere of genetic transfer or manipulation through the use of restricted technologies for the genetic use and utilization of modified living organisms, shall be promptly evaluated by the UNARGEN in accordance with the petition of access, and may be authorized or denied until such time that specific regulations are established.

Article 47. All petitions of access to genetic and/or biological resources with foreseen uses in environmentally harmful biological warfare, or which might seriously endanger human health, shall be denied and communicated to the competent authorities.

#### **CHAPTER II**

#### CANCELLATION OF ACCESS CONTRACTS

Article 48: The following shall be considered cause for cancellation of the access contract, in addition to the causes established in the corresponding juridical instrument and those contained in Article 99 of Law 22 of 2006:

- a) Non-fulfilment of the contractual clauses;
- b) Definitive physical incapacitation, in the case of an individual;
- c) Dissolution, in the case of a legal entity;
- d) When one of the individuals involved in the research has been sanctioned for an environmental infraction or convicted of a crime against the environment;
- e) When the activities linked to the authorized access cause manifest and demonstrable detriment to the environment and to health.
- f) When the activities linked to the authorized access cause manifest and demonstrable detriment to the cultural, social, economic and spiritual integrity of the indigenous communities.

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#### **CHAPTER III**

#### **SANCTIONS**

Article 49. The following shall be considered offenses or infractions against the genetic patrimony:

- a) Fraud in the research contracts, permits or agreements;
- b) Excessive collection.
- c) Clandestine collection.
- d) Activities of access for scientific investigation without valid authorization.
- e) Utilization derived from the genetic or biologic resource or associated traditional knowledge, innovation or practice which is not regulated in the contract.
- f) Infractions against the genetic patrimony or associated traditional knowledge, innovation or practice.
- g) Submission, transfer or transportation of these resources without due authorization.
- h) Providing false information on the real activity of the research, collection, investigation, bioprospecting or technological development.
- i) Execution of activities with the intention of bypassing the regulatory function of control and access to genetic resources.

Article 50. Non-fulfilment of the provisions established in the present Executive Decree shall be sanctioned by:

- a) Written admonition.
- b) A fine imposed by the ANAM in accordance with the provisions of Article 114 of Law 41 of 1998. In the case of a juridical person, the fine shall be set according to the type of activity and the benefits derived from the products obtained.
- c) Temporary or definitive closure of the establishment or laboratory.
- d) Inability to contract with the State in order to access genetic and biological resources.
- e) Temporary or definitive cancellation of the access permit.
- Article 51. Government organisms and entities must be prepared to implement the provisions of the present Decree within four (4) months of its publication in the Official Gazette. All interested parties with permits or contracts of access to genetic and/or biological resources which are in force at the time of publication of the present Decree in the Official Gazette, shall have a period of six (6) months to adjust their practices and instruments to the provisions of the present Decree.
- Article 52. The present Executive Decree replaces Executive Decree 257 of October 17, 2006.
- Article 53. The present Decree shall enter into effect at the time of its publication.

**LEGAL BASIS**: Law 41 of July 1, 1998; Law 35 of May 10, 1996; and Law 24 of June 7, 1995.

Handed down in Panama on the twenty-ninth (29) day of the month of April, 2006.

#### LET THIS HEREBY BE COMMUNICATED AND FULFILLED

MARTIN TORRIJOS ESPINO President of the Republic of Panama

HECTOR E. ALEXANDER H. Minister of Economy and Finance

## ACADEMIC COLLABORATIVE AGREEMENT BETWEEN THE SMITHSONIAN TROPICAL RESEARCH INSTITUTE AND THE REGENTS OF THE UNIVERSITY OF CALIFORNIA ON BEHALF OF ITS SAN DIEGO CAMPUS

#### **Amendment 1**

WHEREAS the Smithsonian Tropical Research Institute ("STRI") and the Scripps Institution of Oceanography, University of California San Diego ("THE ACADEMIC COLLABORATOR") have entered into a Nonprofit-Academic Collaborative Agreement ("Agreement") executed August 21, 2009;

AND WHEREAS STRI and THE ACADEMIC COLLABORATOR (together the "Parties") wish to continue the biological research and studies under this Agreement;

NOW THEREFORE, the Parties agree to continue the Agreement for two (2) years, followed by an automatic extension of one (1) year.

All other terms and conditions remain unchanged. This Amendment will enter into effect upon signing by the Parties.

ACREED

AONLLD	
THE SMITHSONIAN TROPICAL RESEARCH INSTITUTE	THE ACADEMIC COLLABORATOR
By: Dr. Eldredge Bermingham  Date:	Elizabeth Meier  Date: Queust 9, 2011